

液体のエントロピーの 第一原理計算

First-principles calculation of entropy for liquids

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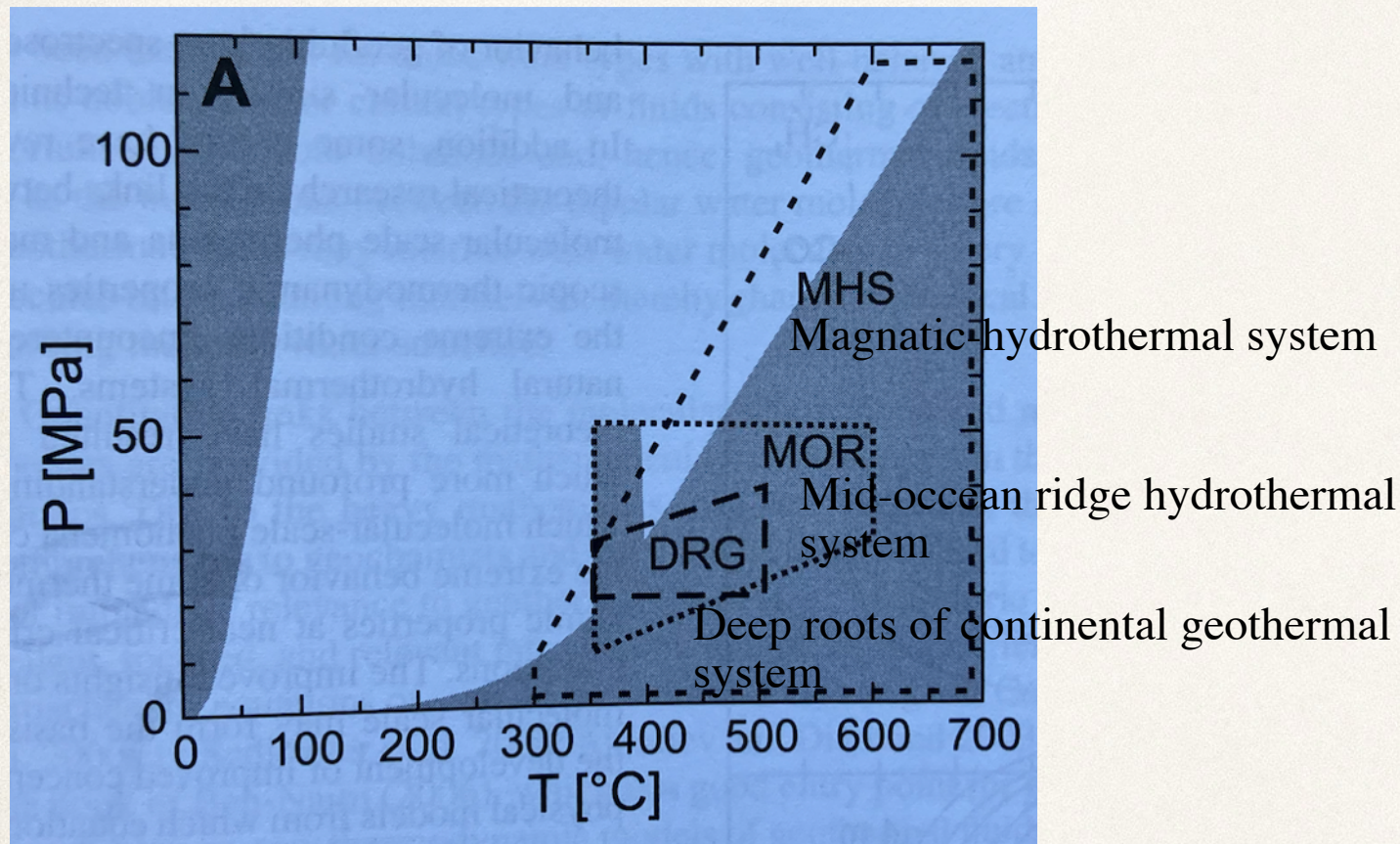
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Lack of thermodynamic data for liquids

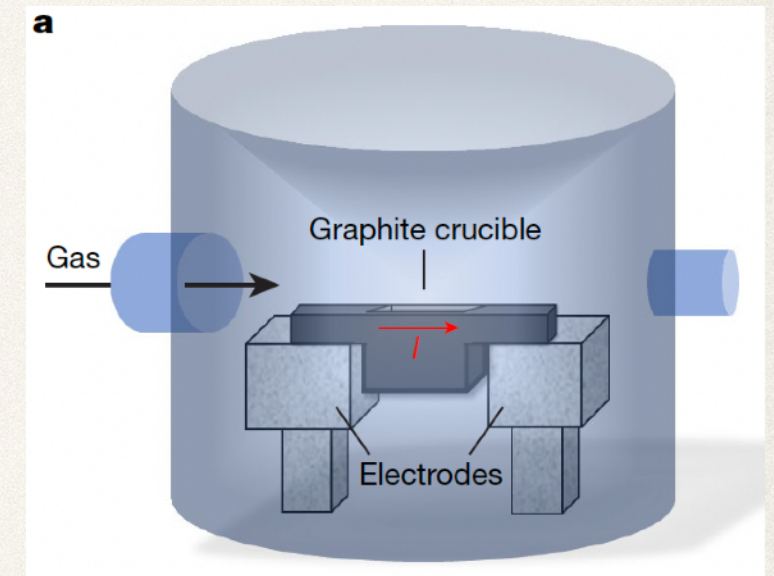
Range of applicability of the HKF (Helgeson-Kirkham-Flowers) equation of state



T. Driesner, in *Thermodynamics of Geothermal Fluids*,
A. Stefansso, *et al.* eds. (Miner. Soc. Am., 2013)

Growth of diamond in liquid metal at 1 atm pressure

Y. Gong *et al.*, Nature **629**, 348 (2024)



Gas source: $\text{CH}_4 + \text{H}_2$

Liquid: $\text{Ga} + \text{Fe} + \text{Ni} + \text{Si}$

$\times$ $\bigcirc$ $\bigcirc$? C dissolves

$$F = U - TS \quad \text{Entropy}$$

Statistical-Mechanics Approach

N -particle correlation function $g_N(\mathbf{r}_1, \dots, \mathbf{r}_N)$

$$S = S_1 - k_B \frac{\rho^N}{N!} \int g_N(\mathbf{r}_1, \dots, \mathbf{r}_N) \ln [g_N(\mathbf{r}_1, \dots, \mathbf{r}_N)] d\mathbf{r}_1 \dots d\mathbf{r}_N$$

Expansion with respect to density

$$S = S_1 + S_2 + S_3 + \dots$$

$$S_1 = \frac{3}{2} - \ln \rho \Lambda^3$$

$$S_2 = -\frac{1}{2} \rho^2 \int g_2(\mathbf{r}_1, \mathbf{r}_2) \ln [g_2(\mathbf{r}_1, \mathbf{r}_2)] d\mathbf{r}_1 d\mathbf{r}_2$$

$$S_3 = -\frac{1}{3!} \rho^3 \int g_3(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3) \ln [\delta g_3(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3)] d\mathbf{r}_1 d\mathbf{r}_2 d\mathbf{r}_3$$

$$\Lambda = \frac{h}{(2\pi m K_B T)^{1/2}}$$

de Broglie thermal wavelength

$$\delta g_3(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3) = \frac{g_3(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3)}{g_2(\mathbf{r}_1, \mathbf{r}_2) g_2(\mathbf{r}_2, \mathbf{r}_3) g_2(\mathbf{r}_3, \mathbf{r}_1)}$$

- 1) D. C. Wallace, Intl. J. Quant. Chem. **54**, 425 (1994).
- 2) A. Baranyai and D. J. Evans, Phys. Rev. A **40**, 3817 (1989).
- 5) I. Yokoyama and S. Tsuchiya, Materials Transactions **41**, 67 (2002).
- 6) I. Yokoyama, Materials Transactions **43**, 1421 (2002).
- 3) M. Gao and M. Widom, J. Phys. Chem. B **122**, 3550 (2018).
- 4) M. Widom and M. Gao, Entropy **21**, 131 (2019).

Thermodynamic Approach

$$S(T) = \int_0^T \frac{C(T')}{T'} dT'$$

$$C_x = \left(\frac{\partial U}{\partial T} \right)_x$$

$$U = \overline{E_{\text{tot}}(t)}$$

DFT + MD simulation

1. The total-energy approach is consistent with the thermodynamic definition of entropy.

Only the total entropy has a sense.

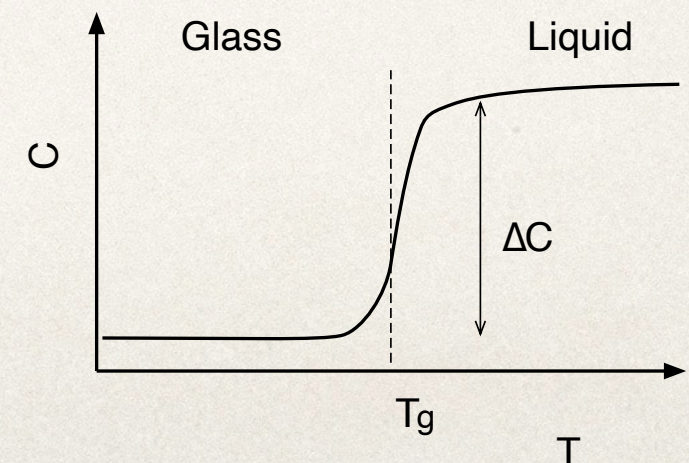
2. The accuracy entirely relies on the accuracy of specific heat.

Specific heat of liquids

Specific heat jump at the glass transition

K. Shirai, et al., J. Phys.: CM **34**, 375902 (2022)

K. Shirai, et al., J. Phys.: CM **35**, 505401 (2023)



First-principles calculation of specific heat

Specific heat $c_x = \left(\frac{\partial U}{\partial T} \right)_x$

Microscopic energy

$$E_{\text{tot}}(t) = E_{\text{GS}}(\{\mathbf{R}_j(t)\}) + \frac{1}{2} \sum_j M_j v_j(t)^2$$



in thermodynamic equilibrium

Internal energy

$$U = \overline{E_{\text{tot}}(t)} = \overline{E_{\text{GS}}(\{\mathbf{R}_j(t)\})} + \frac{1}{2} \sum_j \overline{M_j v_j(t)^2}$$

$\frac{3}{2} k_B T$

For solids,

Harmonic approx.

$$\mathbf{R}_j(t) = \bar{\mathbf{R}}_j + \mathbf{u}_j(t)$$

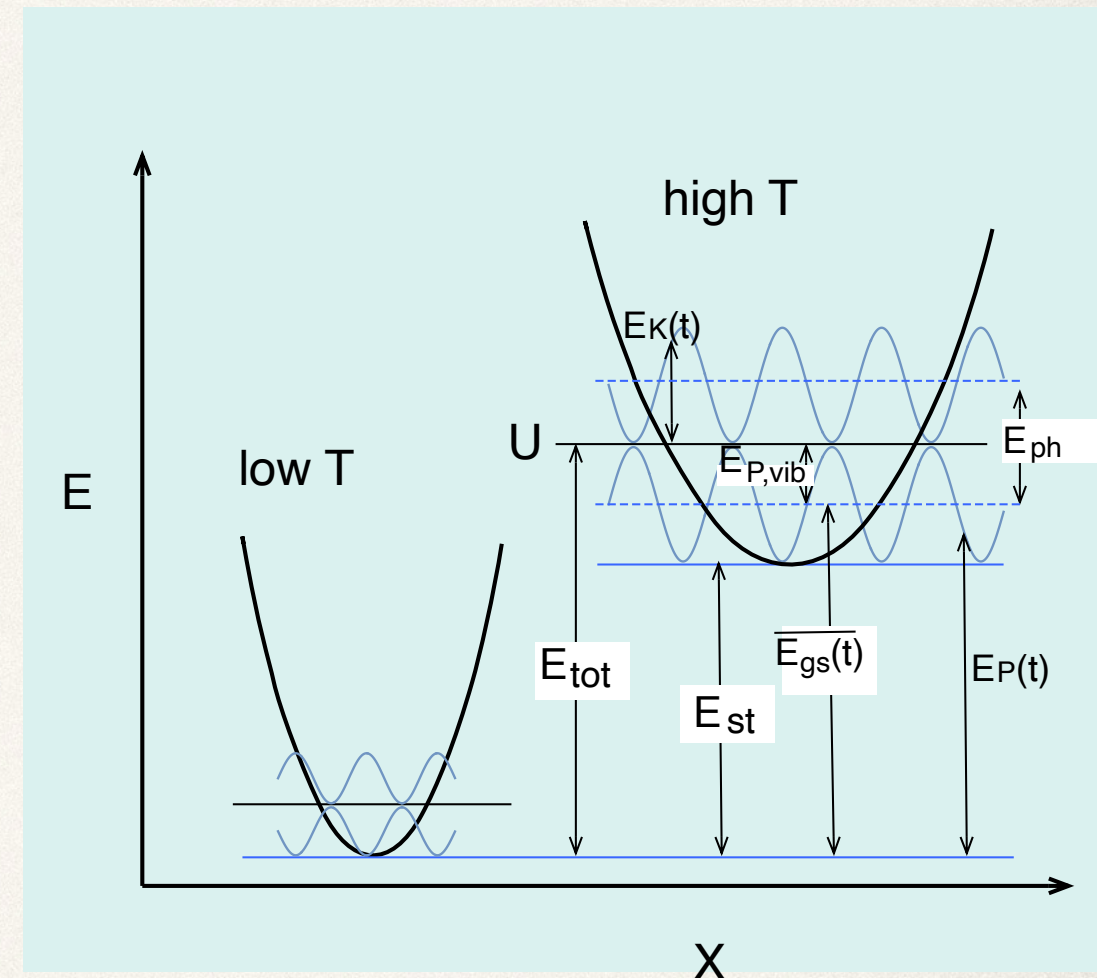
$$\underbrace{E_{\text{st}}(\{\bar{\mathbf{R}}_j\})}_{\text{structural part}} + \underbrace{E_{\text{ph}}(T)}_{\text{phonon}} + \underbrace{E_{\text{te}}(V)}_{\text{thermal expansion}}$$

structural part
(configurational)

phonon

thermal expansion

$$C_p = C_{\text{st}} + C_{\text{ph}} + C_{\text{te}}$$



in adiabatic MD

Phonon contribution to specific heat

For solids

$$E_{\text{ph}} = \sum_k \left(\bar{n}_k + \frac{1}{2} \right) \hbar \omega_k$$

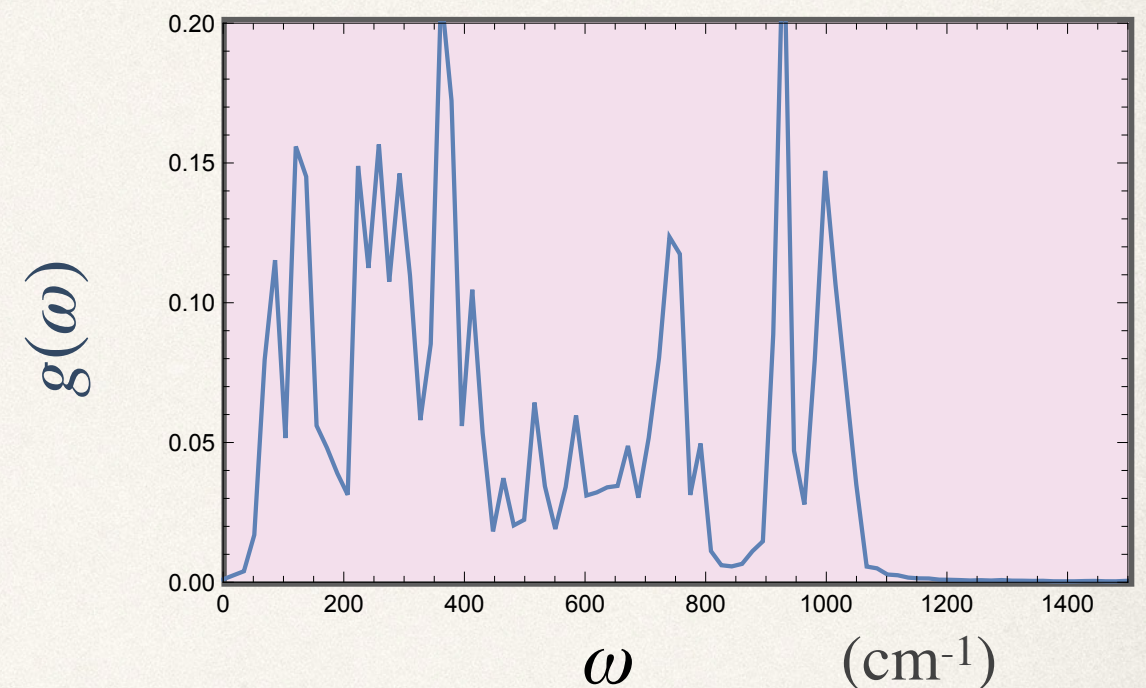
$$C_{\text{ph}}(T) = k_B \int \left(\frac{\hbar \omega}{k_B T} \right)^2 \frac{e^{\hbar \omega / k_B T}}{(e^{\hbar \omega / k_B T} - 1)^2} g(\omega) d\omega$$

$$C_p = C_{\text{st}} + C_{\text{ph}} + C_{\text{te}}$$

|
/
/

structural part
phonon
thermal expansion

Phonon DOS of SiO₂



$$g(\omega) = \frac{1}{3N \frac{3}{2} k_B T} \sum_j^{3N} \frac{1}{2} M_j f_{v_j}^*(\omega) f_{v_j}(\omega)$$

For liquids

Is the phonon-picture realistic for liquids?

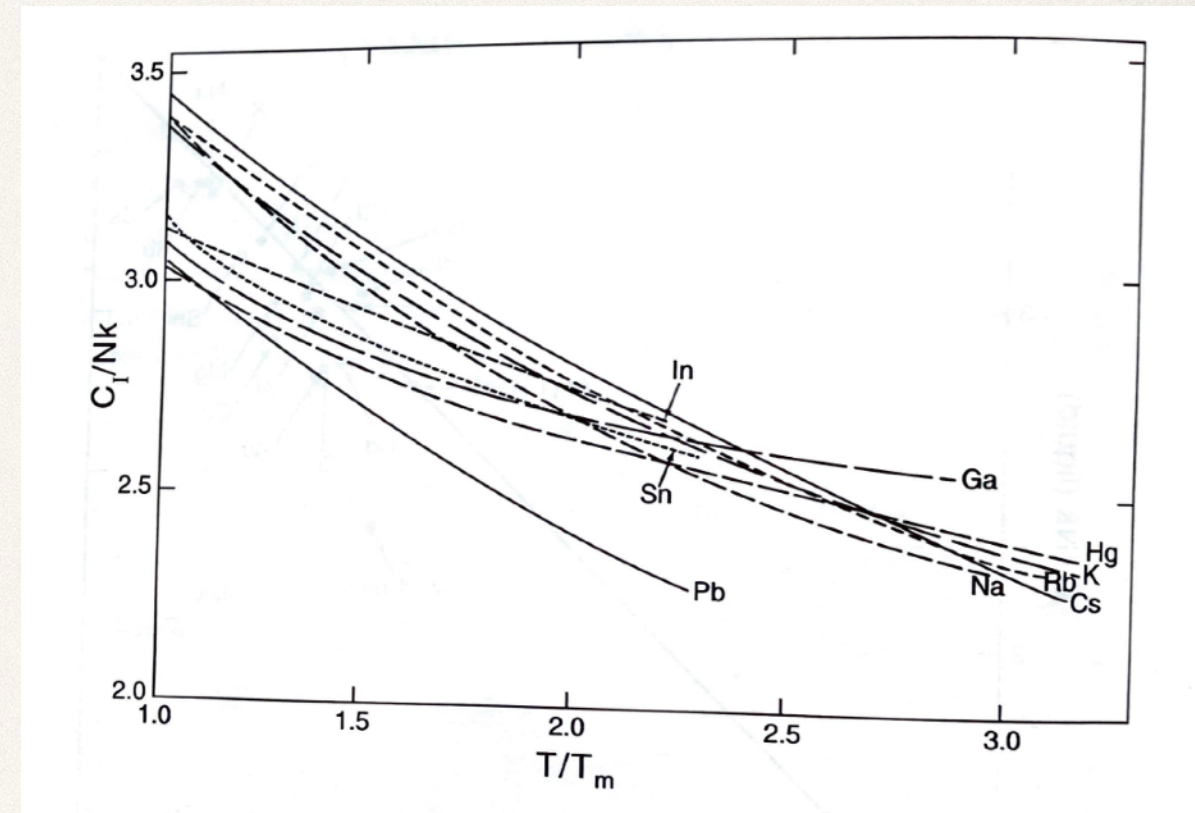
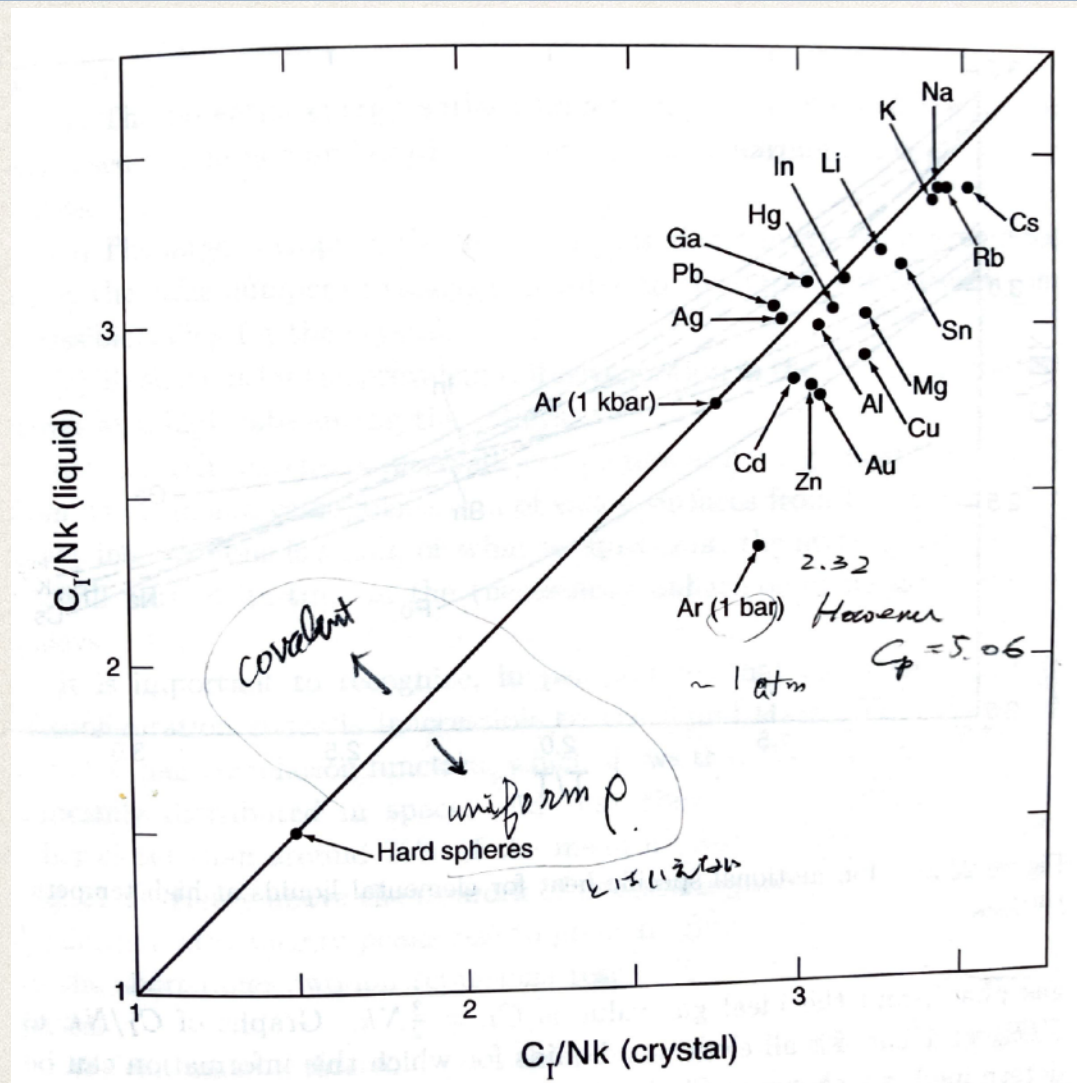
→ No!

$$U = \overline{E_{\text{tot}}(t)}$$

Effect of energy dissipation

→ Need of adiabatic MD simulation

Phonon model for C_V of liquids



D. C. Wallace, *Statistical Physics of Crystals and Liquids: A guide to highly accurate equations of state* (World Scientific, Singapore, 2002).

Phonon model

K. Trachenko and V. V. Brazhkin, Phys. Rev. B **83**, 014201 (2011).

D. Bolmatov, V. V. Brazhkin, and K. Trachenko, Sci. Rep. **2**, 421 (2012).

K. Trachenko and V. V. Brazhkin, Rep. Prog. Phys. **79**, 016502 (2016).

J. E. Proctor, Phys. Fluids **32**, 107105 (2020).

M. Baggioli and A. Zaccone, Phys. Rev. E **104**, 014103 (2021).

$$C_V = R \left[3 - \left(\frac{\omega_c}{\omega_D} \right)^3 \right]$$

by Trachenko

Adiabatic Relaxation Method

What is the definition of temperature?



What is the definition of equilibrium?

GB statement of the second law

“For a fixed U and fixed constraints ξ_j , the isolate system has one and only one stable equilibrium state.”

E. P. Gyftopoulos and G. P. Beretta, *Thermodynamics - Foundations and Applications* (Dover, 2005), p. 62.

$$E(\{\mathbf{R}_j(0)\}) \xrightarrow[\text{relaxation}]{U = \overline{E(t)}} U = U(T, \{\bar{\mathbf{R}}_j\})$$

$$\frac{3}{2}k_B T = \left\langle \frac{1}{2} M v_j^2 \right\rangle$$



Adiabatic (spontaneous) relaxation process is recommended.

NEV ensemble

not *NTP* ensemble

K.S, J. Phys. Commun. **4**, 085015 (2020)

J. Phys. Commun. **5**, 015004 (2021)

Foundations, **5**, 9 (2025).

Sodium

Simulation by Phase/0

$N = 128$

GGA+NC potential

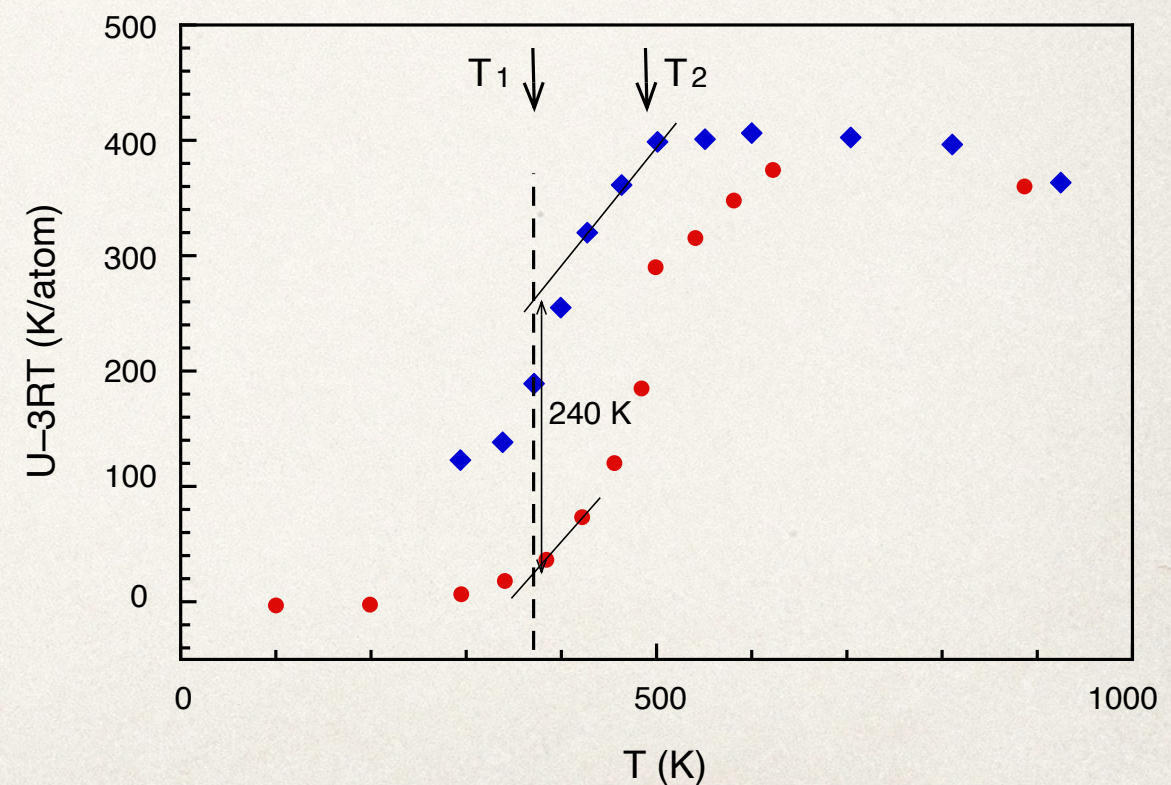
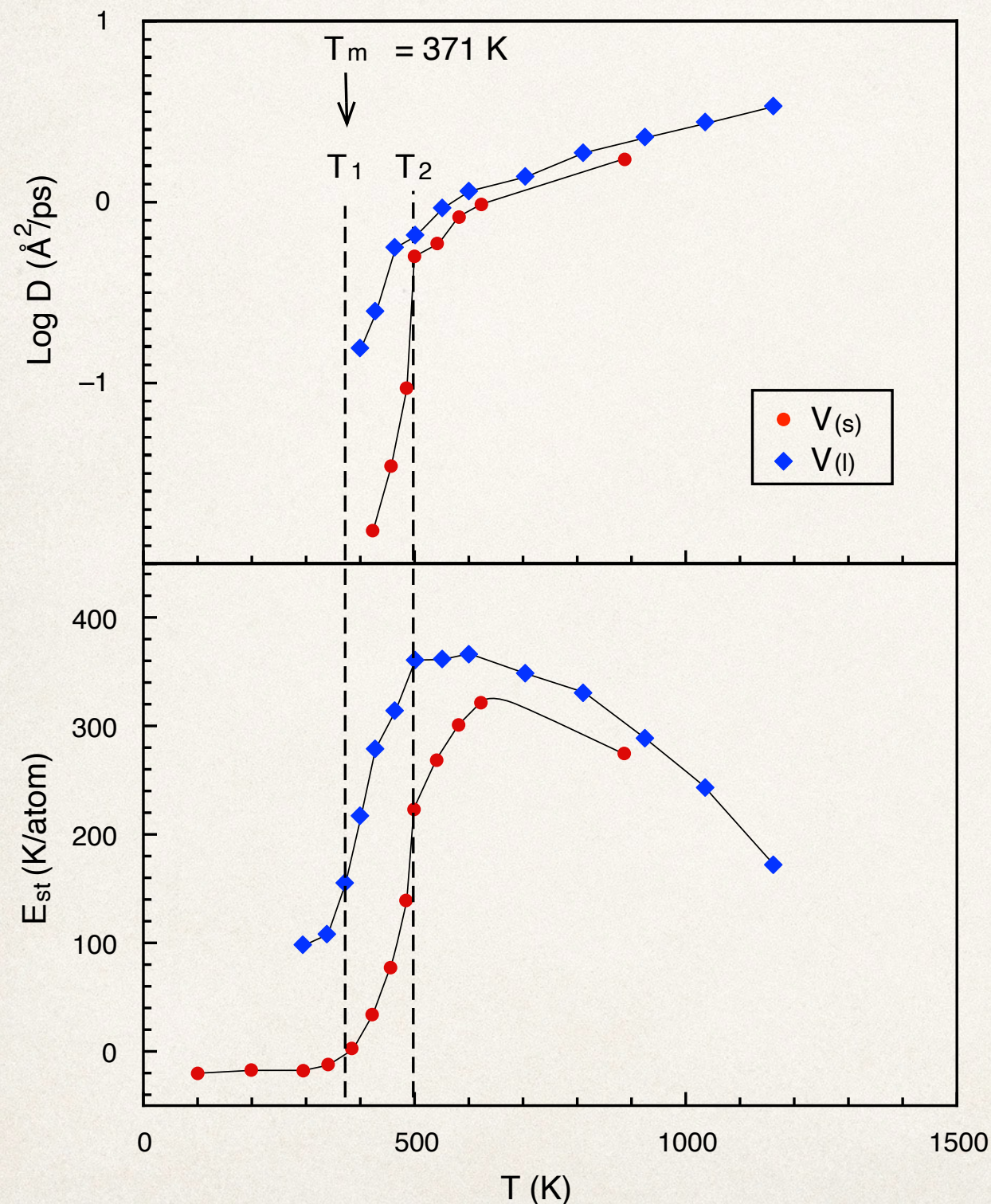
$E_{\text{cut}} = 18 \text{ Ry}$ 2^3 k mesh

$\Delta t = 1.2 \text{ fs}$ $t_{\text{sm}} = 2.4 - 4.8 \text{ ps}$

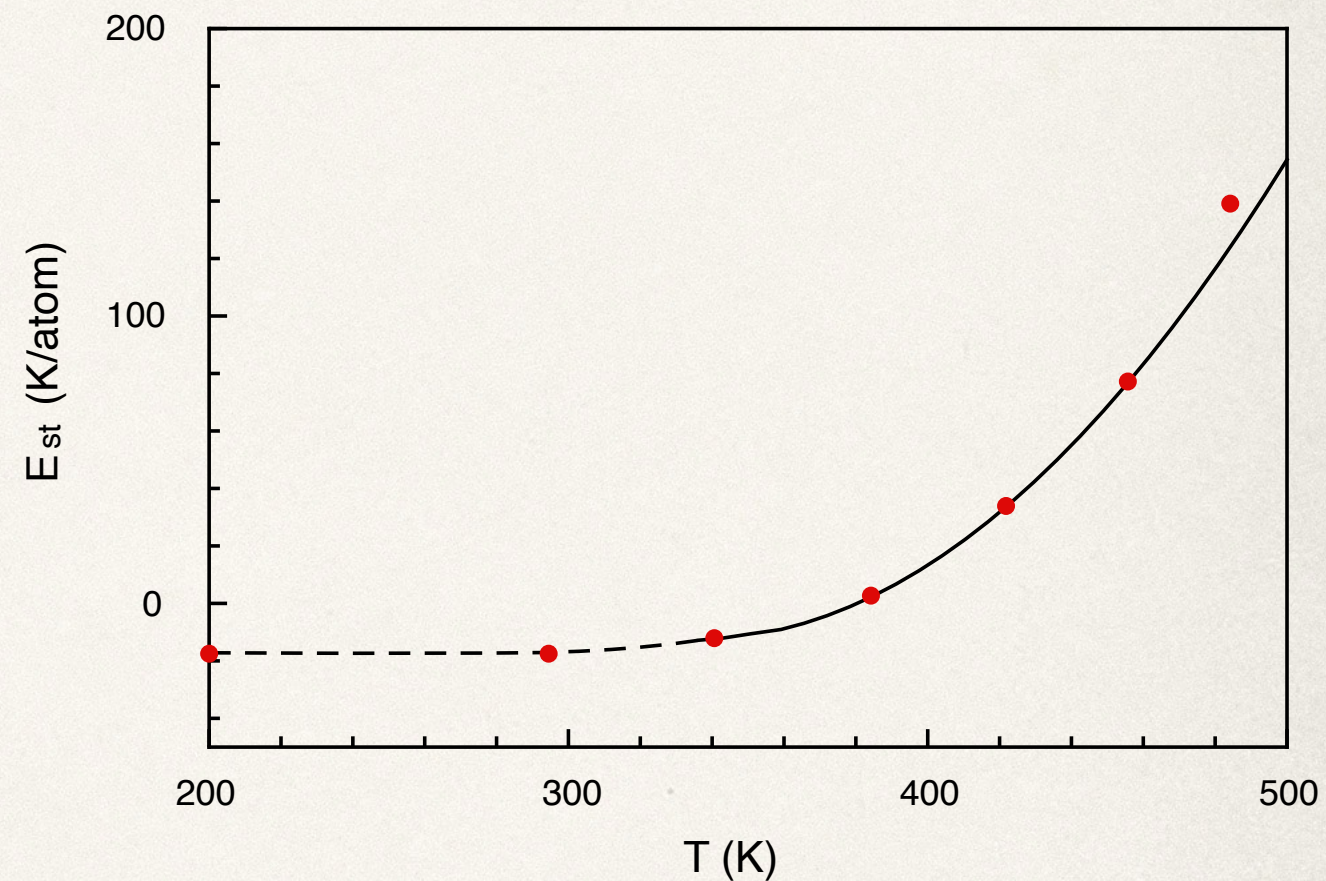
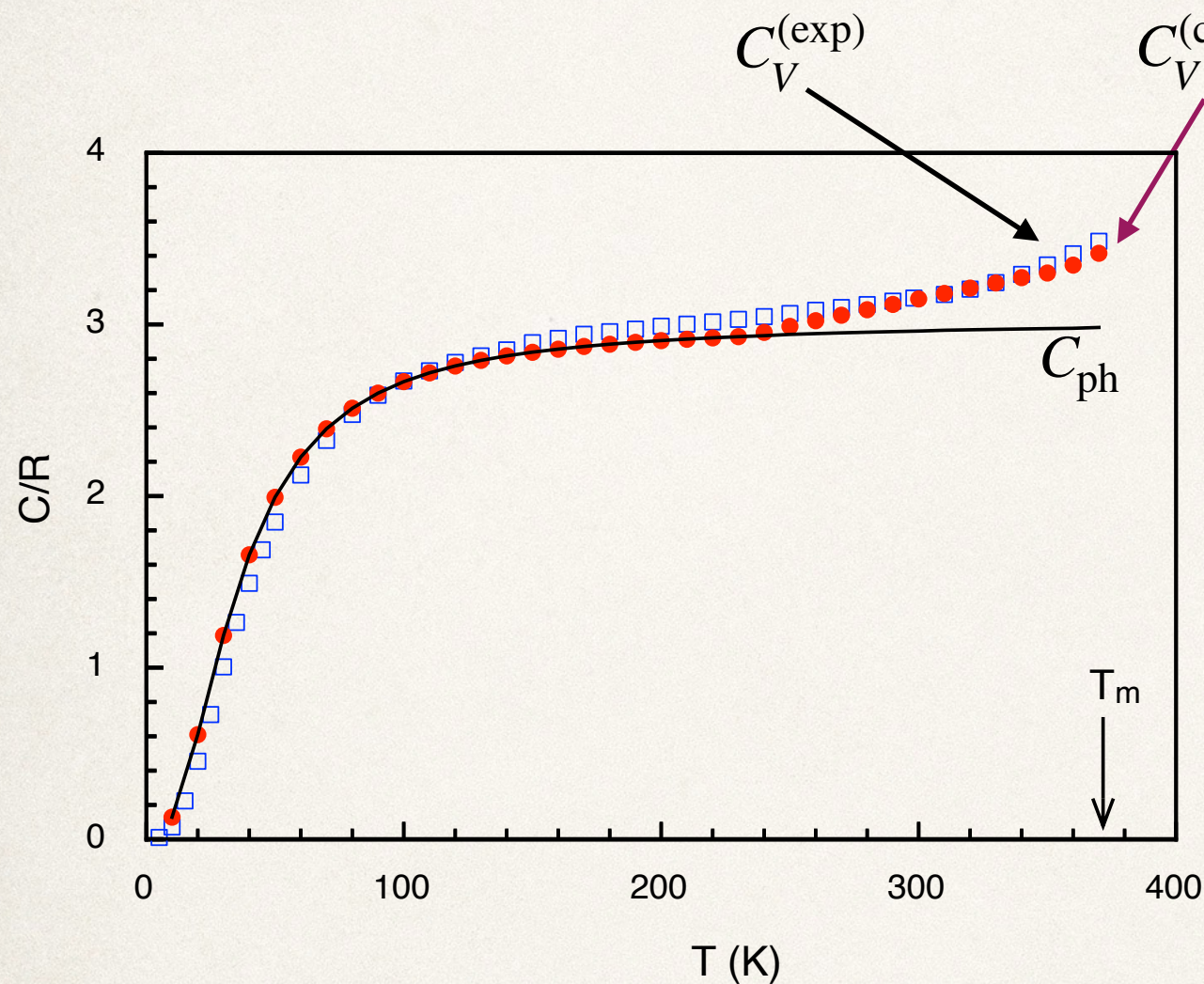
Exp. $T_m = 371 \text{ K}$

$H_m = 26 \text{ meV}$

CRC Handbook of Chemistry and Physics, (CRC, 2011)



Specific heat of solid

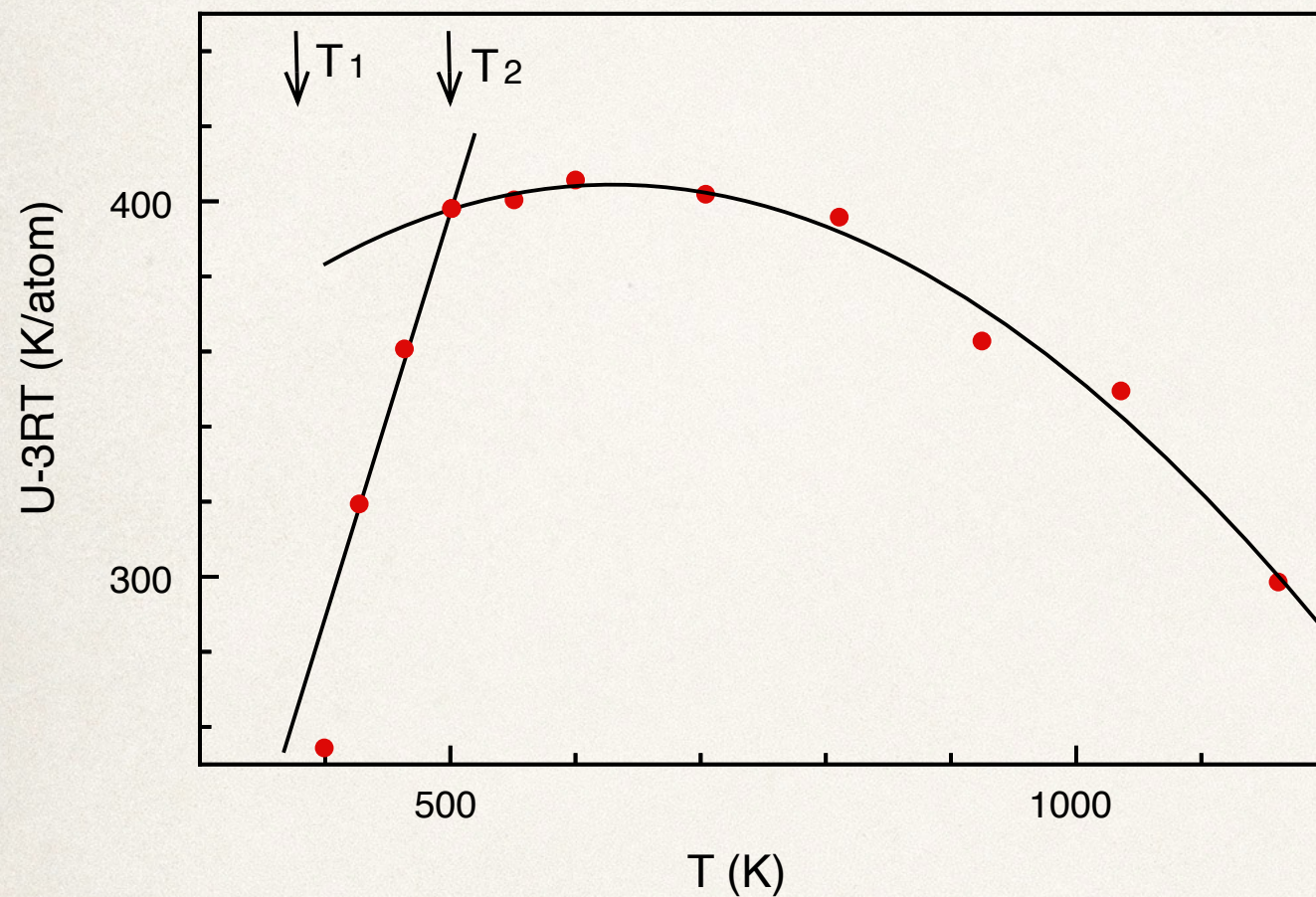


$$C_V = C_{ph} + C_{st}$$

Expt.)

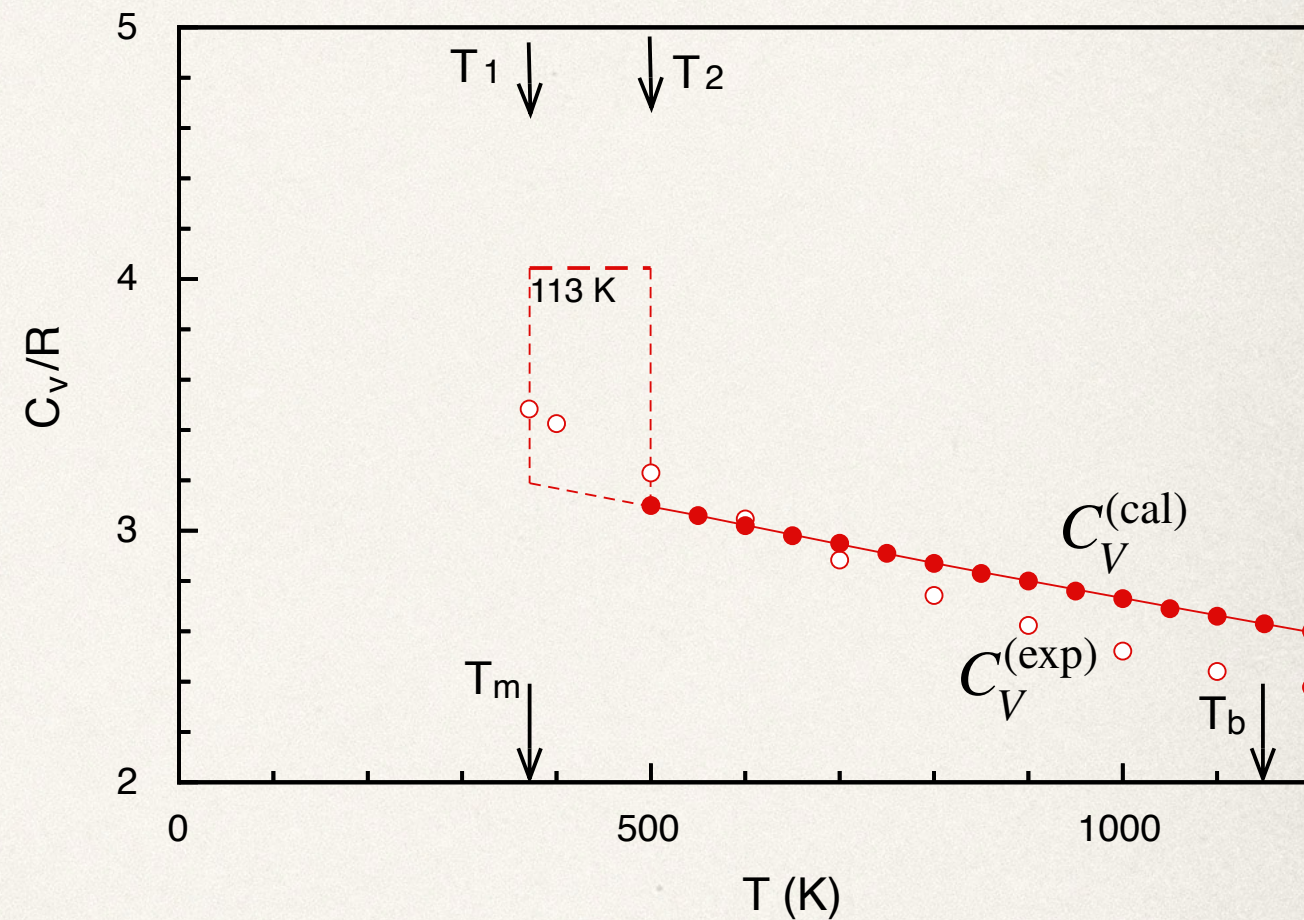
C. B. Alcock, M. W. Chase, and V. P. Itkin, J. Phys. Chem. Ref. Data **23**, 385 (1994).

Specific heat of liquid



fitted by

$$U(T) = a_0 + a_1T + a_2T^2$$

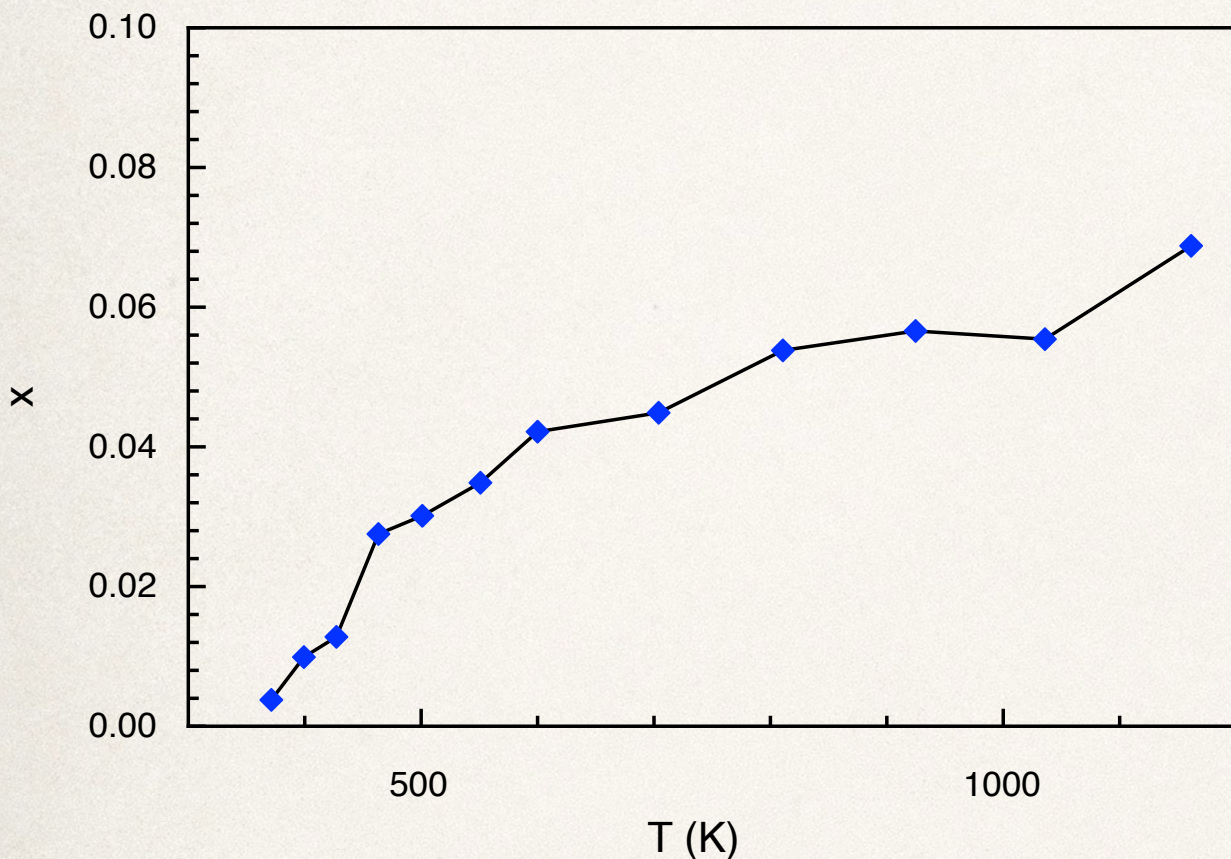


Exp)

J. K. Fink and L. Leibowitz, *Thermodynamic and Transport Properties of Sodium Liquid and Vapor*, Argonne National Laboratory Report, 1995, ANL-RE-95/2.

Temperature dependence on C_V

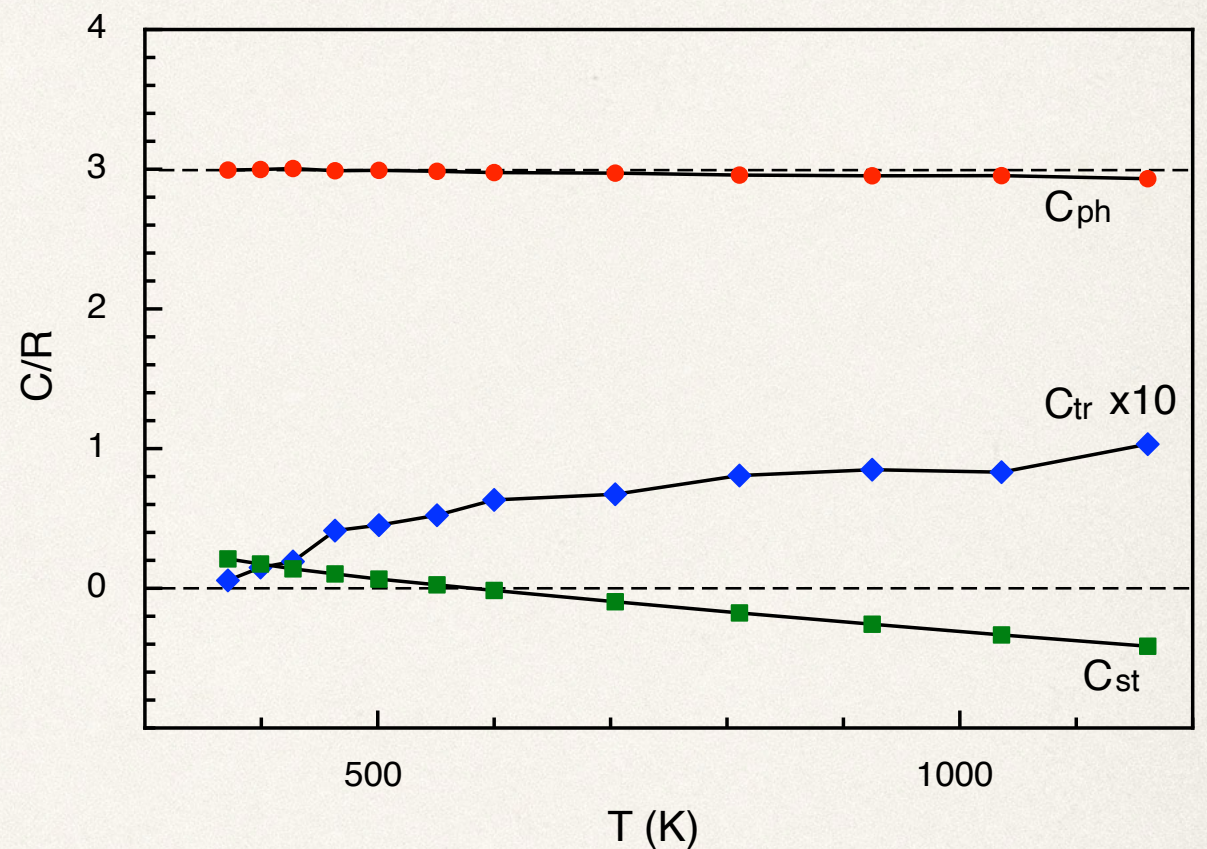
Zero-frequency components of phonons



$$g(\omega) = \frac{1}{3N \frac{3}{2} k_B T} \sum_j \frac{1}{2} M_j f_{v_j}^*(\omega) f_{v_j}(\omega)$$

$$\int g(\omega) d\omega = 1$$

$$\int_{\omega>0} g(\omega) d\omega = 1 - x$$



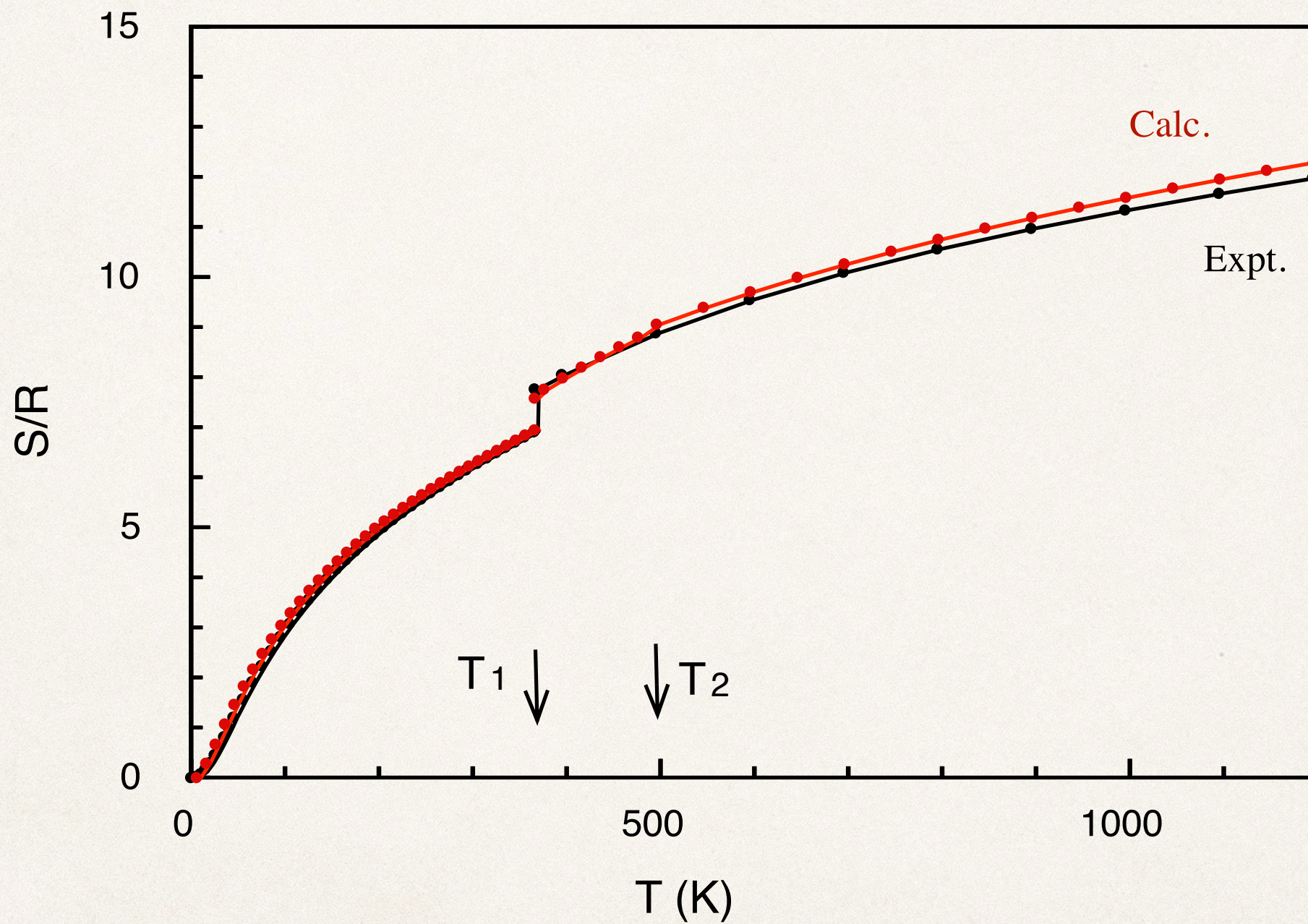
$$C_V = C_{st} + C_{tr} + C_{ph}$$

$$U = E_{st} + E_{tr} + E_{ph}$$

$$\frac{3}{2}x \quad \frac{6}{2}(1-x)$$

in unit $k_B T$

Entropy



Expt.)

C. B. Alcock, M. W. Chase, and V. P. Itkin, J. Phys. Chem. Ref. Data **23**, 385 (1994).

Summary

It has been demonstrated that entropy of a liquid can be obtained by the adiabatic relaxation method.

The calculation of the specific heat of the liquid Na reproduced the observed decrease in C_v as T increases.



The effect of energy dissipation but not the phonon effect.

The BE statistics should not be applied to excitations in liquids.

K. Shirai, H. Momida, K. Sato, and S. Hyun, *First-principles calculation of the entropy of liquids with a case study on sodium*, [arXiv:2411.10930](https://arxiv.org/abs/2411.10930)