

# The Global Anomaly Matching in the higher-dimensional $\mathbb{C}P^1$ Model

Takuya Furusawa (TITech/RIKEN)

TF & M. Hongo, PRB. 101, 155113 (2020)

(arXiv:2001.07373)

KEK-Keio-YITP joint seminar (online)., May., 2020



# Outline

## 1. Introduction

2.  $\mathcal{R} \times U(1)_M$  anomaly in 2+1D

3.  $\mathcal{R} \times (\mathbb{Z}_n)_M$  anomaly in 2+1D

4. 3+1D generalization  
& finite-temperature phase diagram



# $\mathbb{CP}^1$ Model

$$\int | (d - ia)z |^2 + V(|z|^2) + \frac{1}{2g^2} da \wedge \star da$$

$z$ : 2-component scalar,  $a$ : dynamical U(1) gauge field

(1+1)D  $\mathbb{CP}^1$  model: **asymptotic freedom**  
 $\sim$  (3+1)D QCD

(Connected via compactification w/ twisted boundary condition)

Yamazaki (2017), Yamazaki, Yonekura (2017), Wan, Wang, Zheng (2018), Yamazaki, Yonekura (2019)



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Cond. mat. motivation: QFT for **anti-ferromagnets**

$$z^\dagger \sigma_\alpha z \sim n_\alpha$$

Gauge inv. combination  $\sim$  spin operator



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1+1D: Haldane conjecture

Haldane (1983)

2+1D: Unconventional critical point

**Deconfined quantum critical point (DQCP)**

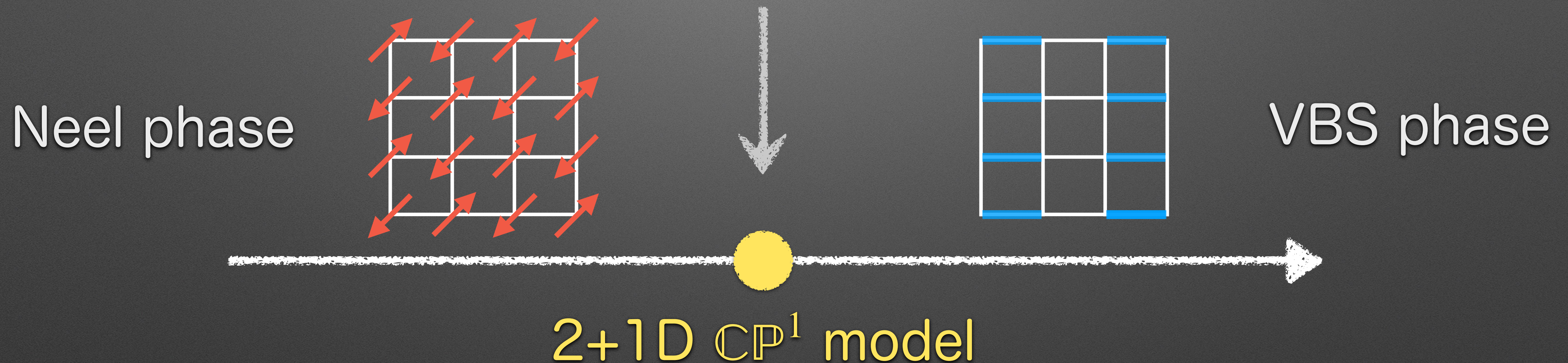
Senthil, Vishwanath, Balents, Sachdev, Fisher (2004)

3+1D: Neel - U(1) spin liquid transition



# DQCP & Competing order

Deconfined quantum critical point



Competing order is explained by

't Hooft anomaly in  $\mathbb{C}\mathbb{P}^1$  model

Senthil, Vishwanath, Balents, Sachdev, Fisher (2004)



# 't Hooft Anomaly

$G$ : global symmetry,  $A$ : background gauge field

$$Z[A + \delta_\theta A] = Z[A]$$



# 't Hooft Anomaly

$G$ : global symmetry,  $A$ : background gauge field

$$Z[A + \delta_\theta A] = Z[A] \underline{e^{i\mathcal{A}[\theta, A]}} \left( \mathcal{A}[\theta, A] \neq \delta_\theta S[A] \right)$$

't Hooft anomaly

Classic: Chiral symmetry in QCD

Recent: **Discrete & higher-form** symmetries



# Anomaly Matching Argument

The 't Hooft anomaly is **RG-invariant**.

Anomaly at **UV**  $\Rightarrow$  The same anomaly at **IR**

Consistency condition on IR behaviors!!

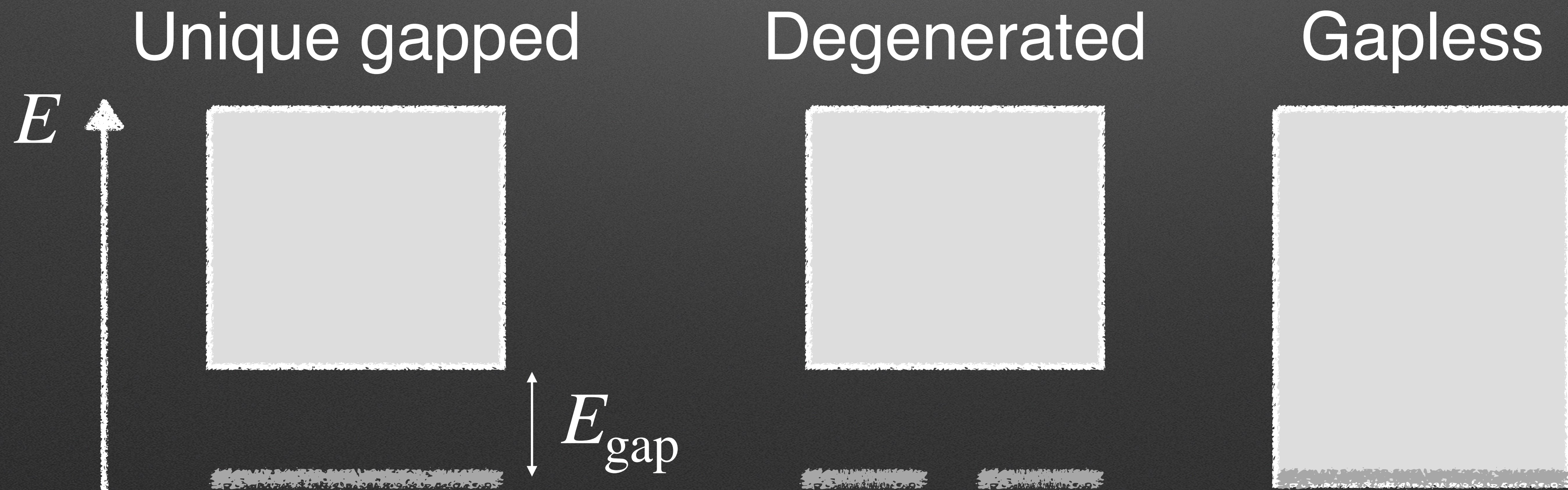


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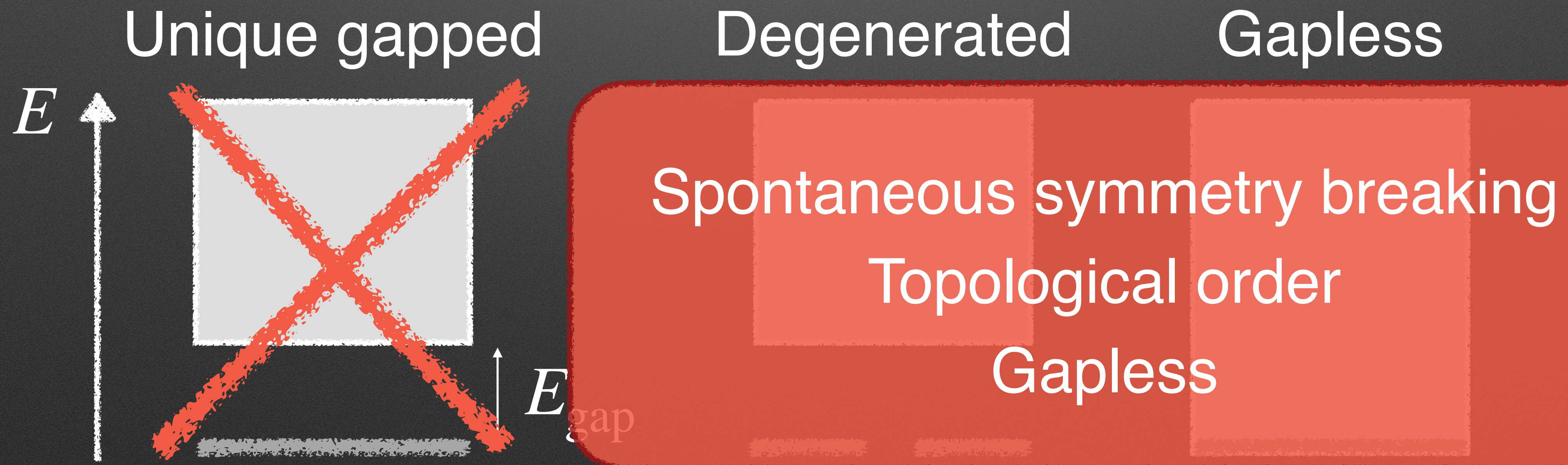


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# Global symmetries in $\mathbb{CP}^1$ model

(1) Flavor symmetry  $SU(2)/\mathbb{Z}_2 = SO(3)_{\text{spin}}$

$$z(x) \rightarrow Uz(x) \quad U \in SU(2) \quad \swarrow$$

$\mathbb{Z}_2 = \{\pm \mathbb{1}_2\}$  is redundant.

(2) Reflection symmetry (TR)  $\mathcal{R}$

$$z(x) \rightarrow i\sigma^2 z^*(R_\mu \cdot x) \quad \left( R_1 = \text{diag}(-1, 1, 1) \right)$$

(3) Magnetic symmetry  $U(1)_M$



# Anomalies in 2+1D $\mathbb{C}P^1$

Metlitski, Thorngren (2018),

Komargodski, Sulejmanpasic, Unsal (2018),

Komargodski, Sharon, Thorngren, Zhou, (2019).

Two mixed anomalies

$$[\text{SU}(2)/\mathbb{Z}_2]_F \times \mathcal{R} \times \text{U}(1)_M$$

Competing order in anti-ferromagnets



# Anomalies in 2+1D $\mathbb{C}P^1$

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$$[\text{SU}(2)/\mathbb{Z}_2]_F \times \mathcal{R} \times \text{U}(1)_M$$

(See the above Refs.)

This talk!!

**NEW**

Furusawa, Hongo (2020)

Nontriviality w/o flavor symmetry!



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# Gauging $\mathcal{R} \times U(1)_M$

$$\int | (d - ia)z |^2 + V(|z|^2) + \frac{1}{2g^2} da \wedge \star da + \frac{i}{2\pi} K \wedge da$$

Gauging  $U(1)_M$  = adding            via minimal coupling

Gauge field for  $\mathcal{R}$  ?



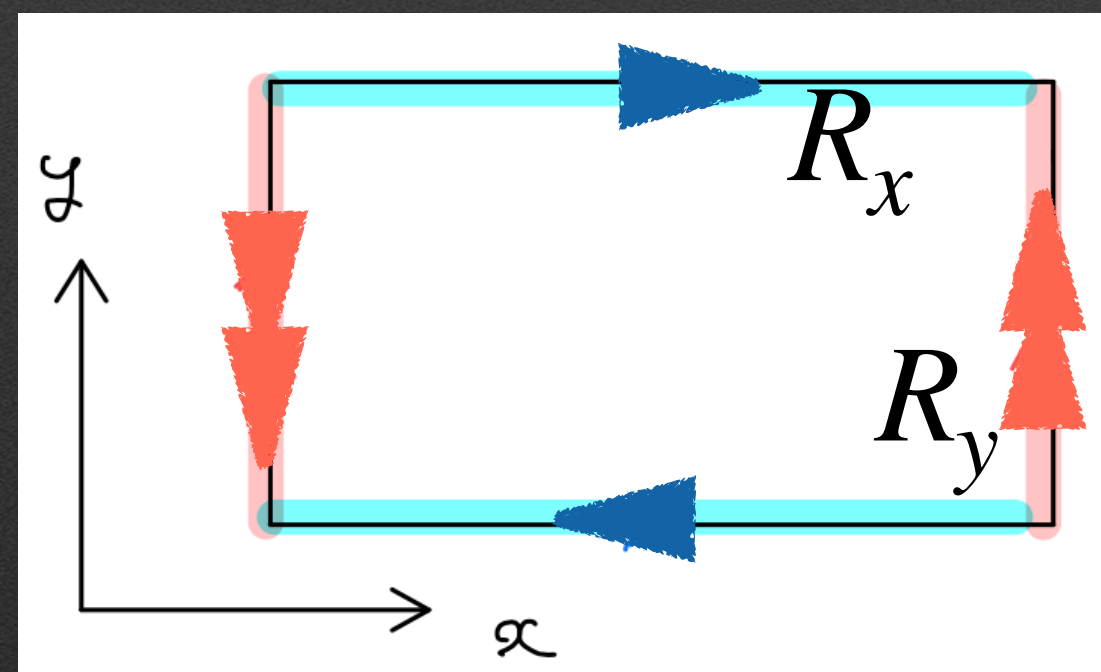
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Gauge field for  $\mathcal{R}$  ? = Twisted boundary condition by  $\mathcal{R}$

$$\mathbb{R}_\tau \times S_x^1 \times S_y^1$$





# Gauging $\mathcal{R} \times U(1)_M$

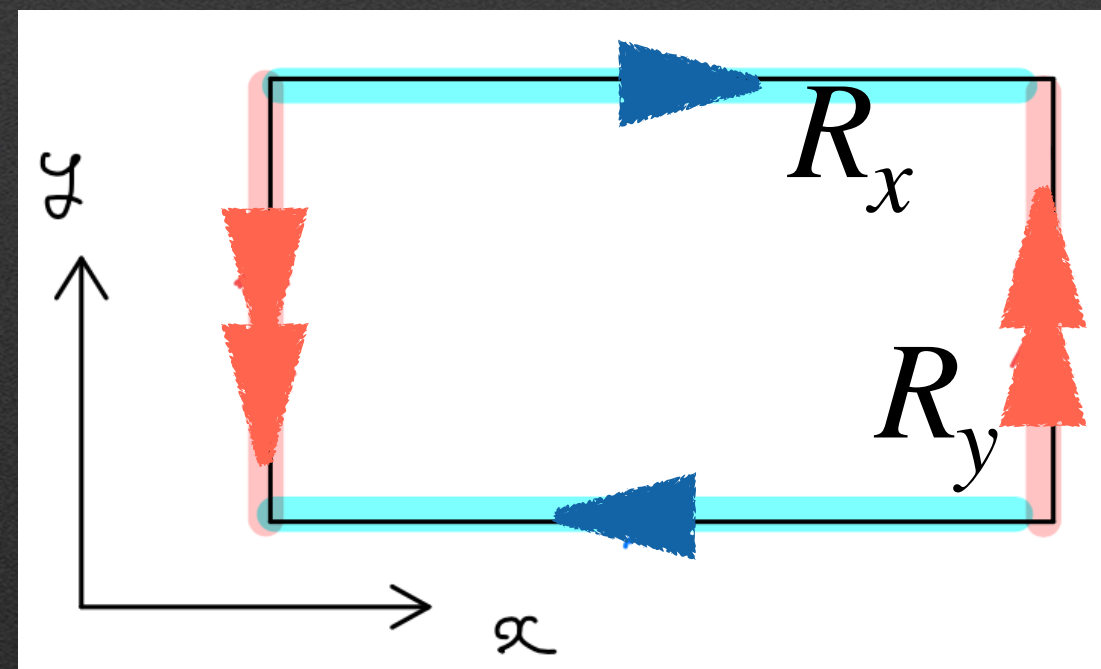
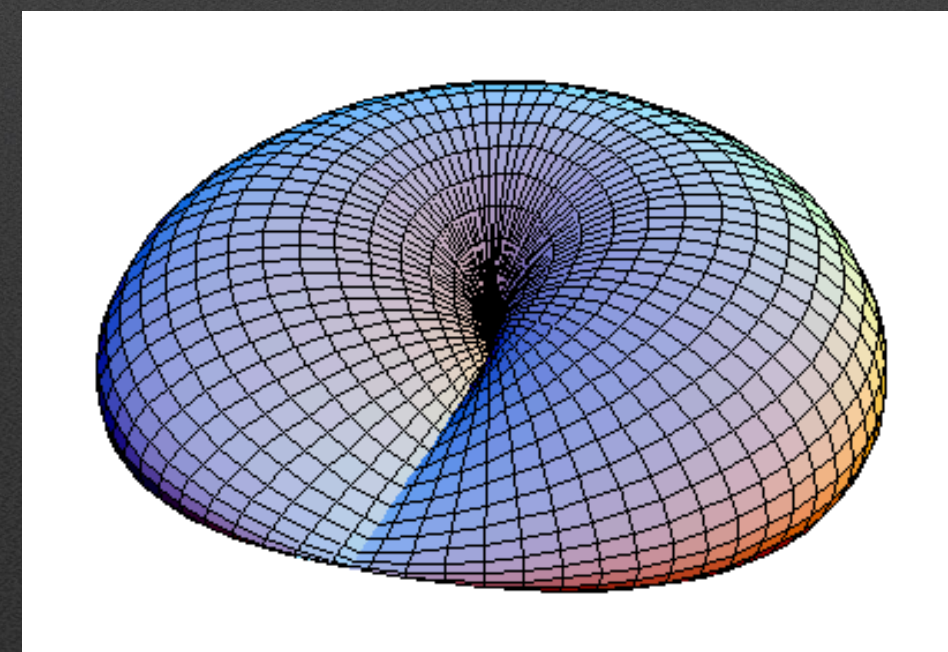
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$$\mathbb{R}_\tau \times S_x^1 \times S_y^1$$

$$\mathbb{R}_\tau \times \mathbb{RP}_{xy}^2$$


 $\cong$ 




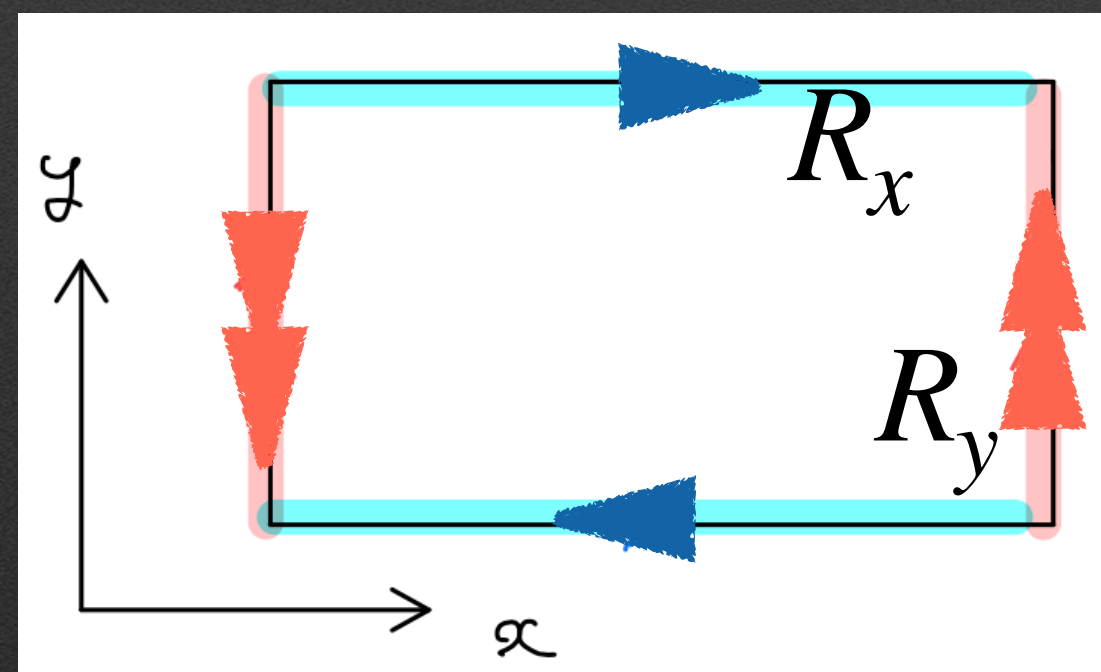
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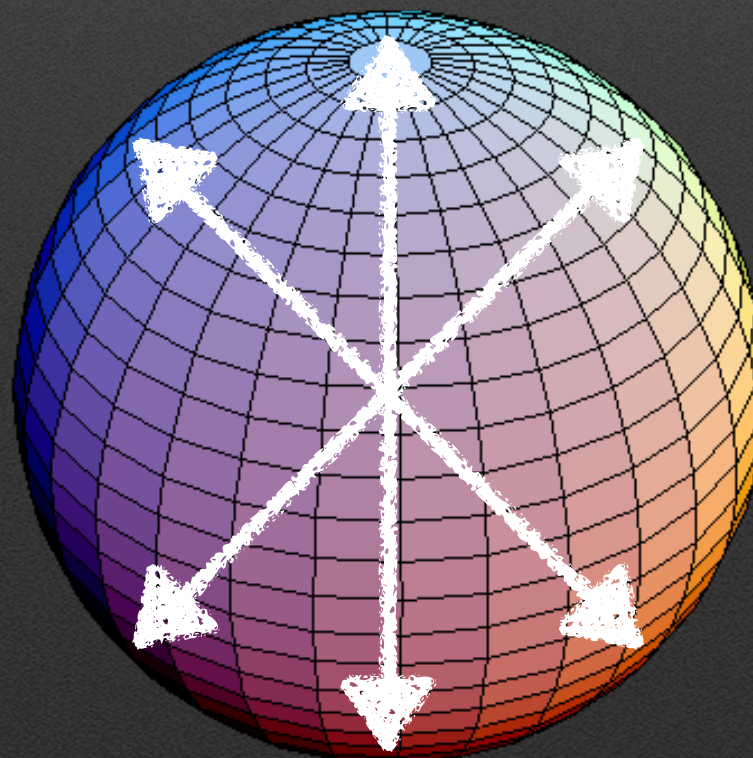
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Gauge field for  $\mathcal{R}$  ? = Twisted boundary condition by  $\mathcal{R}$

$$\mathbb{R}_\tau \times S_x^1 \times S_y^1$$



$$\mathbb{R}_\tau \times \mathbb{RP}_{xy}^2$$



$\simeq$

$$\int_{\mathbb{RP}^2} da = \pi$$

Half-monopole inside  $\mathbb{RP}^2$



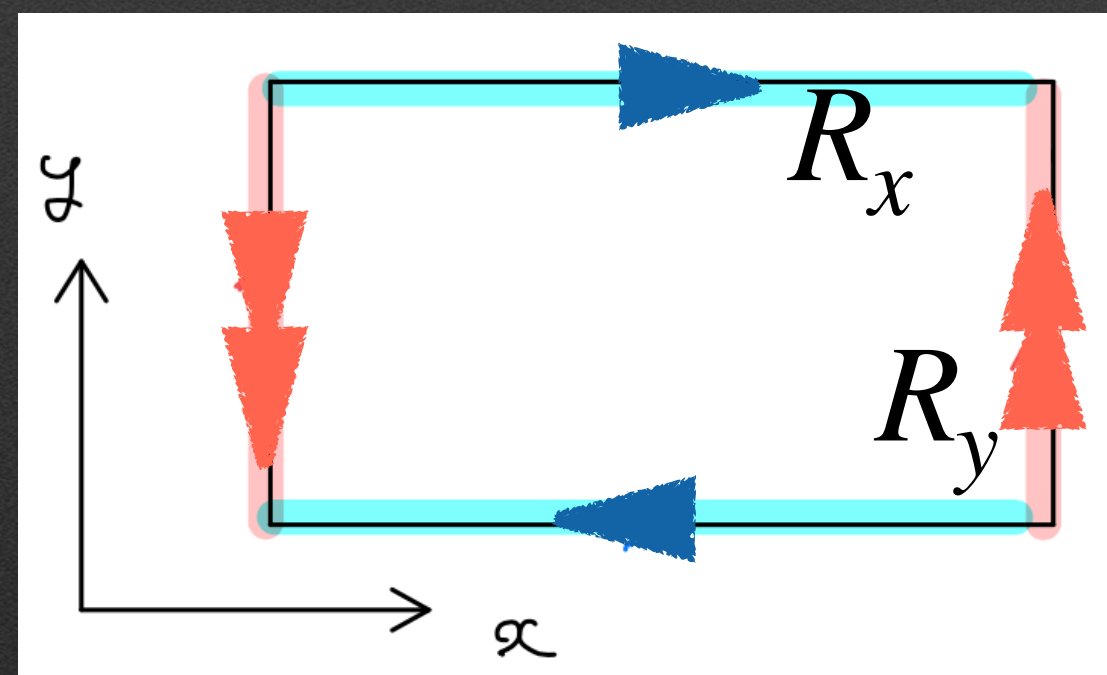
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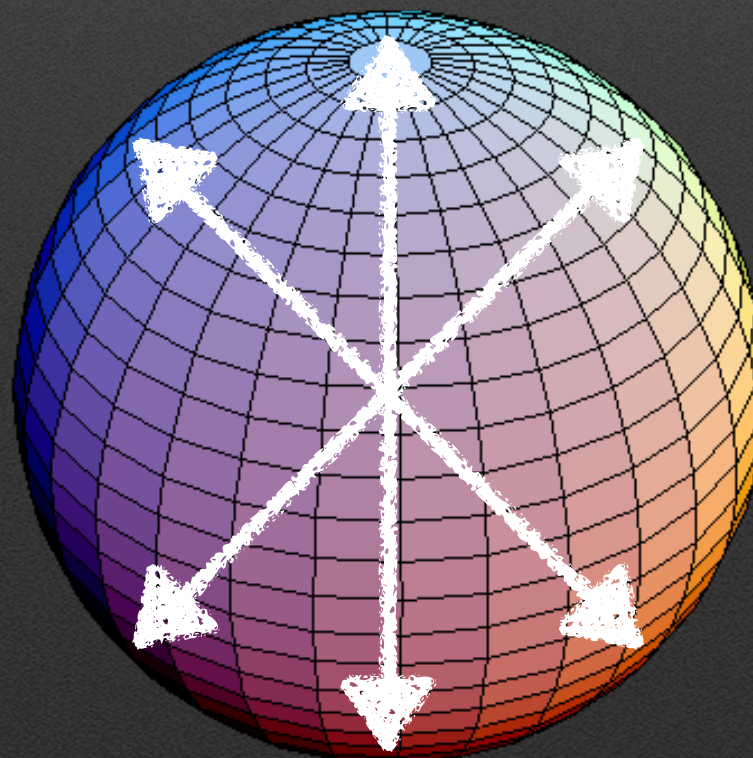
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Gauge field for  $\mathcal{R}$  ? = Twisted boundary condition by  $\mathcal{R}$

$$\mathbb{R}_\tau \times S_x^1 \times S_y^1$$



$$\mathbb{R}_\tau \times \mathbb{RP}_{xy}^2$$



$\simeq$

$$\int_{\mathbb{RP}^2} da = \pi = \int_{\mathbb{RP}^2} \pi W_2$$

2nd Stiefel-Whitney class

Sulejmanpasic, Tanizaki (2018),

Furusawa, Hongo (2020)



# Inconsistency on $\mathbb{R}_\tau \times \mathbb{R}P_{xy}^2$

$$\int | (d - ia)z |^2 + V(|z|^2) + \frac{1}{2g^2} da \wedge \star da + \frac{i}{2\pi} K \wedge da$$

$U(1)_M$  gauge transformation

$$K \rightarrow K + d\Lambda$$

$$Z_{\mathbb{C}P^1}[w_2, K] \rightarrow Z_{\mathbb{C}P^1}[w_2, K] e^{\frac{i}{2\pi} \int d\Lambda \wedge \pi w_2} \equiv i\pi$$

$\mathcal{R} \times U(1)_M$  anomaly



# Ingapability w/o flavor symmetry

$SO(3)_{\text{spin}}$ -breaking but  $\mathcal{R}$ -preserving perturbations:

Easy-plane

Dzyaloshinskii-Moriya

$$V(n^\alpha) = \sum_{\alpha} \mu^\alpha n^\alpha n^\alpha + \sum_{\alpha, \beta, \gamma} \kappa_i^\alpha \epsilon^{\alpha\beta\gamma} n^\beta (\partial_i n^\gamma + (\kappa_i \times n)^\gamma).$$

$$\left( n^\alpha = z^\dagger \sigma^\alpha z \right)$$

	$PSU(2)_F$	$\mathcal{R}_1(\sim \mathcal{T})$	$\mathcal{R}_2$	$\mathcal{R}_3$	$\dots$	$\mathcal{R}_D$	$U(1)_M^{[D-3]}$
$\mu^{\alpha=x}$	$\rightarrow O(2)_x$	$\circ$	$\circ$	$\circ$	$\dots$	$\circ$	$\circ$
$\kappa_{i=2}^{\alpha=x}$	$\rightarrow O(2)_x$	$\circ$	$\times$	$\circ$	$\dots$	$\circ$	$\circ$

No unique gapped ground state with  $\mathcal{R} \times U(1)_M$



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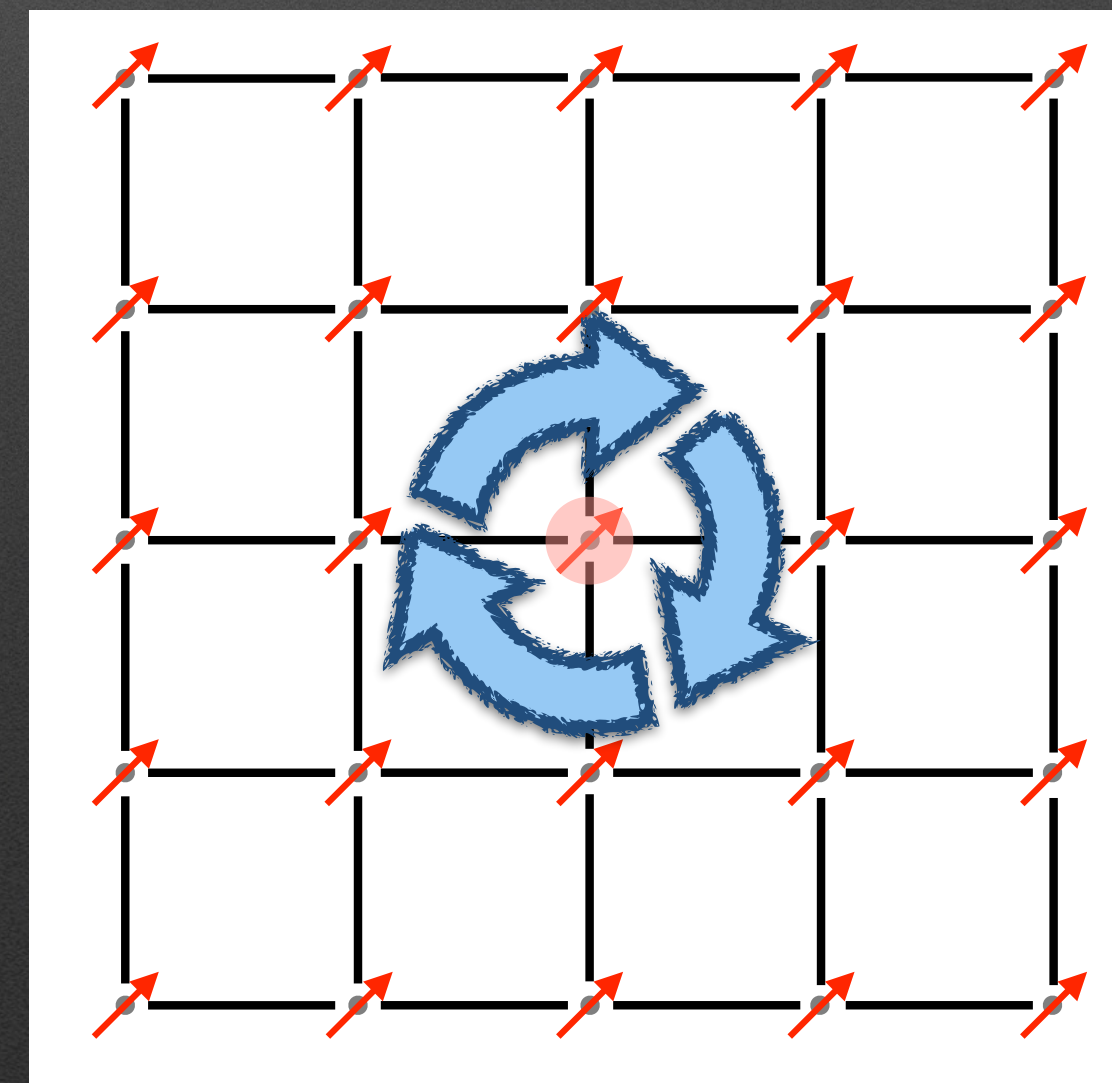


# Discrete Magnetic symmetry

At the lattice scale,

Monopoles are charged under **site-centered rotation** because of the spin Berry phase. Haldane (1988)

Magnetic symmetry  $\sim$  Site-centered rotation



Square lattice

$$\mathbb{Z}_{n=4}$$



# Discrete Magnetic symmetry

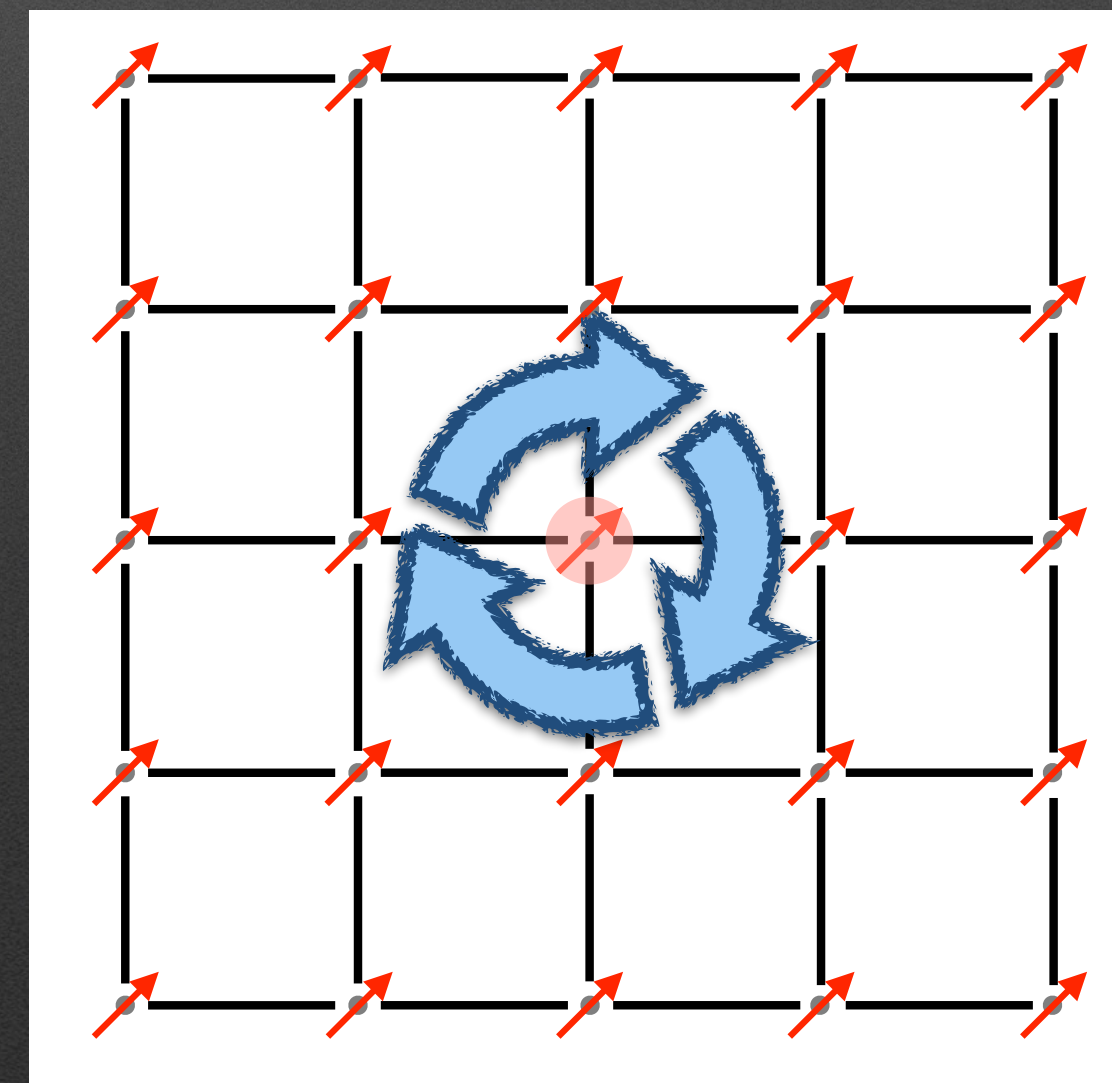
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Skyrmions are charged under **site-centered rotation** because of the spin Berry phase. Haldane (1988)

Magnetic symmetry  $\sim$  Site-centered rotation

$$\mathcal{M}^4 + \mathcal{M}^{-4}$$

4-monopole event



Square lattice

$$\mathbb{Z}_{n=4}$$



# Discrete Magnetic symmetry

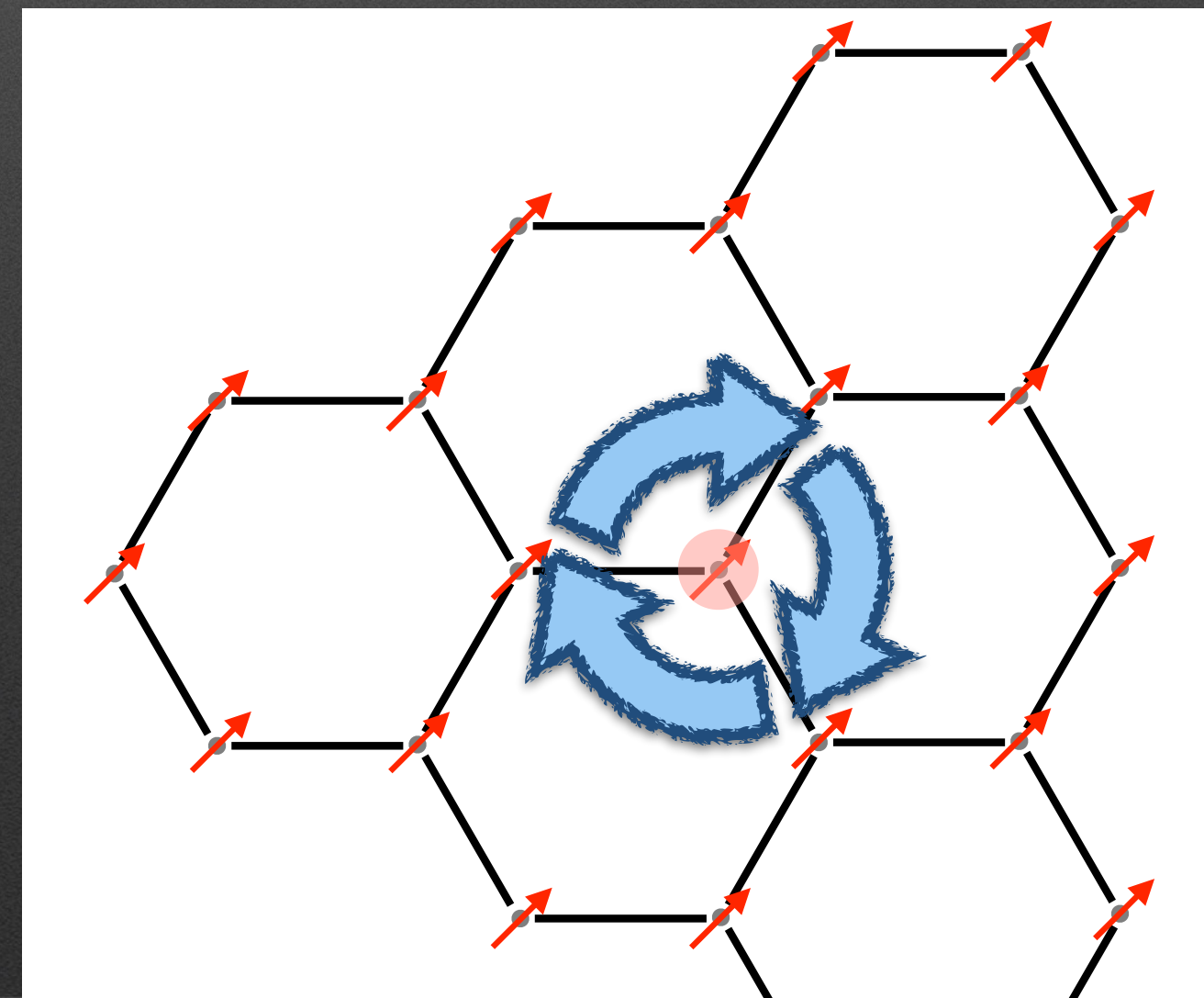
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3-monopole event



Honeycomb  
lattice

$$\mathbb{Z}_{n=3}$$



# Discrete Magnetic symmetry

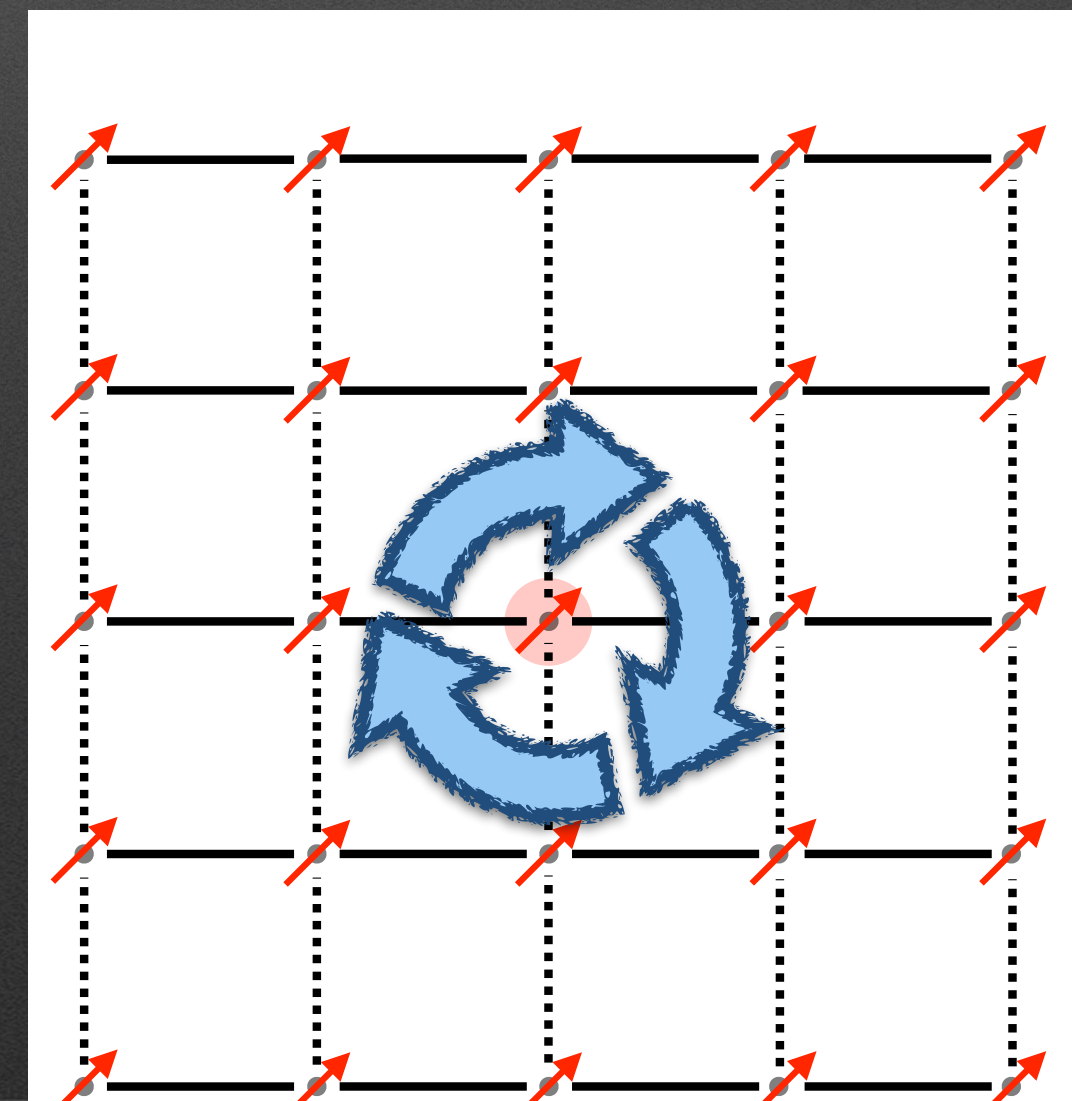
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Magnetic symmetry  $\sim$  Site-centered rotation

$$\mathcal{M}^2 + \mathcal{M}^{-2}$$

2-monopole event



Rectangular  
lattice

$$\mathbb{Z}_{n=2}$$



# $\mathcal{R} \times (\mathbb{Z}_n)_M$ Anomaly

Replace  $K$  by  $\mathbb{Z}_n$  gauge field  $K_n$ .  $(nK_n = dH)$



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Replace  $K$  by  $\mathbb{Z}_n$  gauge field  $K_n$ .  $(nK_n = dH)$

$(\mathbb{Z}_n)_M$  gauge transformation:  $K_n \rightarrow K_n + d\Lambda$ ,  $H \rightarrow H + n\Lambda$ .

$$Z_{\mathbb{C}\mathbb{P}^1}[w_2, K_n] \rightarrow Z_{\mathbb{C}\mathbb{P}^1}[w_2, K_n] e^{\frac{i}{2\pi} \int d\Lambda \wedge \pi w_2}$$



# $\mathcal{R} \times (\mathbb{Z}_n)_M$ Anomaly

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Local counter term:

$$\frac{i}{2\pi} \int dH \wedge \pi w_2 \rightarrow \frac{i}{2\pi} \int dH \wedge \pi w_2 + \frac{in}{2\pi} \int d\Lambda \wedge \pi w_2 \begin{cases} 0 & n : \text{even} \\ i\pi & n : \text{odd} \end{cases}$$



# $\mathcal{R} \times (\mathbb{Z}_n)_M$ Anomaly

Even  $n$  : anomaly **present** (square, rectangular, ...)

Odd  $n$  : anomaly **absent** (honeycomb, ...)

$$\mathcal{L}_{\mathbb{CP}^1[W_2, \Lambda_n]} \rightarrow \mathcal{L}_{\mathbb{CP}^1[W_2, \Lambda_n]} e^{2\pi i \int \Lambda_n}$$

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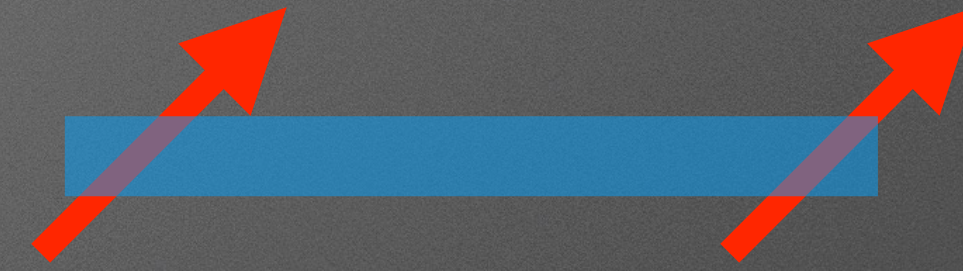
# $\mathcal{R} \times (\mathbb{Z}_n)_M$ Anomaly on Lattice <sup>16/25</sup>

Spin 1/2



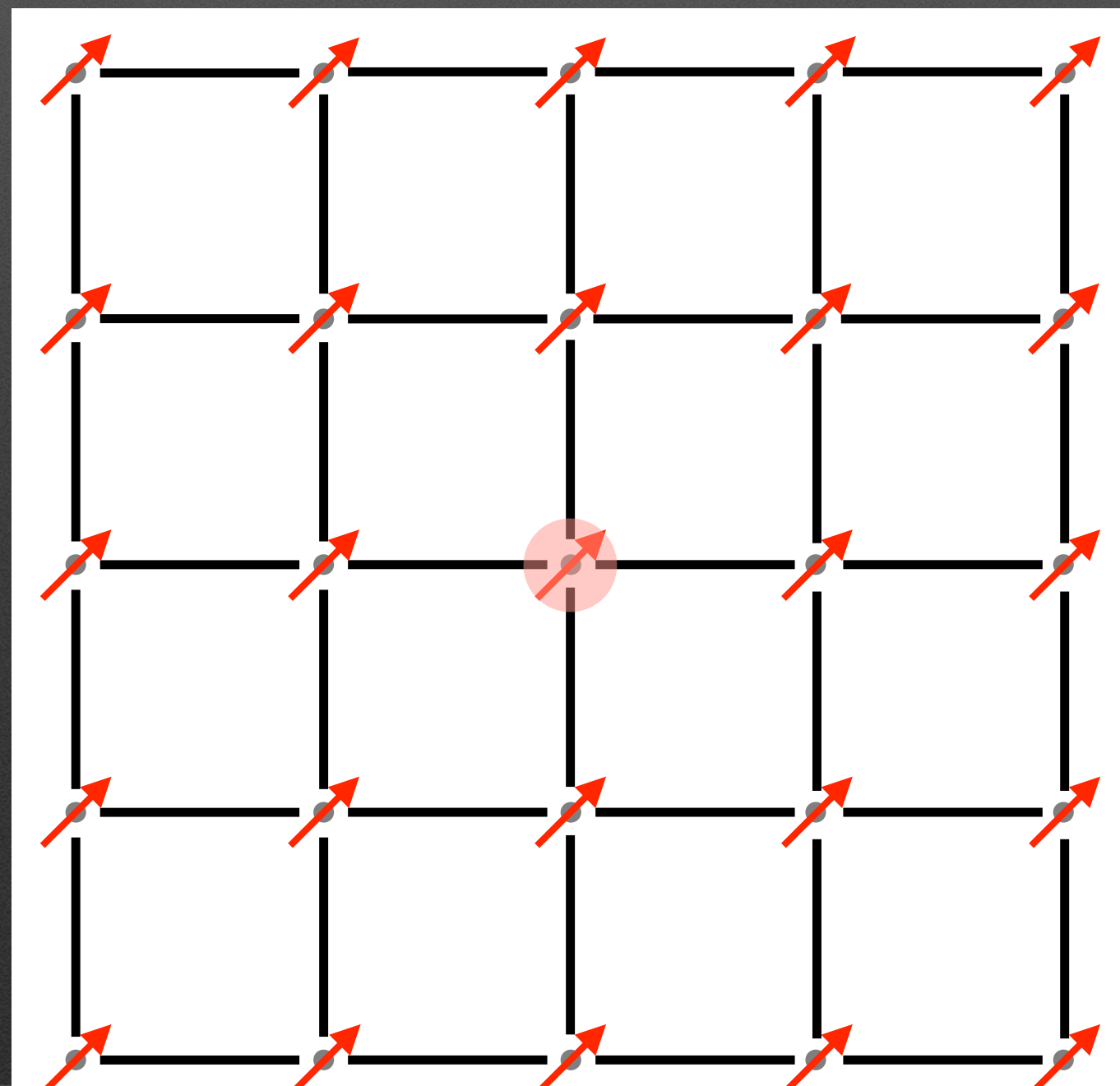
$\mathcal{R} \sim \mathcal{T}$  anomaly  
(Kramers doublet)

Haldane chain



1+1D Spin 1 system

No anomaly





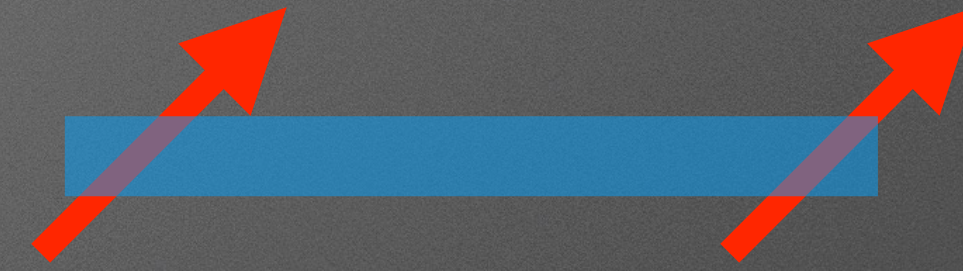
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Haldane chain



1+1D Spin 1 system  
No anomaly

Can we construct the spin 1/2 systems without the anomaly??

Rule: We must keep the site-centered rotation.



# $\mathcal{R} \times (\mathbb{Z}_n)_M$ Anomaly on Lattice <sup>16/25</sup>

Spin 1/2

$\mathcal{R} \sim \mathcal{T}$  anomaly  
(Kramars doublet)

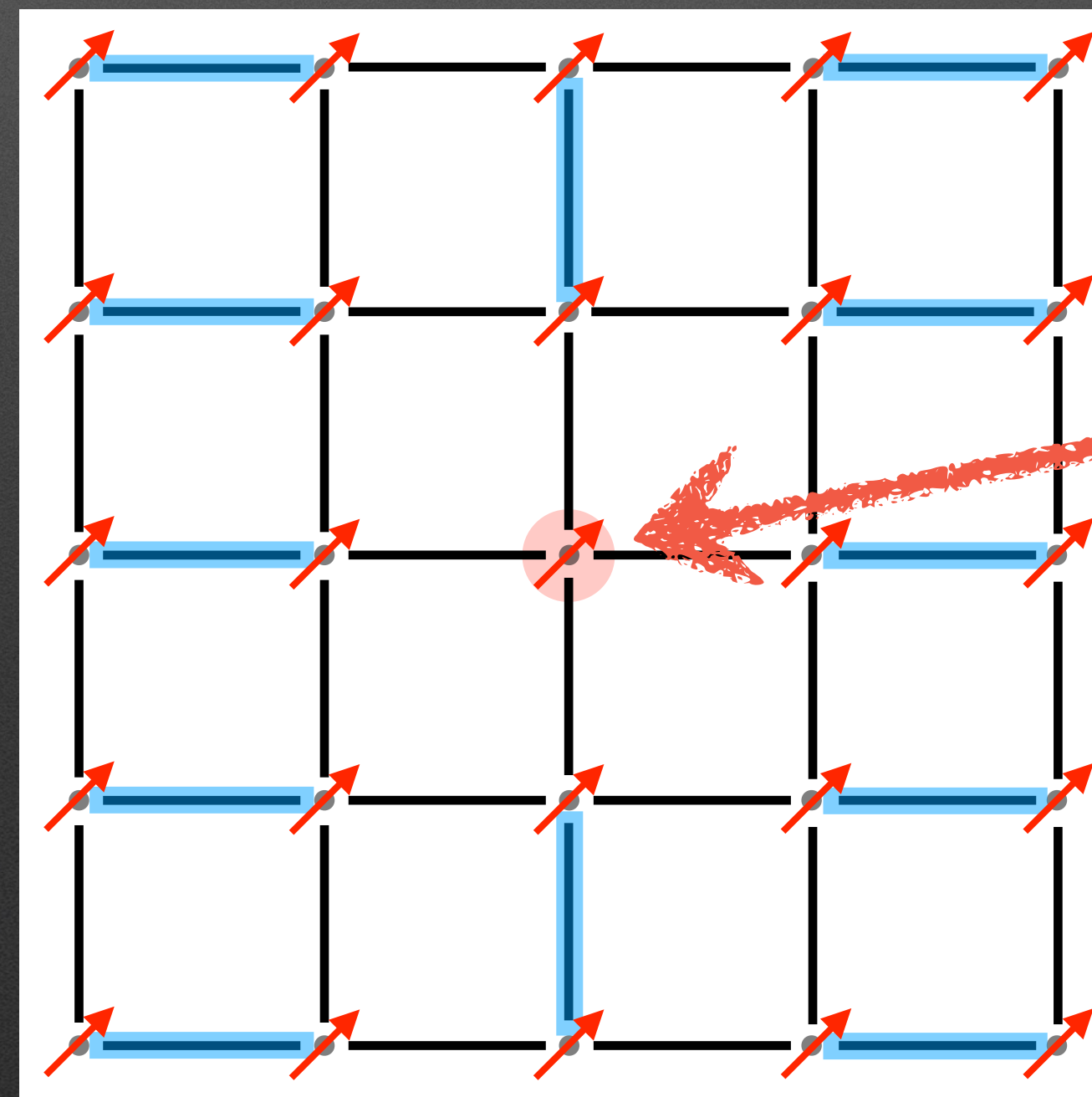
Rectangular ( $\mathbb{Z}_2$ )

Anomalous

Haldane chain

1+1D Spin 1 system

No anomaly



Rotation center



# $\mathcal{R} \times (\mathbb{Z}_n)_M$ Anomaly on Lattice <sup>16/25</sup>

Spin 1/2

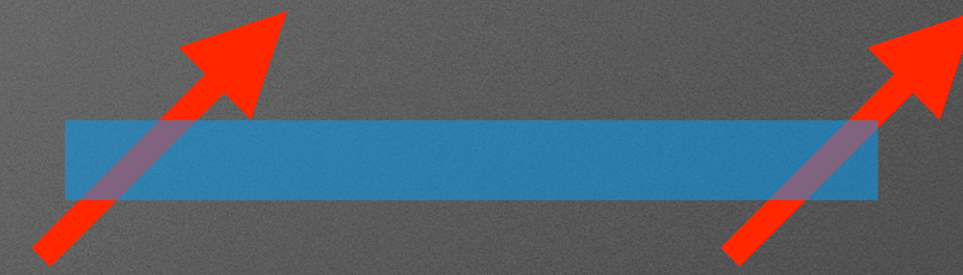


$\mathcal{R} \sim \mathcal{T}$  anomaly  
(Kramers doublet)

Square ( $\mathbb{Z}_4$ )

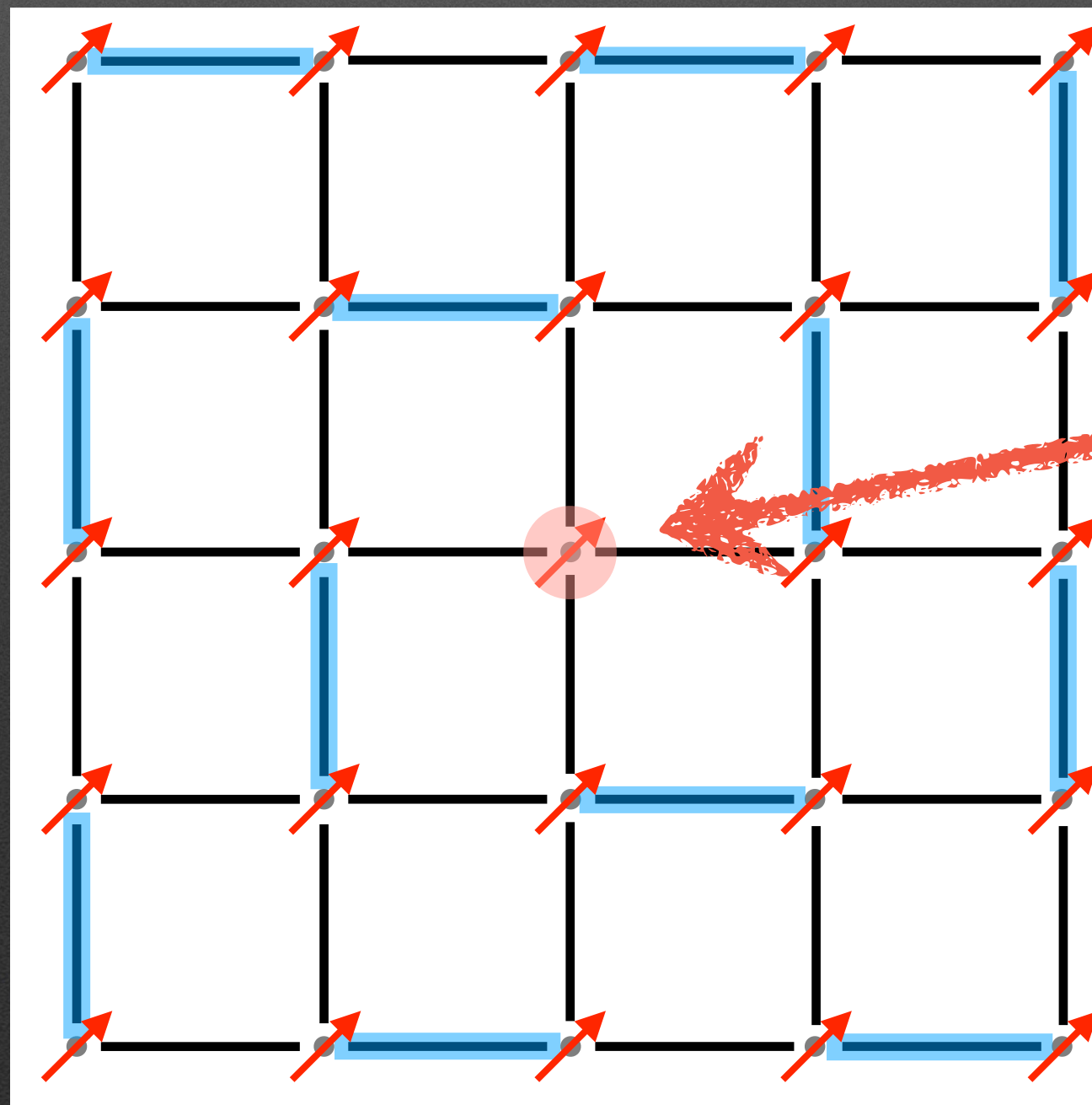
Anomalous

Haldane chain



1+1D Spin 1 system

No anomaly



Rotation center



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Spin 1/2

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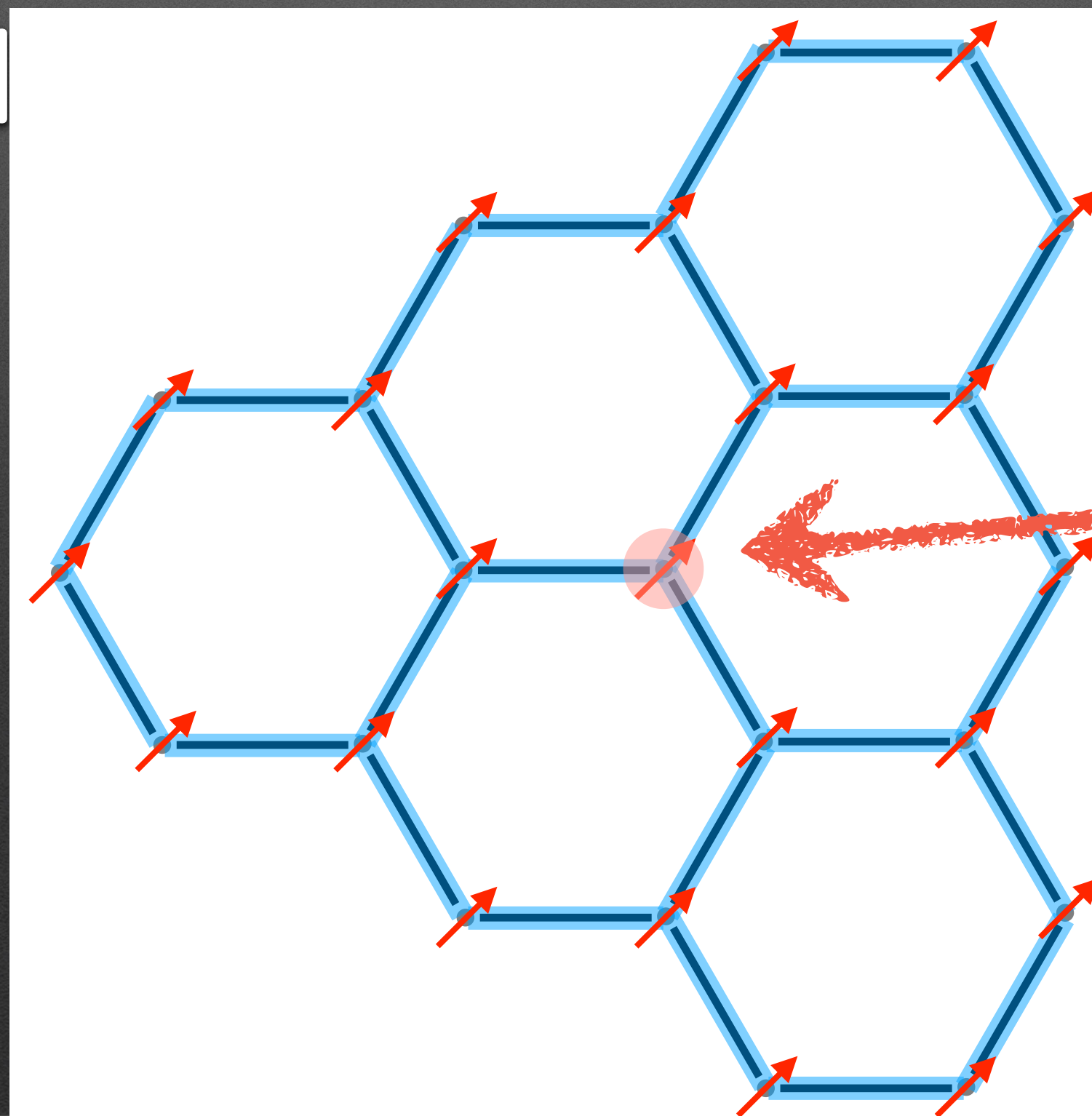
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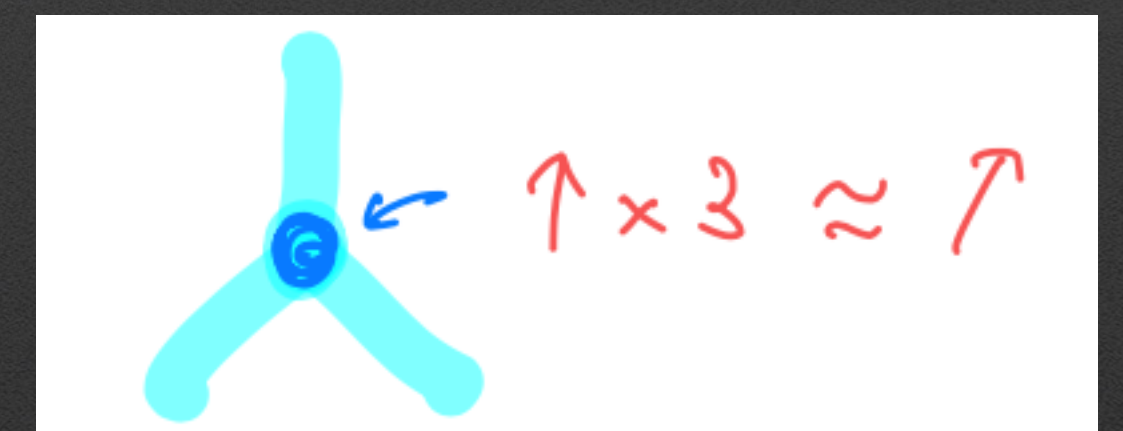
No anomaly

Honeycomb ( $\mathbb{Z}_3$ )

Not anomalous



Rotation center



Furusawa, Hongo (2020)

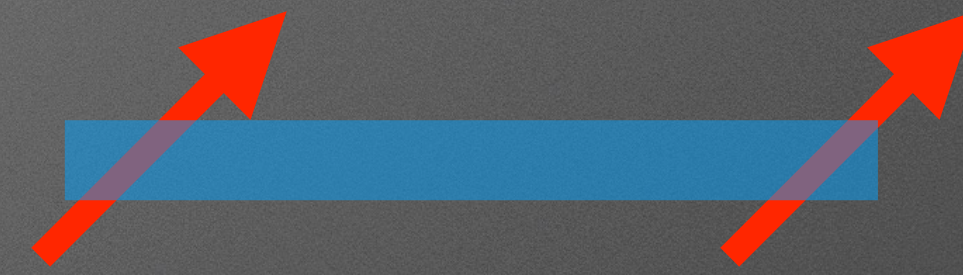


# $\mathcal{R} \times (\mathbb{Z}_n)_M$ Anomaly on Lattice <sup>16/25</sup>

Spin 1/2



Haldane chain



$\mathcal{R} \sim \mathcal{T}$  anomaly

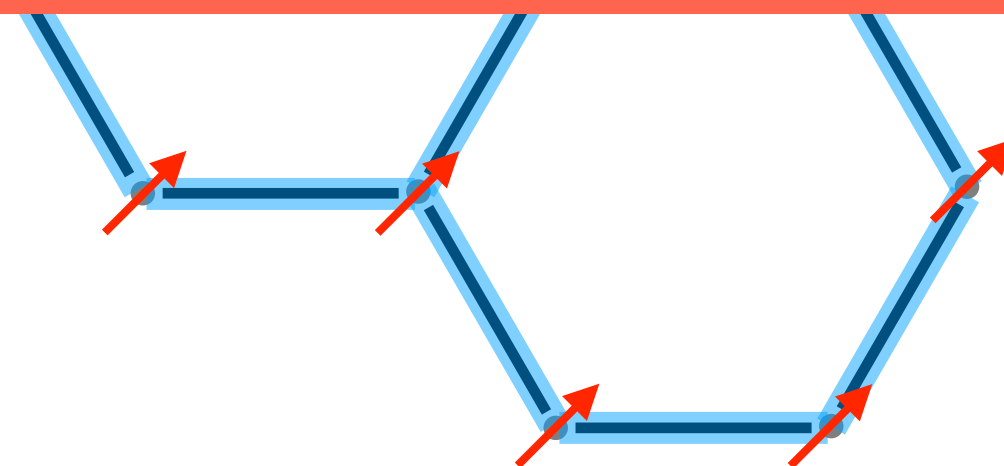
1+1D Spin-1 system

Consistent with  $\mathcal{R} \times (\mathbb{Z}_n)_M$  anomaly.

It should be present at the lattice scale.

ter

Not anomalous



Furusawa, Hongo (2020)



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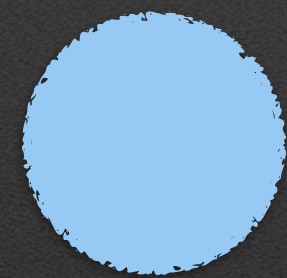
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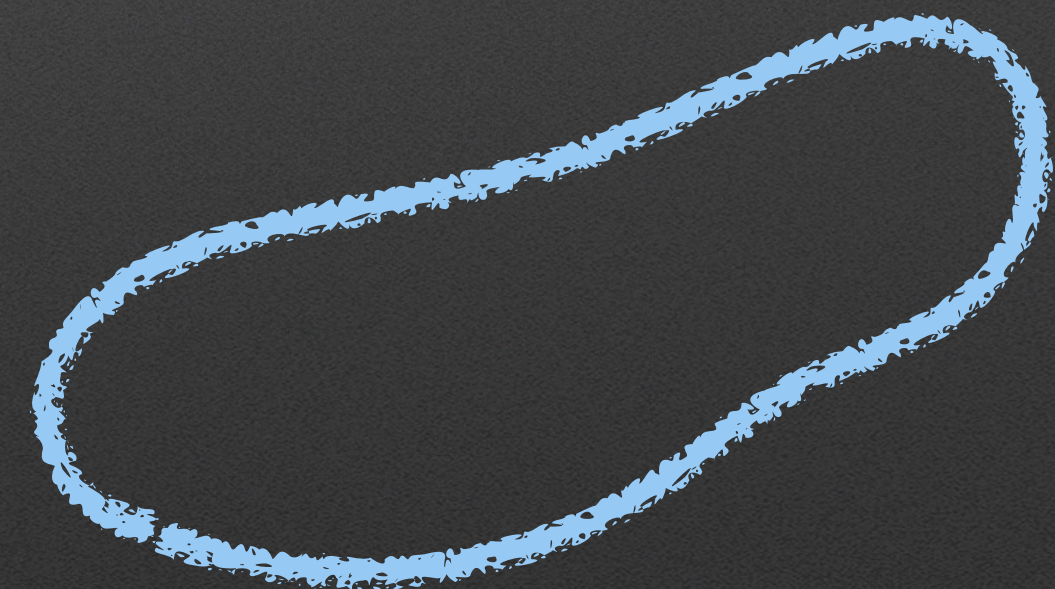
# Magnetic symmetry in 3+1D

$$\begin{array}{ccc}
 \text{2+1D} & & \text{3+1D} \quad \text{U(1)}_M^{[1]} \\
 J_M^\mu = \frac{i}{2\pi} \epsilon^{\mu\nu\rho} \partial_\nu a_\rho & \longrightarrow & J_M^{\mu\nu} = \frac{i}{2\pi} \epsilon^{\mu\nu\rho\eta} \partial_\rho a_\eta
 \end{array}$$

Monopole



Magnetic loop ('t Hooft loop)





# Gauging $\mathcal{R} \times \text{U}(1)_M^{[1]}$

$$\int | (d - ia)z |^2 + V(|z|^2) + \frac{1}{2g^2} da \wedge \star da + \frac{i}{2\pi} K \wedge da$$

(1) Adding            via minimal coupling

2-form gauge field



# Gauging $\mathcal{R} \times U(1)_M^{[1]}$

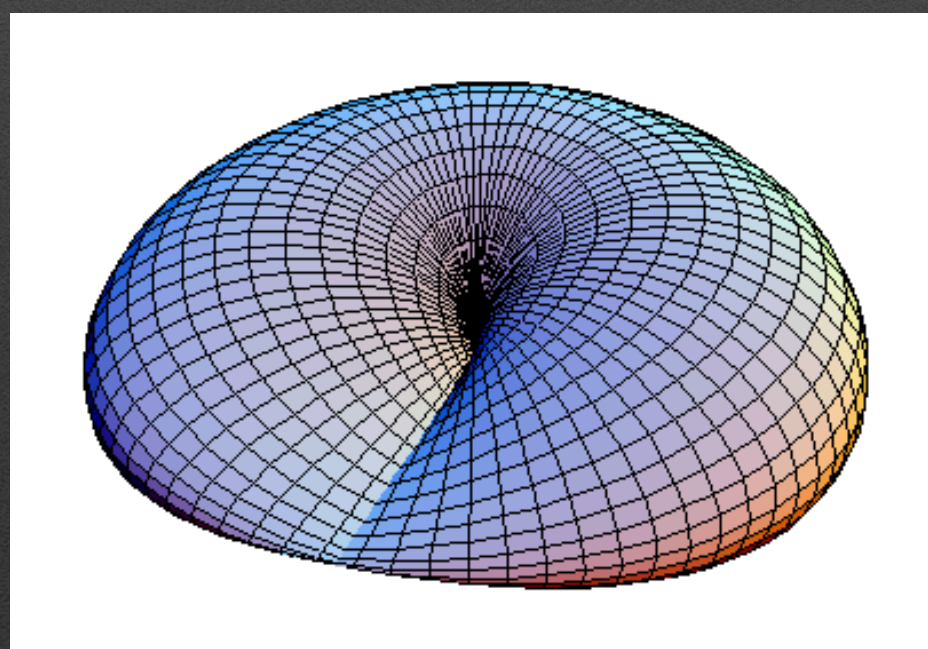
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(1) Adding            via minimal coupling

(2) Twisted boundary condition by  $\mathcal{R}$

2-form gauge field

$$\mathbb{R}_\tau \times \mathbb{R}_x \times \mathbb{RP}_{yz}^2$$



$$\int_{\mathbb{RP}^2} da = \int_{\mathbb{RP}^2} \pi w_2$$



# Inconsistency on $\mathbb{R}_\tau \times \mathbb{R}_x \times \mathbb{R}P^2_{yz}$

$$\int | (d - ia)z |^2 + V(|z|^2) + \frac{1}{2g^2} da \wedge \star da + \frac{i}{2\pi} K \wedge da$$

$U(1)_M^{[1]}$  gauge transformation

$$K \rightarrow K + d\Lambda$$

$$Z_{\mathbb{C}P^1}[w_2, K] \rightarrow Z_{\mathbb{C}P^1}[w_2, K] e^{\frac{i}{2\pi} \int d\Lambda \wedge \pi w_2}$$

$\mathcal{R} \times U(1)_M^{[1]}$  anomaly



# Fate of anomalies at finite $T$



Circle compactification.



# Fate of anomalies at finite $T$



Anomalies w/ 0-form symm.  $\Rightarrow$  Can be trivial.

Anomalies w/ 1-form symm.  $\Rightarrow$  Must be nontrivial.

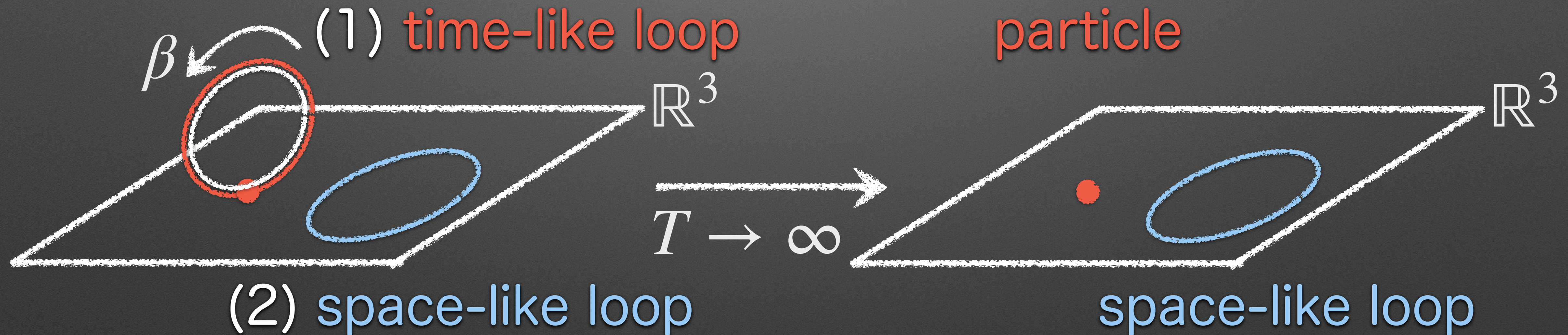
Gaiotto, Kapustin, Komargodski, Seiberg (2017), Komargodski, Sulejmanpasic, Unsal (2018)

Shimizu, Yonekura (2018), Tanizaki, Kikuchi, Misumi, Sakai (2018), Yonekura(2019), Furusawa, Hongo (2020)



# 1-form symmetry at finite $T$

At finite  $T$ , two types of magnetic loops



Decomposition of the gauge field:  $K = \underline{K^{(2)}} + \frac{d\tau}{\beta} \wedge \underline{K^{(1)}}$



# Inconsistency on $S^1_\tau \times \mathbb{R}_x \times \mathbb{RP}^2_{yz}$

$$\int | (d - ia)z |^2 + V(|z|^2) + \frac{1}{2g^2} da \wedge \star da + \frac{i}{2\pi} K \wedge da$$



# Inconsistency on $S^1_\tau \times \mathbb{R}_x \times \mathbb{RP}^2_{yz}$

$$\int | (d - ia)z |^2 + V(|z|^2) + \frac{1}{2g^2} da \wedge \star da + \frac{i}{2\pi} K \wedge da$$

$U(1)_M$  gauge transformations:

$$K^{(1)} \rightarrow K^{(1)} + d\Lambda^{(1)}$$

$$Z_{\mathbb{CP}^1}[w_2, K] \rightarrow Z_{\mathbb{CP}^1}[w_2, K] e^{\frac{i}{2\pi} \int d\Lambda^{(1)} \wedge \pi w_2}$$

$\mathcal{R} \times U(1)_M$  anomaly at finite T

(See also our paper for  $PSU(N) \times U(1)_M^{[1]}$  anomaly at finite T)



# Finite-T phase diagram

Anomalies at finite T  $\Rightarrow$  No trivial phase at any T



# Finite-T phase diagram

Anomalies at finite  $T \Rightarrow$  No trivial phase at any  $T$

\* Typical phases: Neel phase &  $U(1)$  spin liquid phase  
 (Higgs) (Coulomb)

SSB of  $\mathcal{R}$  [or  $SO(3)_{\text{spin}}$ ]

$\Rightarrow$  vacuum degeneracy  
 [NG bosons]



SSB of  $U(1)_{M}^{[1]}$

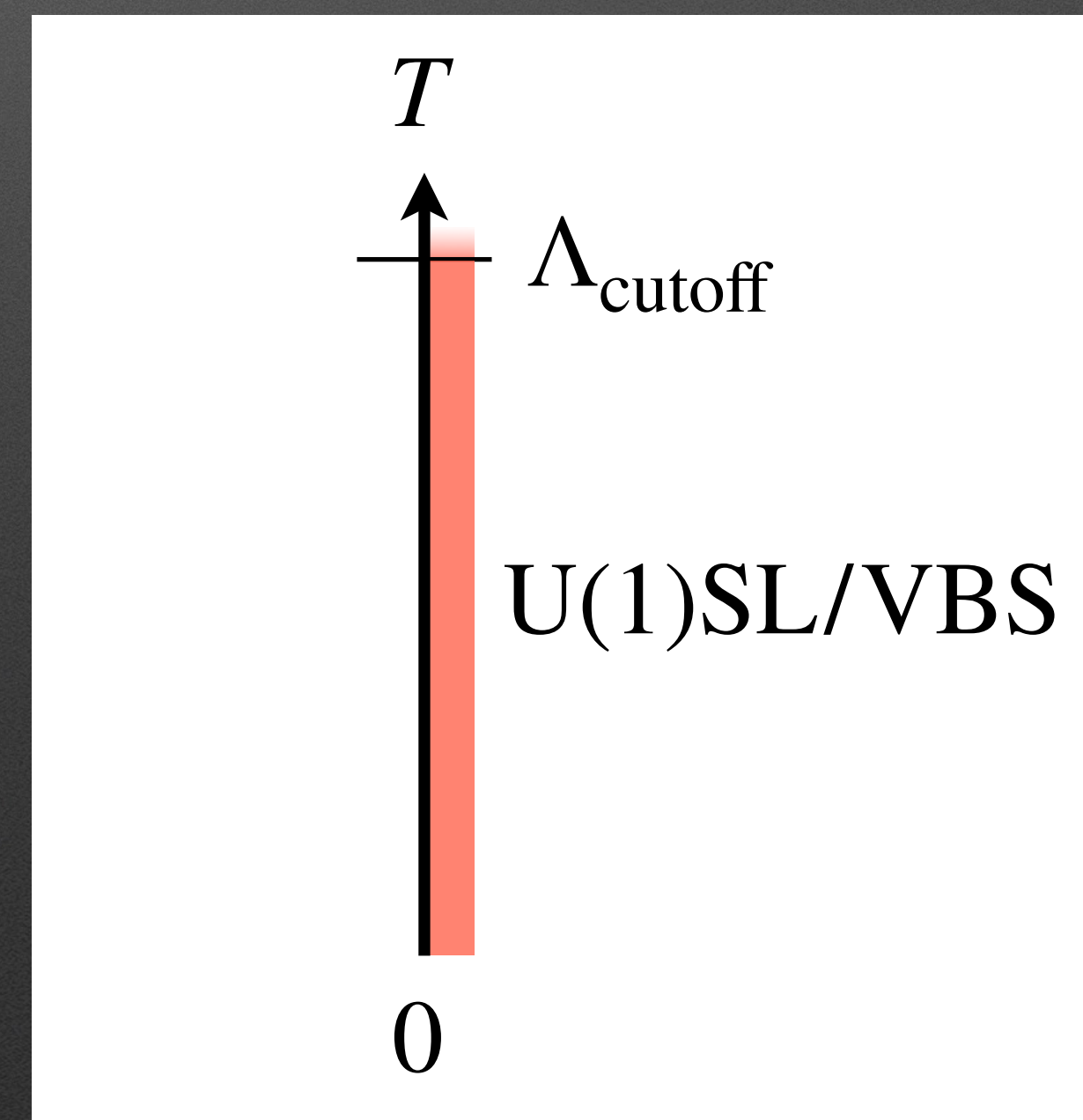
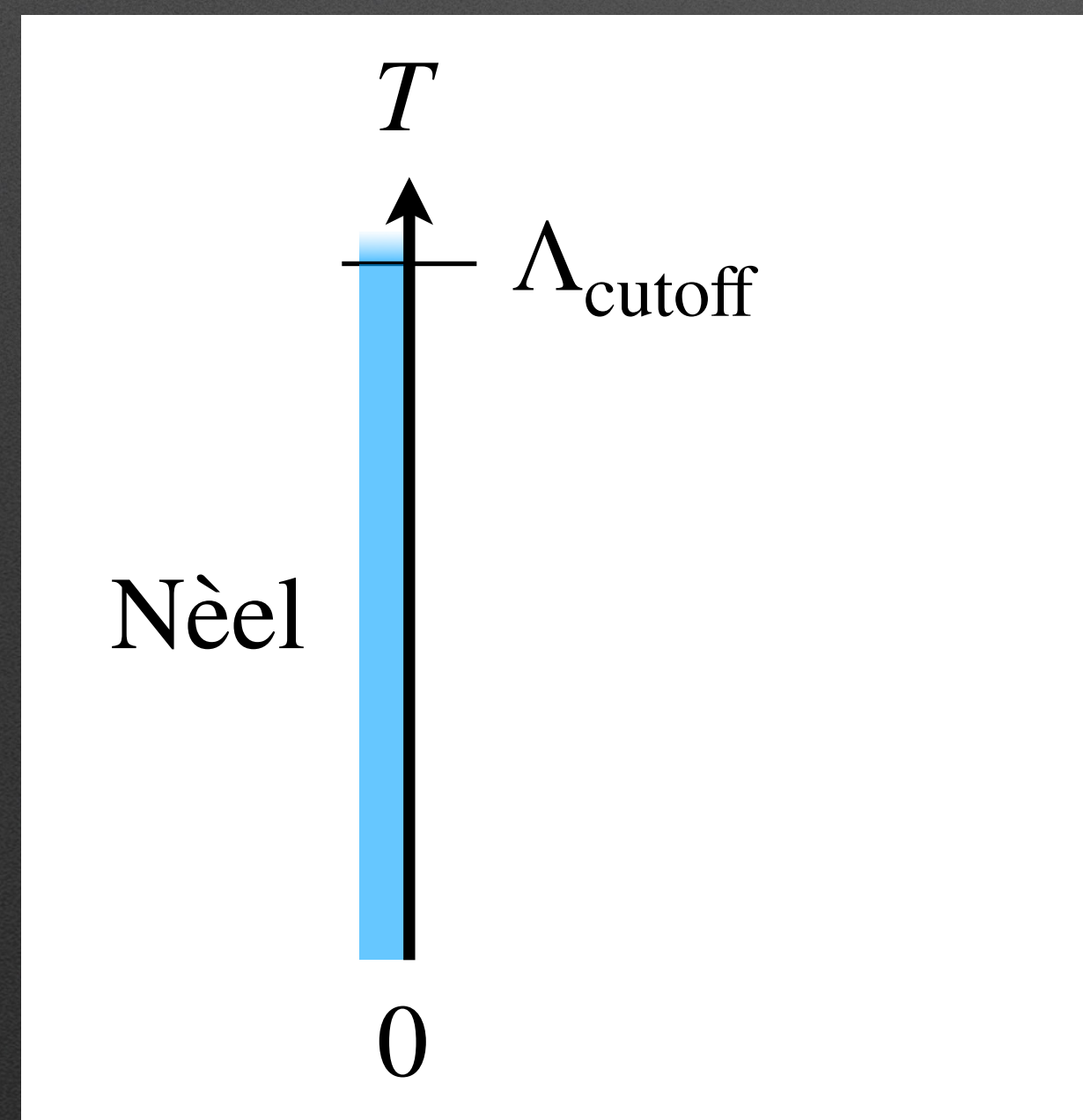
$\Rightarrow$  Dynamical gauge boson  
 (c.f. Hidden-local symm.)



# Finite- $T$ phase diagram

Anomalies at finite  $T \Rightarrow$  No trivial phase at any  $T$

\* Typical phases: Neel phase &  $U(1)$  spin liquid phase  
(Higgs) (Coulomb)





# Finite-T phase diagram

Anomalies at finite T  $\Rightarrow$  No trivial phase at any T

\* Typical phases: Neel phase & U(1) spin liquid phase  
 (Higgs) (Coulomb)

Breaks at  $T_{\text{Nèel}}$



Realizes at  $T_{\text{Mag.}}$



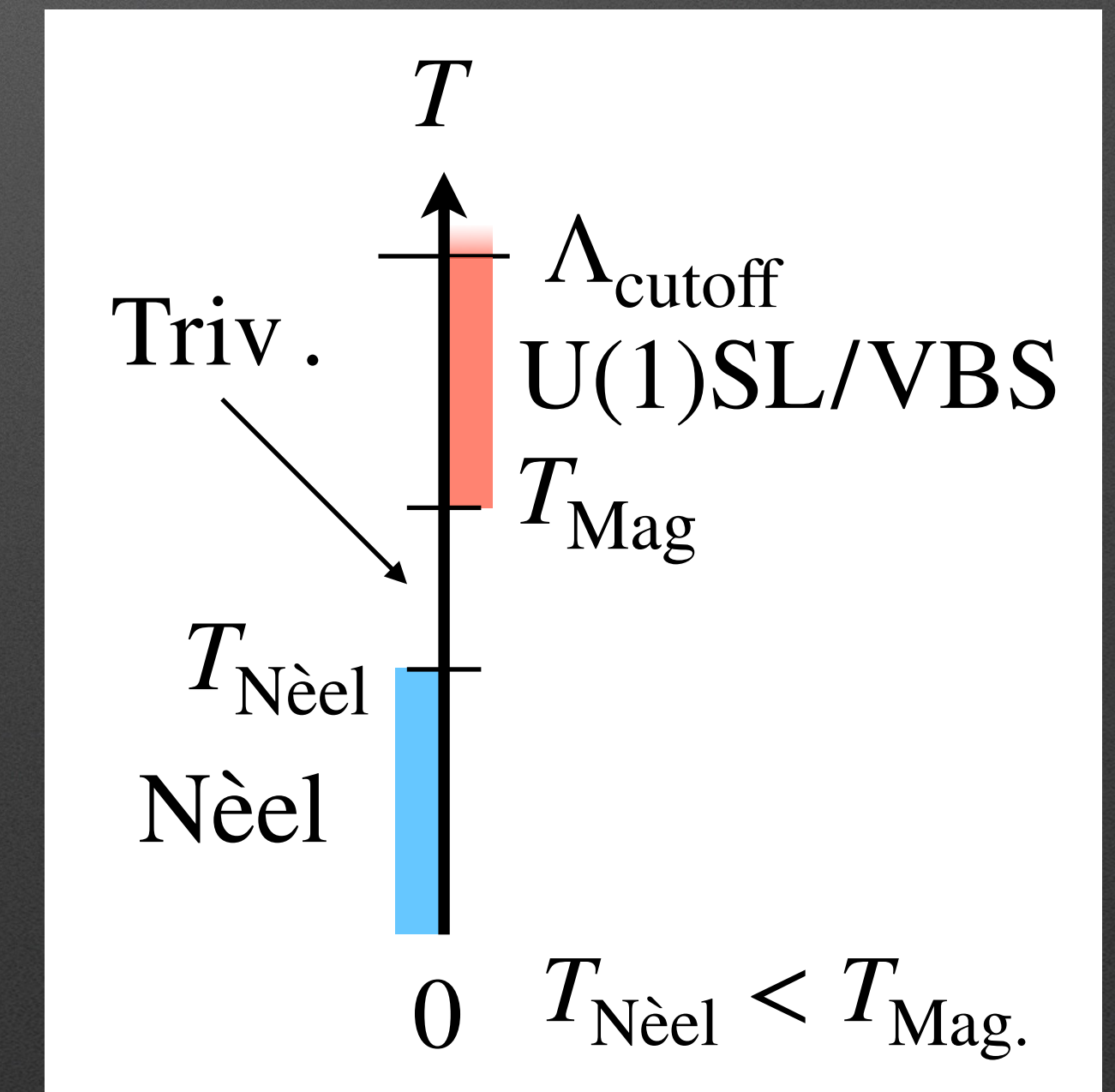
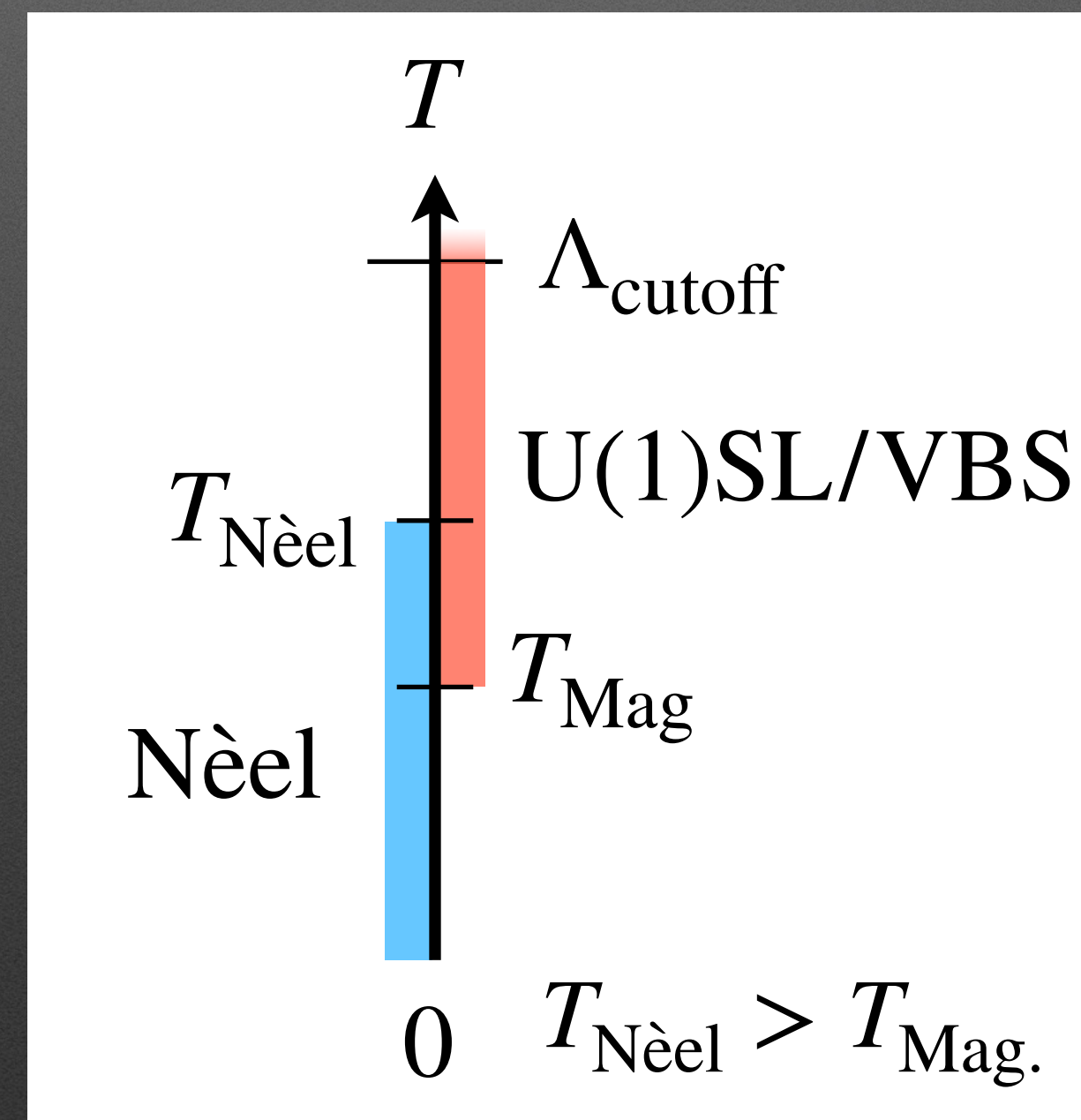
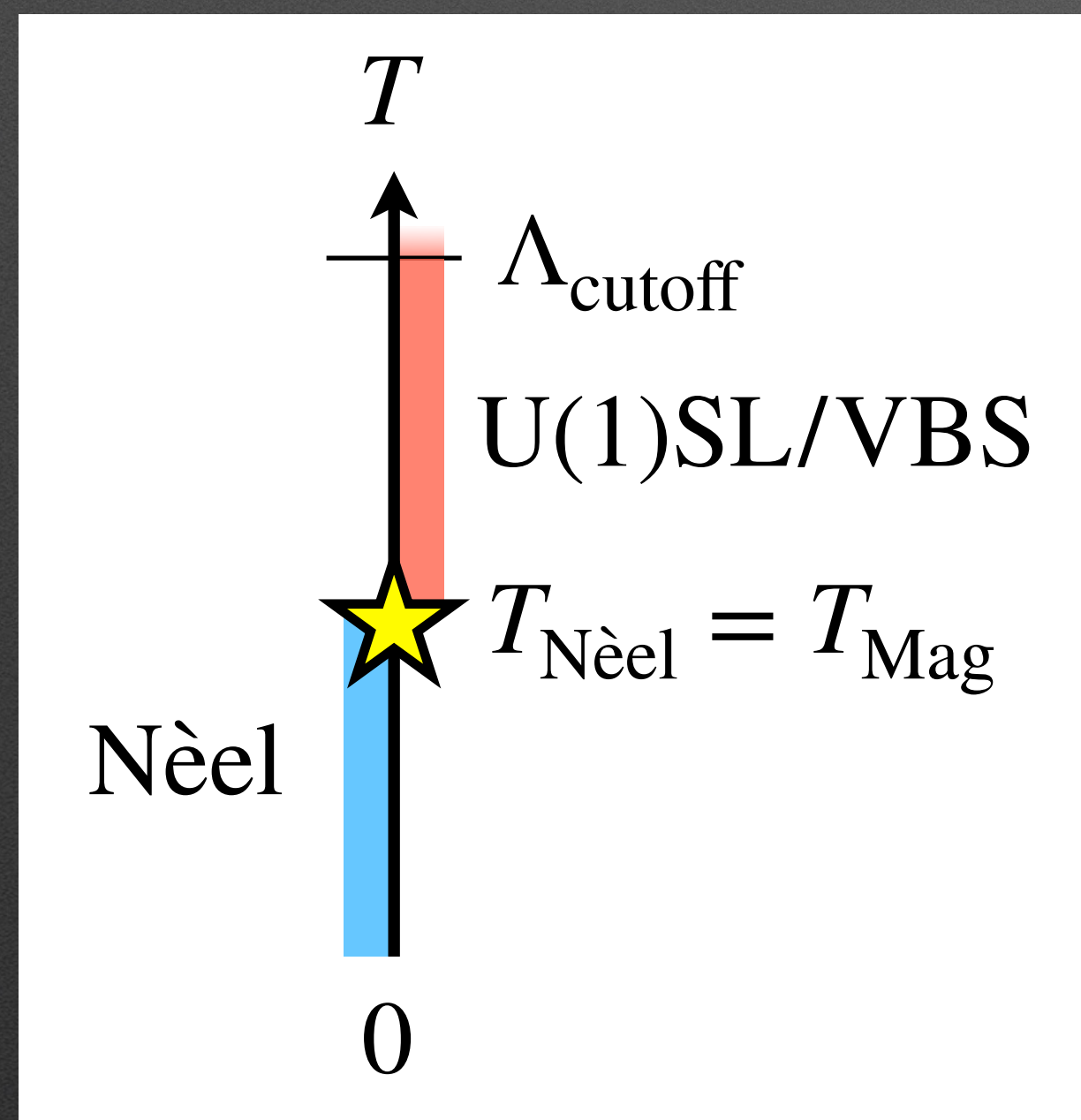
Cautions: 3+1D  $\mathbb{C}\mathbb{P}^1$  model is cutoff theory w/  $\Lambda_{\text{cutoff}}$ .



# Finite-T phase diagram

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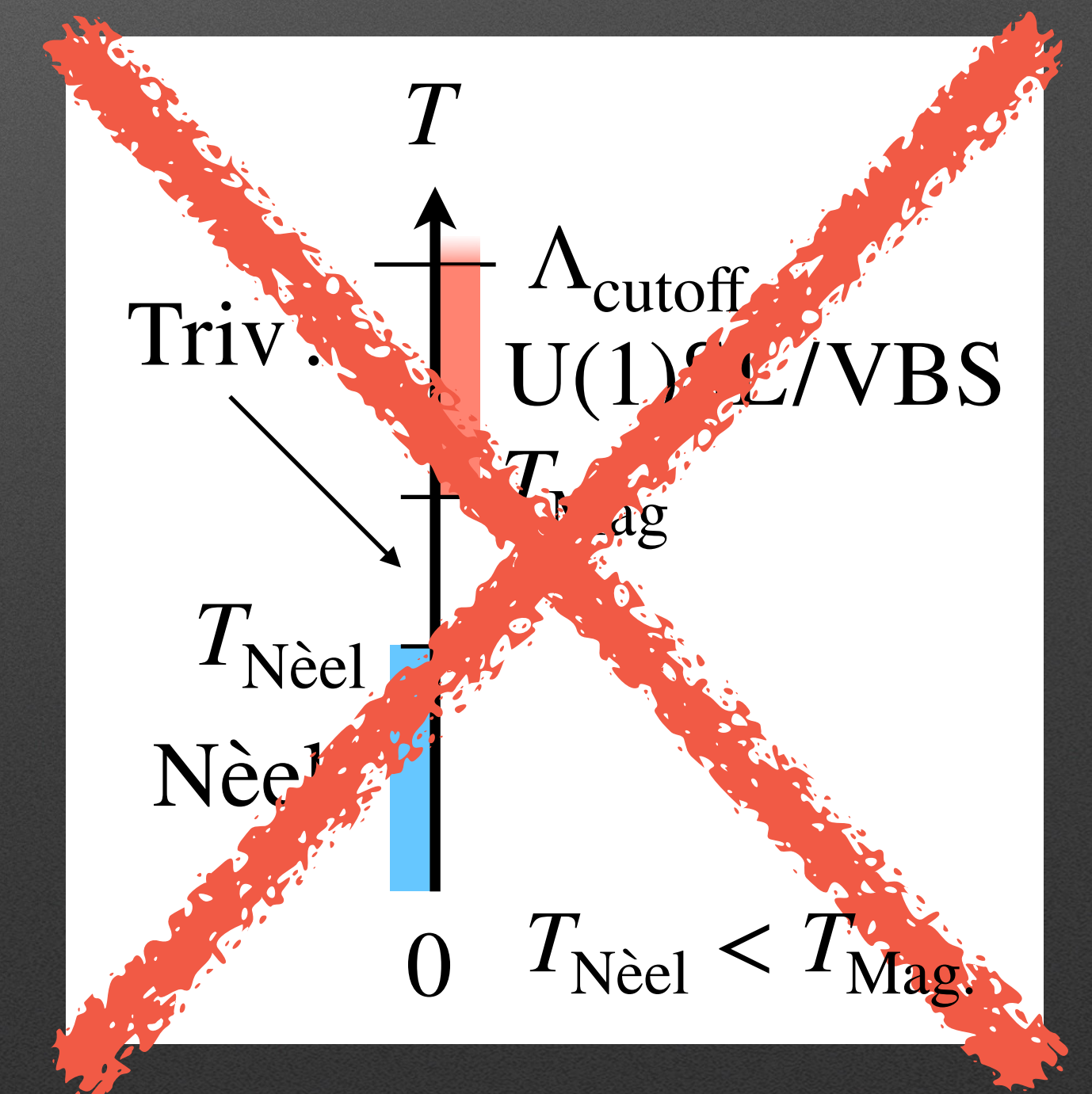
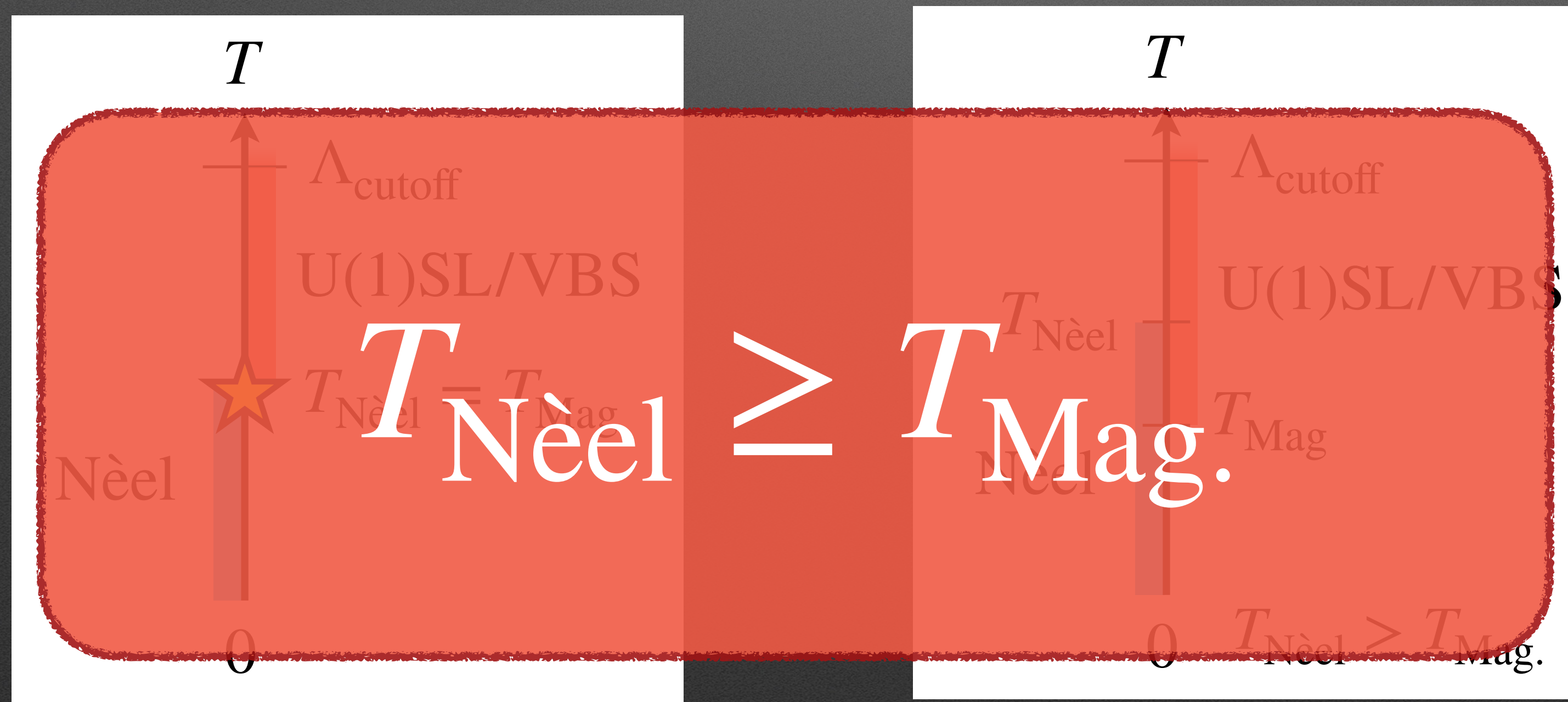
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# Finite- $T$ phase diagram

Anomalies at finite  $T \Rightarrow$  No trivial phase at any  $T$

\* Typical phases: Neel phase &  $U(1)$  spin liquid phase

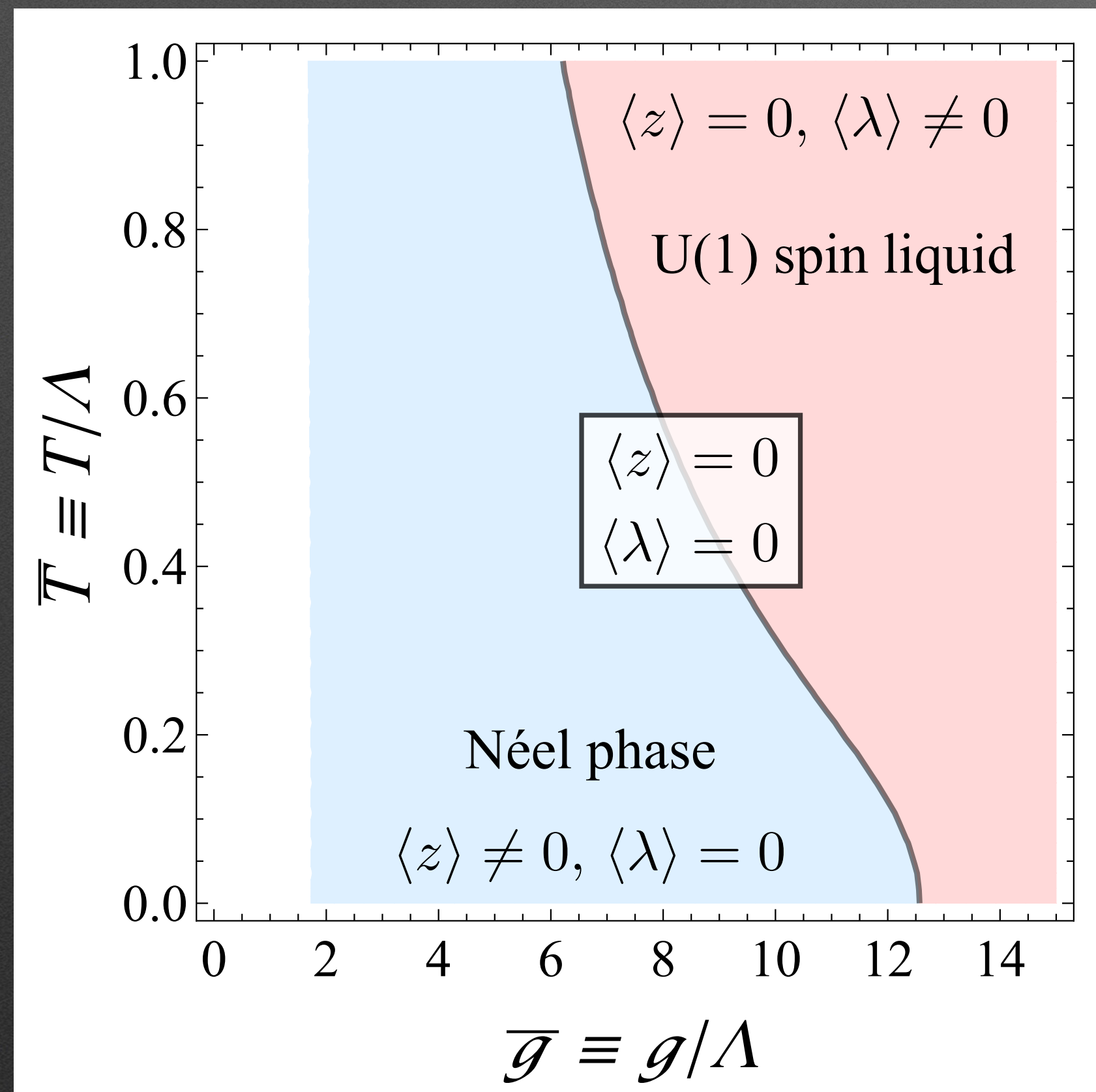


Cauton: 3+1D  $\mathbb{C}\mathbb{P}^1$  model is cutoff theory w/  $\Lambda_{\text{cutoff}}$ .



# Large-N analysis

Phase diagram in the Large-N limit



The  $\mathcal{R} \times U(1)_M^{[1]}$  anomaly for even N.

Consistent with anomaly matching!!

2nd order direct transition.

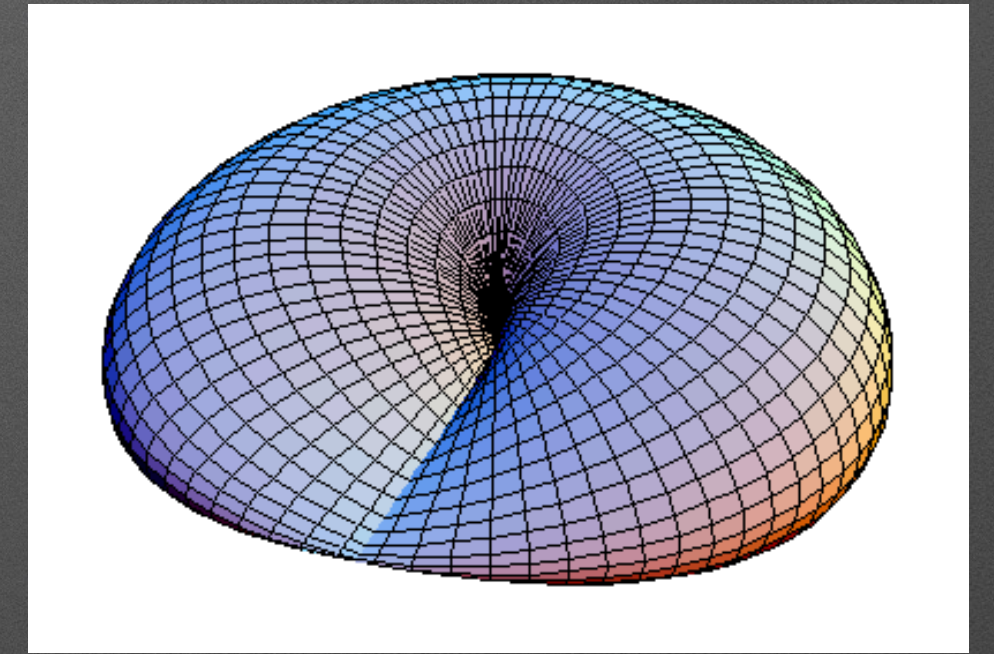


# Summary

Anomalies in  $\mathbb{CP}^1$  model in 2+1D & 3+1D are studied:

$\mathcal{R} \times U(1)_M$  anomaly in  $\mathbb{CP}^1$  model in 2+1D

$\Rightarrow$  Ingappability w/o flavor symmetry.



$\mathcal{R} \times (\mathbb{Z}_n)_M$  anomaly present for even  $n$ / not for odd  $n$ .

1-form magnetic symmetry in 3+1D

$\Rightarrow \mathcal{R} \times U(1)_M$  anomalies in 3+1D

3+1D anomalies at any  $T$ .

$\Rightarrow$  Constraint on the finite- $T$  phase diagram

$$T_{\text{Nèel}} \geq T_{\text{Mag.}}$$



Backup slides



# Dirac quantization on $\mathbb{R}P^2$

Boundary condition:

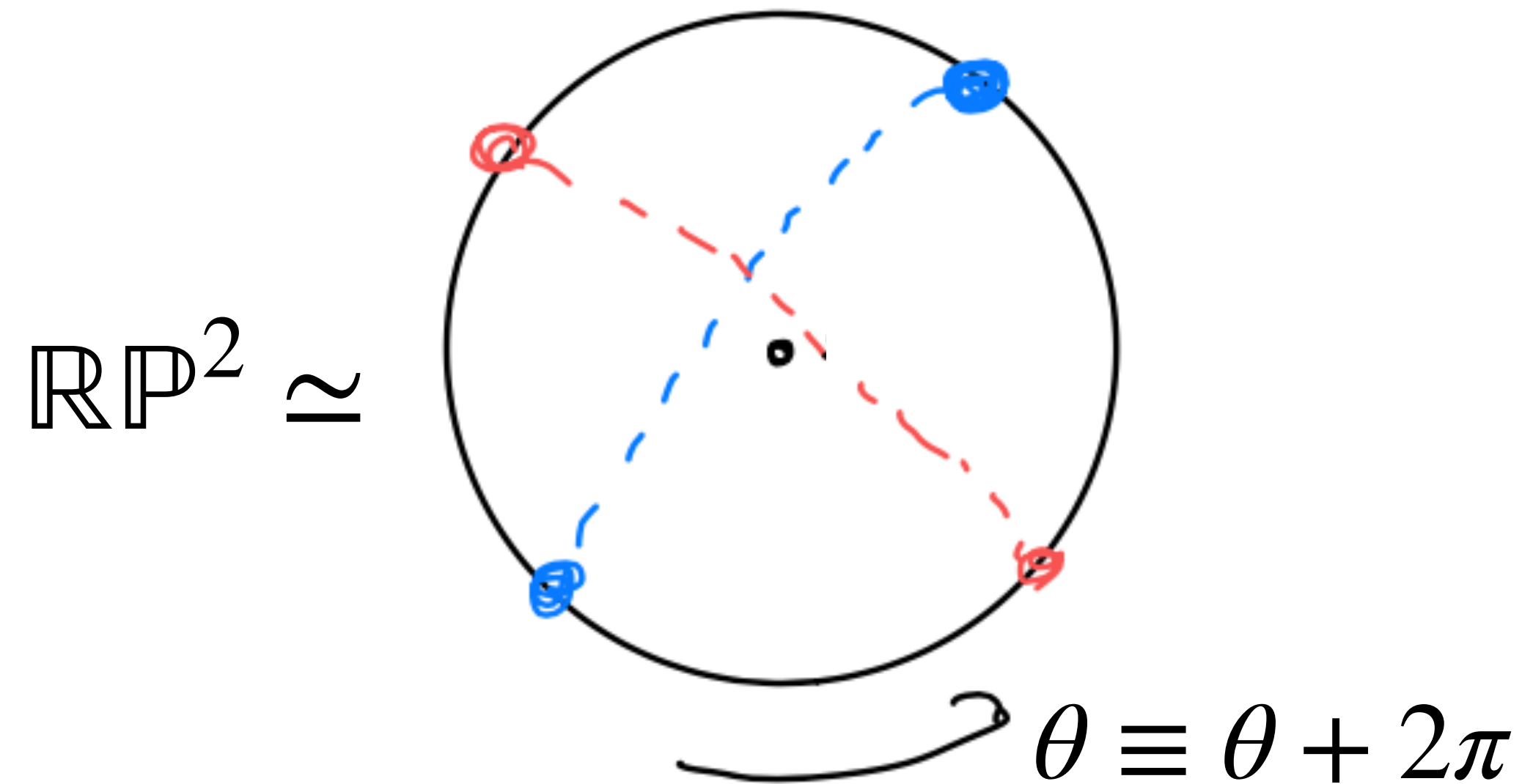
$$\phi(\theta + \pi) = (i\sigma^2)e^{i\eta(\theta)}\phi^*(\theta)$$

$$a_\theta(\theta + \pi) = -a_\theta(\theta) + \partial_\theta\eta(\theta)$$

A constraint on  $\eta(\theta)$ :

$$\begin{aligned}\phi(\theta + 2\pi) &= (i\sigma^2)e^{i\eta(\theta+\pi)}\phi^*(\theta + \pi) \\ &= (i\sigma^2)e^{i\eta(\theta+\pi)}(i\sigma^2)e^{-i\eta(\theta)}\phi(\theta)\end{aligned}$$

$$\underline{\eta(\theta + \pi) - \eta(\theta) \in \pi + 2\pi\mathbb{Z}}$$



Fractional Dirac quantization:

$$\int_{\mathbb{R}P^2} da = \int_0^\pi [a_\theta(\theta + \pi) + a_\theta(\theta)] = \int_0^\pi \partial_\theta\eta(\theta) = \pi \pmod{2\pi}$$