

Non-empirical description of nuclear collective motion with optimized basis for multi-reference DFT

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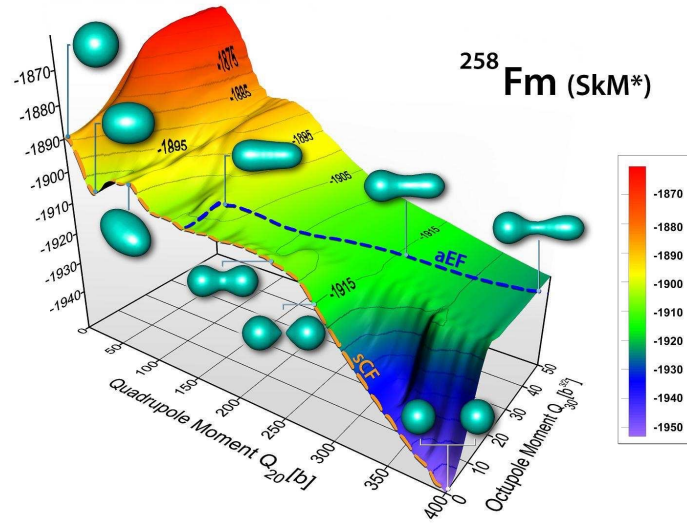
Phys. Rev. C **108**, L051302 (2023).

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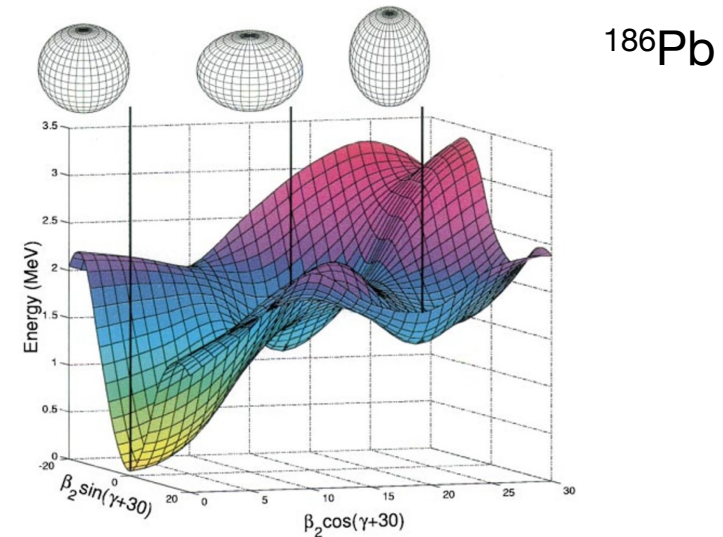
Nuclear collective motion

“**Collective**” aspects in nuclei by **microscopic** theory: essential problem

Especially, large amplitude collective motion is very important



Nuclear fission



Shape coexistence

Non-empirical description of collective motion based on DFT

Many-body states for collective motions

Generator coordinate method

Basis: a priori selected (non-orthogonal)

$$|\Psi\rangle = f_1 |\text{rod}\rangle + f_2 |\text{sphere}\rangle + f_3 |\text{oblate}\rangle + f_4 |\text{prolate}\rangle + \dots$$

Weight factors are variational parameter

Relying on empirical / phenomenological theory !

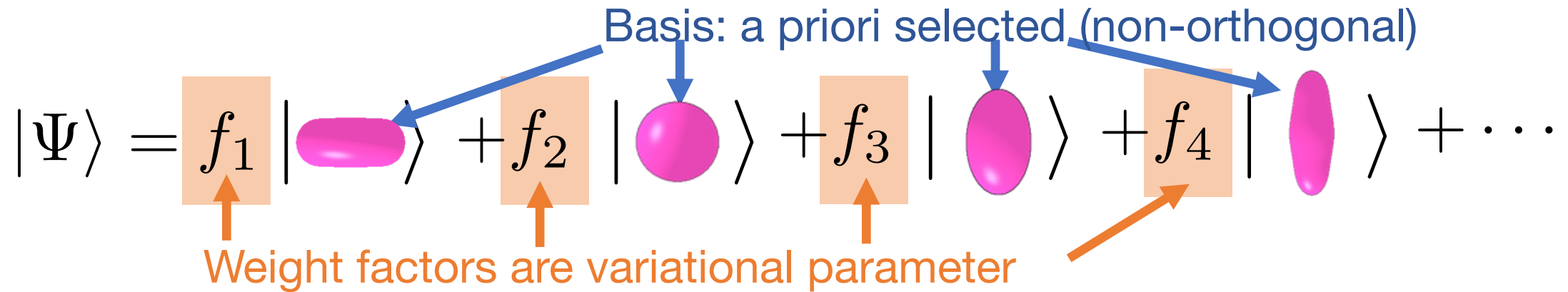
Many-body states for collective motions

Generator coordinate method

$$|\Psi\rangle = f_1 |\text{rod}\rangle + f_2 |\text{sphere}\rangle + f_3 |\text{oval}\rangle + f_4 |\text{egg}\rangle + \dots$$

Basis: a priori selected (non-orthogonal)

Weight factors are variational parameter

The diagram illustrates the Generator Coordinate Method. It shows the expansion of a many-body state $|\Psi\rangle$ as a sum of basis states. The basis states are represented by pink shapes: a horizontal rod, a sphere, a vertical oval, and a vertical egg. The weights f_1, f_2, f_3, f_4 are shown in orange boxes below each basis state. Blue arrows point from the text 'Basis: a priori selected (non-orthogonal)' to the basis states. An orange arrow points from the text 'Weight factors are variational parameter' to the weight boxes.

Relying on empirical / phenomenological theory !

Our method Optimize both weight and **basis** at same time

$$|\Psi\rangle = f_1 | ? \rangle + f_2 | ? \rangle + f_3 | ? \rangle + f_4 | ? \rangle + \dots$$

✓ Does not need pre-fixed effective DOFs

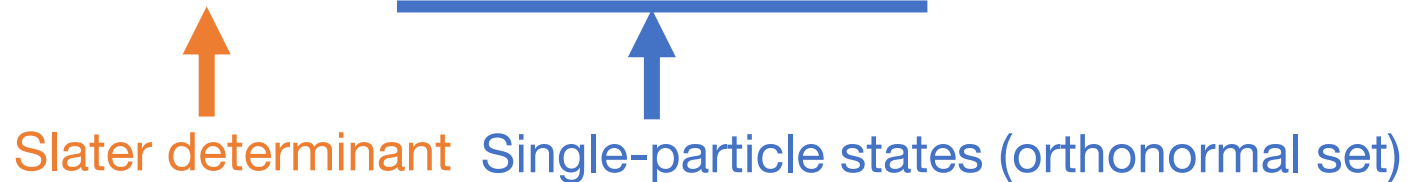
Non-empirical description of collective motion

Optimized-GCM

Trial function:

$$|\Psi\rangle = \sum_a f_a |\Phi_a\rangle$$

$$\Phi_a = \mathcal{A}[\varphi_1^{(a)} \varphi_2^{(a)} \dots \varphi_N^{(a)}]$$

 Slater determinant Single-particle states (orthonormal set)

Total energy to be minimized:

$$E = \frac{\langle \Psi | H | \Psi \rangle}{\langle \Psi | \Psi \rangle} = \frac{\sum_{ab} f_a^* f_b H_{ab}}{\sum_{ab} f_a^* f_b N_{ab}}$$

$$H_{ab} = \langle \Phi_a | H | \Phi_b \rangle : \text{Hamiltonian kernel}$$

$$N_{ab} = \langle \Phi_a | \Phi_b \rangle : \text{Norm kernel}$$

$$\rho_{\beta\alpha}^{(ab)} = \frac{\langle \Phi_a | a_\alpha^\dagger a_\beta | \Phi_b \rangle}{\langle \Phi_a | \Phi_b \rangle} : \text{Transition DM}$$

$$E^{(ab)} = E[\rho^{(ab)}] : \text{EDF}$$

$$h_{\alpha\beta}^{(ab)} = \frac{\delta E[\rho^{(ab)}]}{\delta \rho_{\beta\alpha}^{(ab)}} : \text{HF Hamiltonian}$$

Optimized-GCM

Calculate gradient of Energy

- Single particle states

$$\frac{\delta E}{\delta \langle \varphi_i^{(a)} |} = \sum_b \frac{f_a^* N_{ab} f_b}{\langle \Psi | \Psi \rangle} \left(1 - \rho^{(ab)} \right) \left[E - E^{(ab)} + h^{(ab)} \rho^{(ab)} \right] | \varphi_i^{(a)} \rangle$$

- Weight function

$$\frac{\partial E}{\partial f_a^*} = \frac{1}{\langle \Psi | \Psi \rangle} \sum_b (H_{ab} - E N_{ab}) f_b$$

Minimum search with CG method

$$H_{ab} = \langle \Phi_a | H | \Phi_b \rangle : \text{Hamiltonian kernel}$$

$$N_{ab} = \langle \Phi_a | \Phi_b \rangle : \text{Norm kernel}$$

$$\rho_{\beta\alpha}^{(ab)} = \frac{\langle \Phi_a | a_\alpha^\dagger a_\beta | \Phi_b \rangle}{\langle \Phi_a | \Phi_b \rangle} : \text{Transition DM}$$

$$E^{(ab)} = E[\rho^{(ab)}] : \text{EDF}$$

$$h_{\alpha\beta}^{(ab)} = \frac{\delta E[\rho^{(ab)}]}{\delta \rho_{\beta\alpha}^{(ab)}} : \text{HF Hamiltonian}$$

Set up

^{16}O

Ground state

Axial / reflection / time-reversal symmetry
basis expansion with axial harmonic oscillator

- Skyrme (w/o time-odd components)

SIII M. Beiner et al., Nucl. Phys. A 238, 29 (1975).

- No coulomb interaction

Initial states: WS potentials with different deformation

Results ^{16}O

Hartree-Fock	$ \Psi\rangle = \Phi\rangle$	-142.02 MeV
		-0.4 MeV
GCM	$ \Psi\rangle = \sum_a f_a \Phi_a(Q_2^{(a)})\rangle$	-142.40 MeV
		-0.7 MeV
Optimized-GCM	$ \Psi\rangle = \sum_a f_a \Phi_a\rangle$	-143.10 MeV

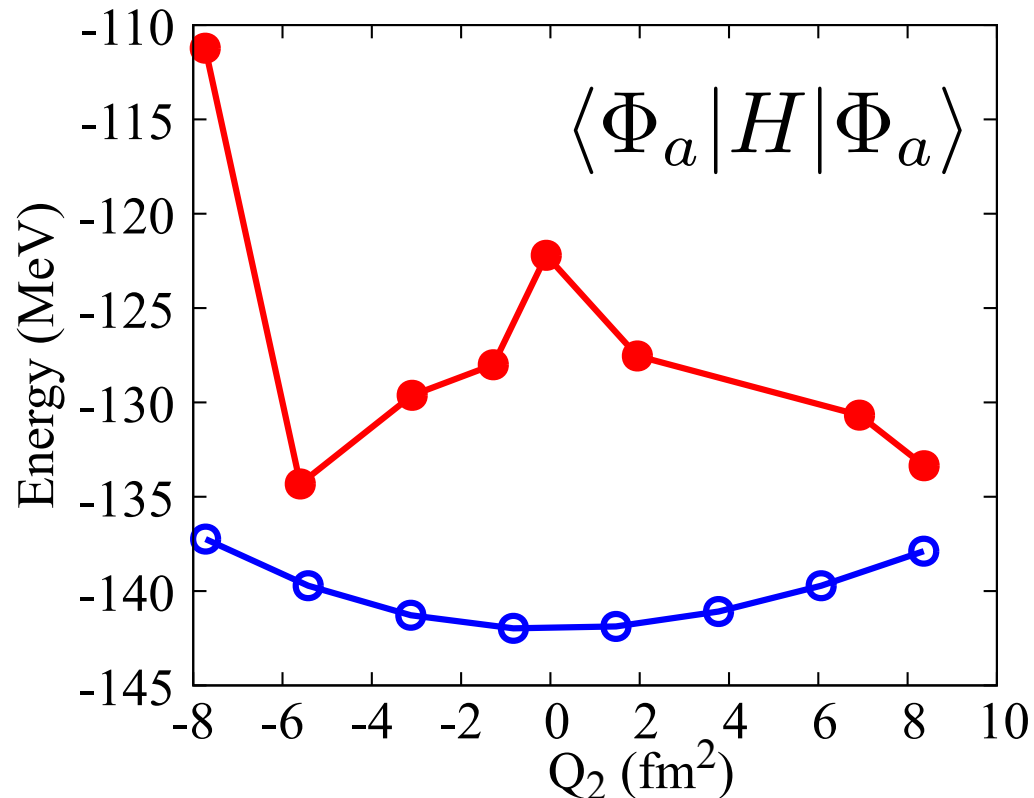
Bases are local ground states obtained by constrained HF (next slide)

Not enough in conventional method !

Results ^{16}O

“Usual” selection of the bases in GCM:

The ground states that minimize the energy for given values of deformation



Blue: Constrained HF

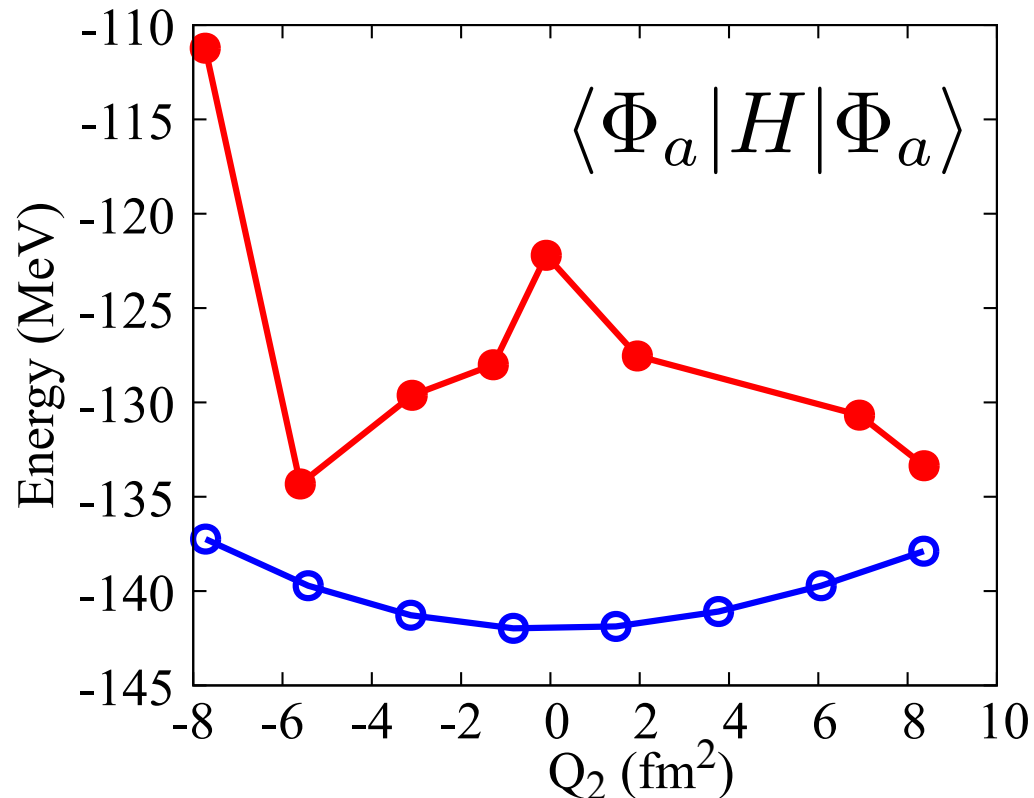
Red: Optimized-GCM

**Optimal bases are NOT
the ground states
of the each deformations**

Results ^{16}O

“Usual” selection of the bases in GCM:

The ground states that minimize the energy for given values of deformation



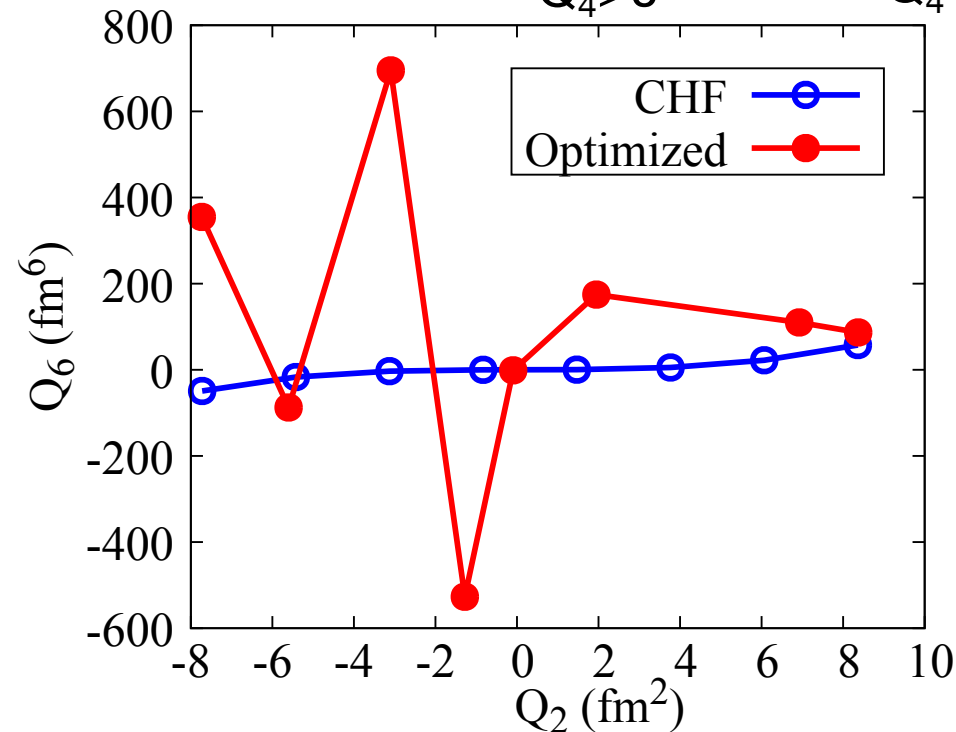
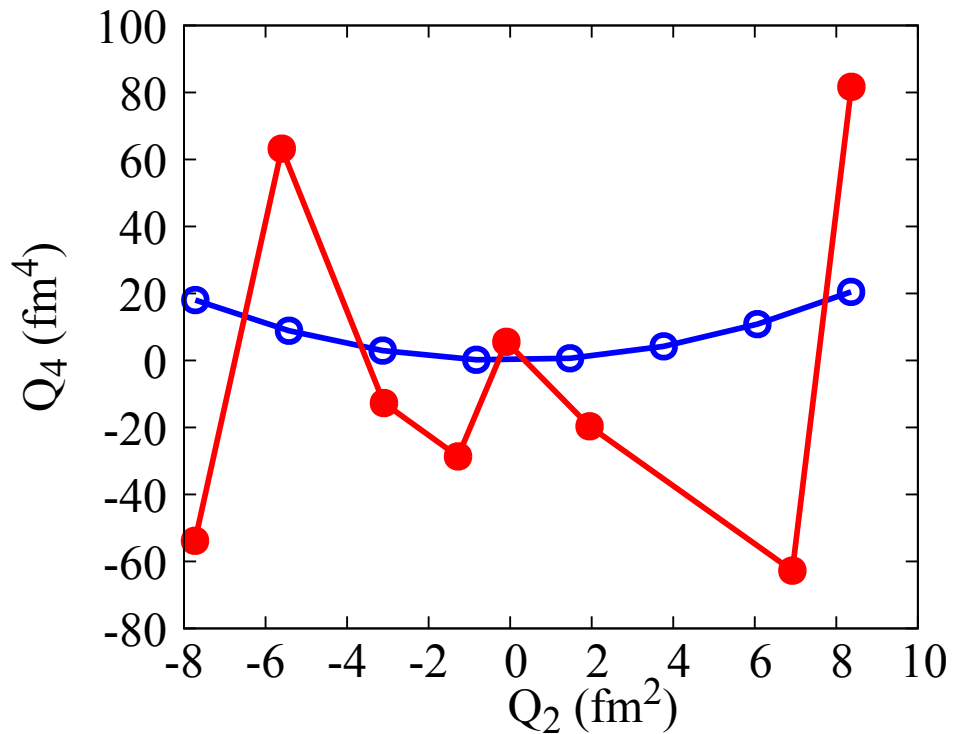
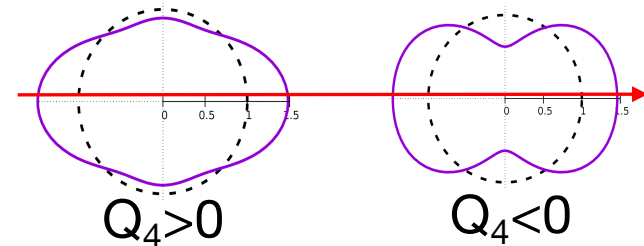
Blue: Constrained HF

Red: Optimized-GCM

One needs to take into account excitations of nuclei in determining a collective coordinate

Results ^{16}O

Q_4 / Q_6 of optimal bases (the next higher moment)



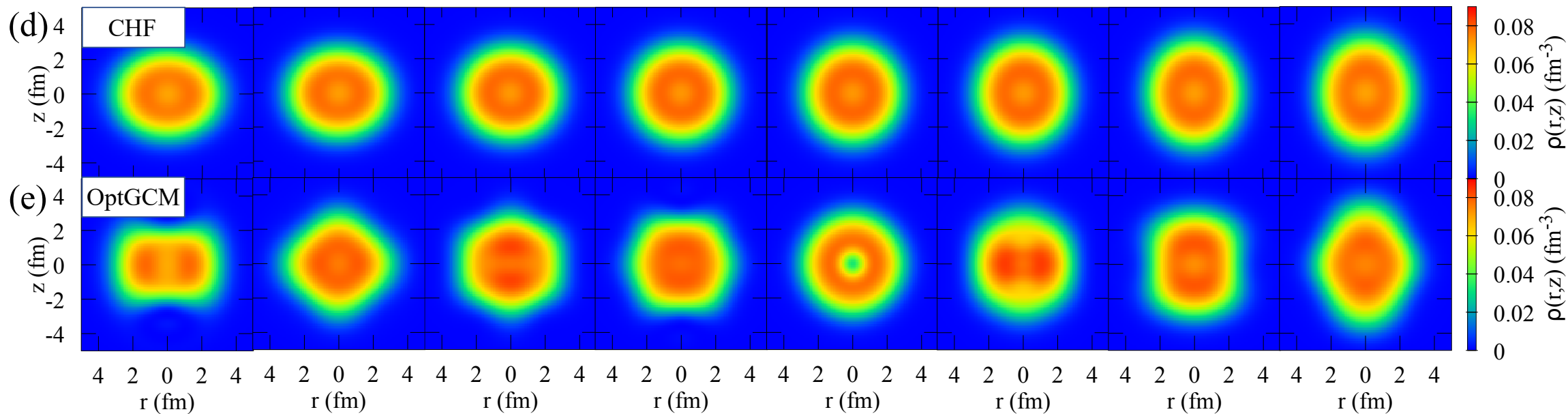
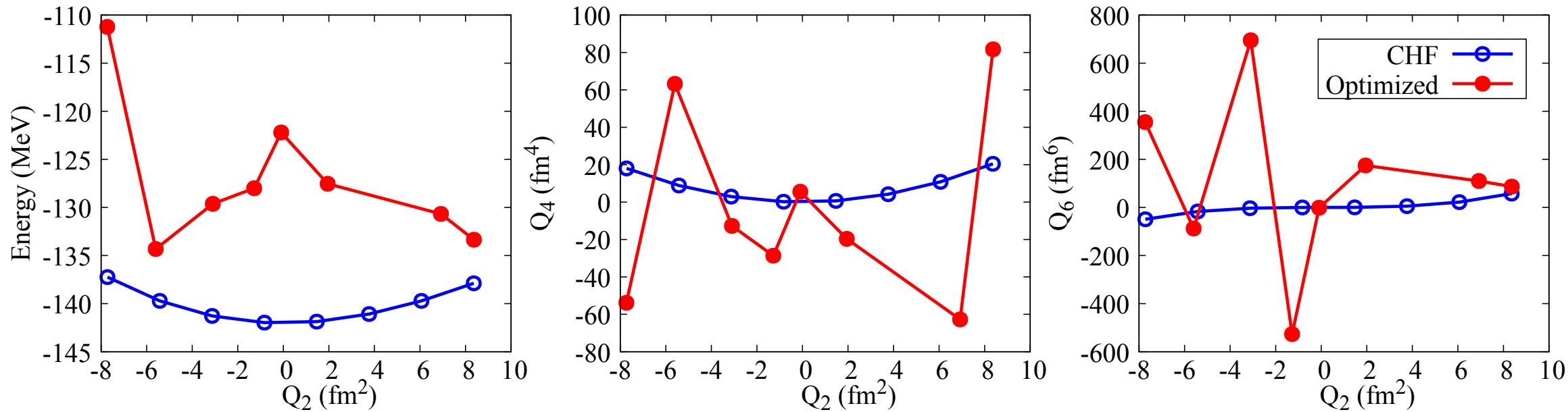
There are large fluctuations in higher moments

→ Q_2 alone does not make a good collective coordinate

at least both Q_2 and Q_4 are needed cf.) C. V. N. Kumar and L. M. Robledo, PRC 108, 034312 (2023).

N. Hizawa, and K. Hagino, PRC 109, 014312 (2024).

Results ^{16}O



Summary

- ✓ Generator coordinate method with variational basis optimization
 - This method can describe collective motion without pre-fixed collective degree of freedom
- ✓ ^{16}O : bases with high excitation energy were obtained Similar results for ^{28}Si
- ✓ One needs to take into account excitations of nuclei in determining a collective coordinate

Future Work

- * Analysis of collective motions in excited states (angular momentum projection)
- * Connection to existing collective model