

(expecting [experimentalists](#) as an audience)

## One-particle motion in nuclear many-body problem

- from spherical to deformed nuclei - from stable to drip-line
- from static to rotating field - from particle to quasiparticle
- collective modes and many-body correlations in terms of one-particle motion

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The figures with figure-numbers but without reference, are taken from

the basic reference : A.Bohr and B.R.Mottelson, Nuclear Structure, Vol. I & II

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# 1. Introduction

## Mean-field approximation to many-body system

The study of one-particle motion in the mean field is the basis for understanding not only single-particle mode but also many-body correlation.

Mean field ← Hartree-Fock approximation

Self-consistent potential = Hartree-Fock potential

## Phenomenological one-body potential

(convenient for understanding the physics in a simple terminology and in a systematic way)

Harmonic-oscillator potential

Woods-Saxon potential

Note, for example,

the shape of a many-body system can be obtained only from the one-body density

← mean-field approximation

Harmonic-oscillator potential is exclusively used, for example, the system with a finite number of electrons bound by an external field (= a kind of NANO structure system).

This system is a sufficiently bound system so that harmonic-oscillator potential is a good approximation to the effective potential.

Another finite system to which quantum mechanics is applied is clusters of metallic atoms

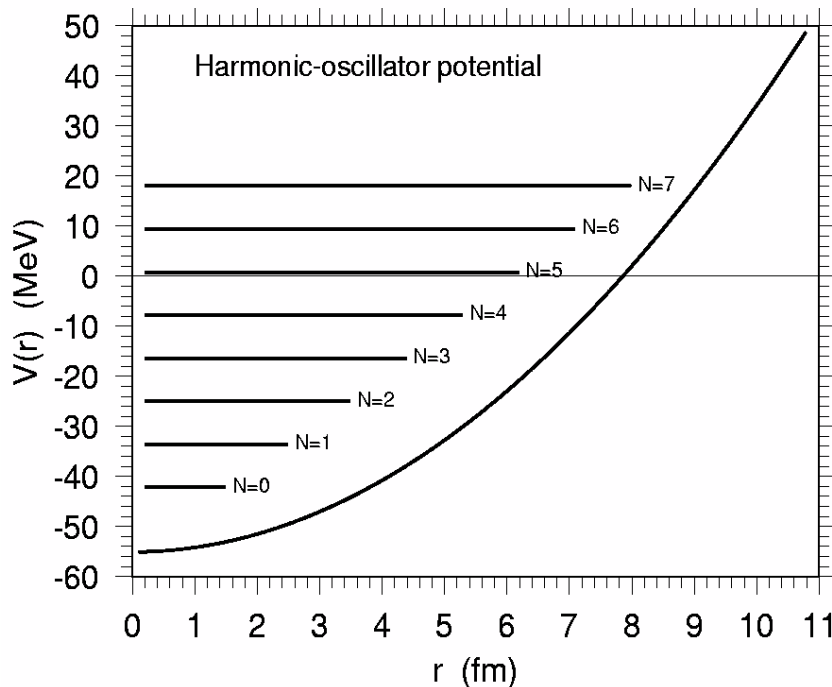
→ shell-structure based on one-particle motion of electrons

In this system a harmonic-oscillator potential is also often used.

## 2. Mean-field approximation to spherical nuclei

### 2.1. Phenomenological one-body potentials

#### 3-dimensional harmonic oscillator potential



$$H = -\frac{\hbar^2}{2m} \Delta + \frac{1}{2} m \omega^2 r^2$$

↑  
harmonic-oscillator potential

has a spectrum

$$\varepsilon = \left( N + \frac{3}{2} \right) \hbar \omega$$

where

$$N = n_x + n_y + n_z \quad \text{in rectilinear coordinates}$$

$$= 2(n_r - 1) + \ell \quad \text{in polar coordinates}$$

$$\ell = N, N-2, \dots 0 \text{ or } 1$$

Degeneracy of the major shell with a given  $N$

$$\sum_{\ell} 2(2\ell + 1) = (N+1)(N+2)$$

↑  
spin ↑↓

( $\ell = \text{even}$  for  $N=\text{even}$ ,  $\text{odd}$  for  $N=\text{odd}$ )

leads to the magic numbers

$$2, 8, 20, 40, 70, 112, 168, \dots$$

In the above figure

$$V(r) = \frac{1}{2} m \omega^2 r^2 + \text{const}$$

where const = -55 MeV

$$\hbar \omega = 8.6 \text{ MeV}$$

# One-particle levels for $\beta$ stable nuclei

(  $S_n \approx S_p \approx 7-10$  MeV )

Modified harmonic-oscillator potential can often be a good approximation.

Large energy gap in one-particle spectra

↔ **Magic number**  
 $N, Z = 8, 20, 28, 50, 82, 126, \dots$

Nuclei with **magic number** : **spherical** shape

**Normal-parity orbits** ← majority in a major shell of **medium-heavy** nuclei

**High-j orbits**,  $1g_{9/2}, 1h_{11/2}, 1i_{13/2}, 1j_{15/2}$ , which have **parity** different from the neighboring orbits do not mix with them under **quadrupole** ( $Y_{2\mu}$ ) **deformation** and **rotation**.

## One-particle motion in the mean-field

→ **shell structure** (= **bunching** of one-particle levels)

→ **nuclear shape**

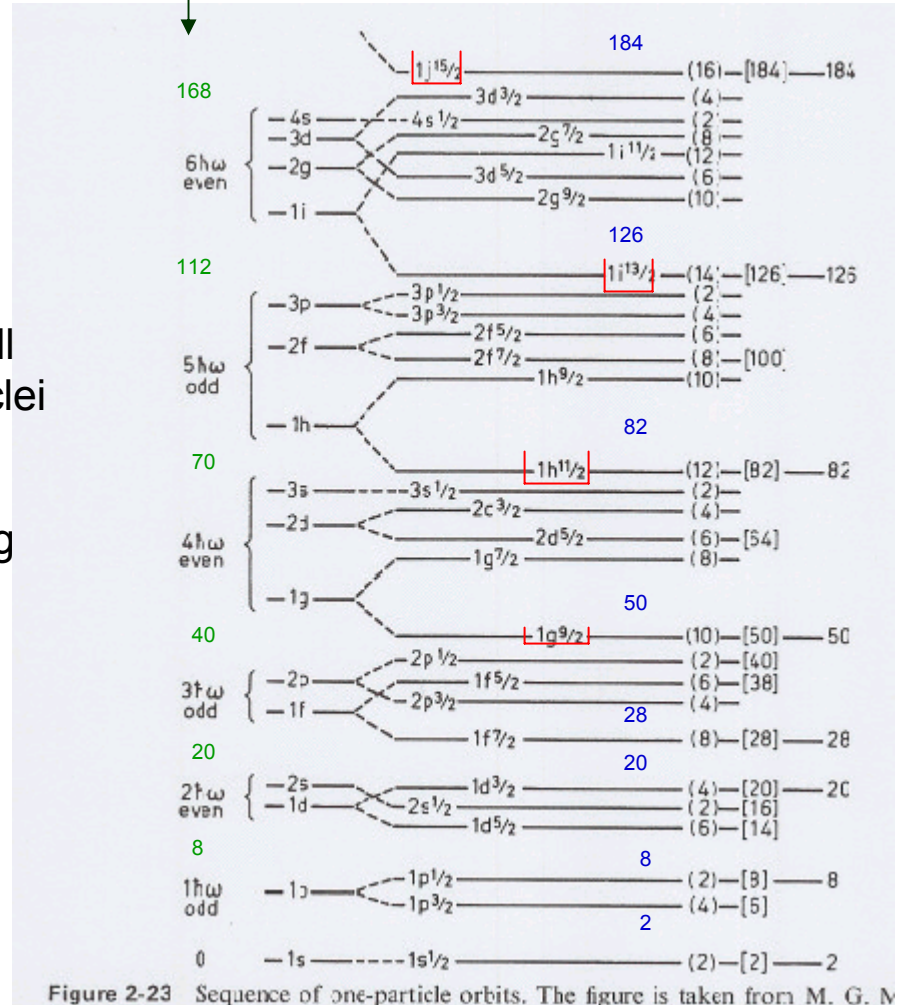
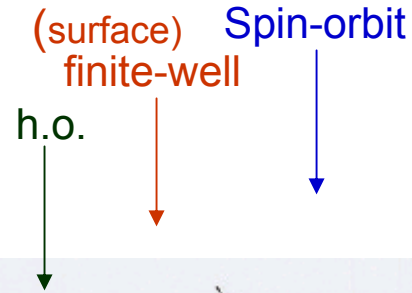
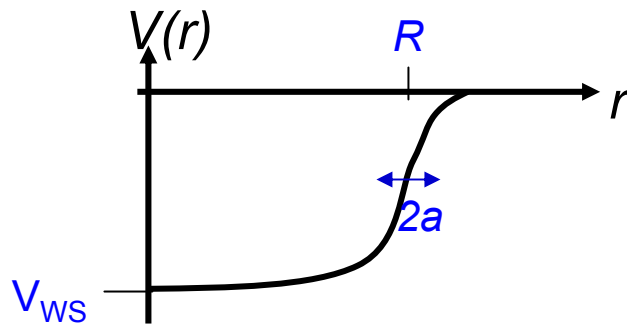


Figure 2-23 Sequence of one-particle orbits. The figure is taken from M. G. M

## Phenomenological finite-well potential :

**Woods-Saxon potential** - an approximation to Hartree-Fock (HF) potential

$$V(r) = V_{WS} f(r) \quad \text{where} \quad f(r) = \frac{1}{1 + \exp\left(\frac{r - R}{a}\right)}$$



$a$  : diffuseness

$R$  : radius

$$R = r_0 A^{1/3}$$

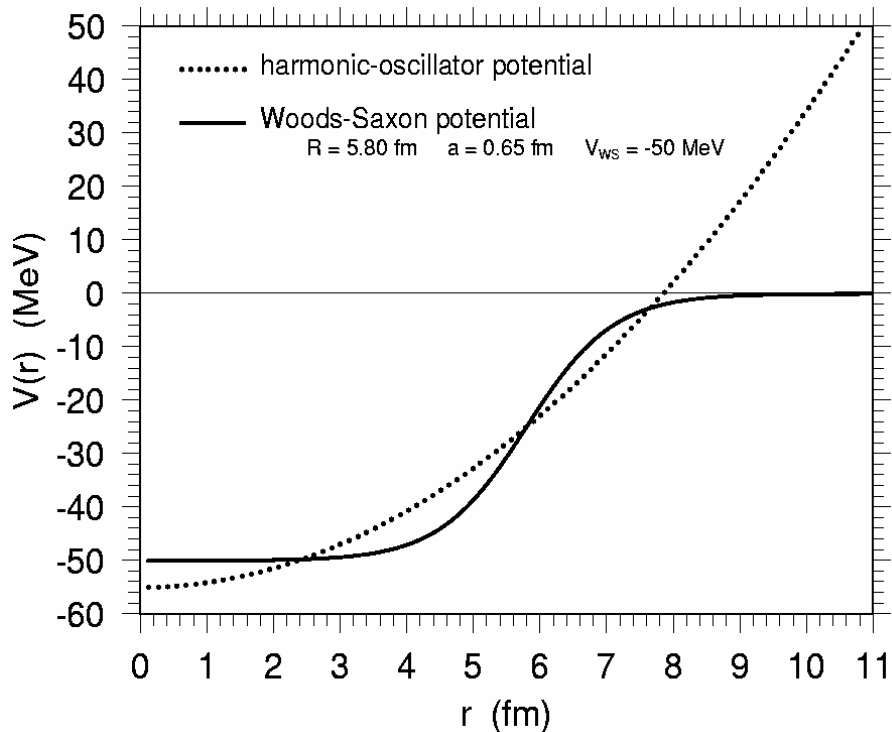
$A$  : mass number

standard values of parameters

$$r_0 \approx 1.27 \text{ fm} \quad a \approx 0.67 \text{ fm}$$

$$V_{WS} = \left( -51 \pm 33 \frac{N - Z}{A} \right) \text{ MeV} \quad \text{for} \quad \begin{array}{l} + \text{ for neutrons} \\ - \text{ for protons} \end{array}$$

## Woods-Saxon potential vs. harmonic-oscillator potential



←→ higher  $\ell$  one-particle wave-functions  
←→ only  $\ell=0$  one-particle wave-functions

In the above figure the parameters are chosen so that the root-mean-square radius for the two potentials, are approximately equal.

Harmonic-oscillator potential cannot be used for weakly-bound or unbound (or resonant) levels.

For well-bound levels;

Corrections to harmonic-oscillator potential are;

- repulsive effect for short and large distances  
→ push up small  $\ell$  orbits
- attractive effect for intermediate distances  
→ push down large  $\ell$  orbits



# Schrödinger equation for one-particle motion with spherical finite potentials

$$H = -\frac{\hbar^2}{2m} \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) + V(r) + V_{ls}(r) \quad (x, y, z) \rightarrow (r, \theta, \phi)$$

$$H\Psi = \varepsilon\Psi \quad \Psi = \frac{1}{r} R_{n\ell j}(r) X_{\ell j m_j}(\hat{r})$$

where

$$X_{\ell j m_j}(\hat{r}) = \sum_{m_\ell, m_s} C(\ell, \frac{1}{2}, j; m_\ell, m_s, m_j) Y_{\ell m_\ell}(\theta, \phi) \chi_{1/2, m_s}$$

$$(\vec{\ell})^2 Y_{\ell m}(\theta, \phi) = \hbar^2 \ell(\ell + 1) Y_{\ell m}(\theta, \phi)$$

The Schrödinger equation for radial wave-functions is written as

$$\left\{ \frac{d^2}{dr^2} - \frac{\ell(\ell + 1)}{r^2} + \frac{2m}{\hbar^2} (\varepsilon_{n\ell j} - V(r) - V_{ls}(r)) \right\} R_{n\ell j}(r) = 0 \quad (\$)$$

For example, for neutrons eq.(\\$) should be solved with the boundary conditions;

at  $r = 0$   $R_\ell(r) = 0$

$h_\ell$  : spherical Hankel function  
 $j_\ell$  : spherical Bessel function  
 $n_\ell$  : spherical Neumann function

at  $r \rightarrow \text{large}$  (where  $V(r) = 0$ )

for  $\varepsilon_\ell < 0$   $R_\ell(r) \propto \alpha r h_\ell(\alpha r)$  where  $\alpha^2 = -\frac{2m}{\hbar^2} \varepsilon_\ell$  and  $h_\ell(-iz) \equiv j_\ell(z) + i n_\ell(z)$

for  $\varepsilon_\ell > 0$   $R_\ell(r) \propto \cos(\delta_\ell) k r j_\ell(kr) - \sin(\delta_\ell) k r n_\ell(kr)$  where  $k^2 = \frac{2m}{\hbar^2} \varepsilon_\ell$

$\delta_\ell$  : phase shift

# One-body spin-orbit potential in phenomenological potentials : surface effect !

In the central part of nuclei the density,  $\rho(r) = \text{const.}$

Then, the only direction, which nucleons can feel is the momentum,  $\vec{p}$

From the two vectors,  $\vec{p}$  and the spin  $\vec{s}$ , of nucleons one cannot make

$P$ -inv (i.e. reflection-invariant) and  $T$ -inv (i.e. time-reversal invariant)

quantity linear in the momentum. For example,

$$(\vec{p} \cdot \vec{s}) \quad \text{~~P-inv~~}$$

$$(\vec{p} \times \vec{s}) \cdot \vec{s} \quad \text{~~T-inv~~}$$

At the nuclear surface  $\vec{\nabla}\rho(r) \neq 0$  i.e.  $\vec{\nabla}\rho(r) = \left(\frac{\partial\rho}{\partial r}, 0, 0\right)$

in polar coordinate  $(r, \theta, \varphi)$

Then,

$$(\vec{p} \times \vec{s}) \cdot \vec{\nabla}\rho(r) \quad : \text{P-inv \& T-inv !}$$

$$\vec{r} = (r, 0, 0)$$

$$\vec{r} \times \vec{p} = (0, -rp_\phi, rp_\theta)$$

$$= (p_\theta s_\phi - p_\phi s_\theta) \frac{\partial\rho}{\partial r} = \frac{1}{r} ((\vec{r} \times \vec{p}) \cdot \vec{s}) \frac{\partial\rho}{\partial r}$$

$$= (\vec{\ell} \cdot \vec{s}) \frac{1}{r} \frac{\partial\rho}{\partial r}$$

In practice, one often uses the form

$$V_{\ell s}(r) = \lambda (\vec{\ell} \cdot \vec{s}) \frac{1}{r} \frac{\partial V_c(r)}{\partial r}$$

where  $\lambda = \text{const.}$  and  $V_c(r)$  is one-body central potential such as the Woods-Saxon potential

In the presence of **spin-orbit** potential  $V_{\ell s}(r) \propto (\vec{\ell} \cdot \vec{s})$ ,  
 the **total angular momentum** of nucleons

$$\vec{j} = \vec{\ell} + \vec{s} \quad j = \ell \pm \frac{1}{2}$$

becomes a good quantum-number.

$$[(\vec{\ell} \cdot \vec{s}), \ell_z] \neq 0$$

$$[(\vec{\ell} \cdot \vec{s}), s_z] \neq 0$$

$$[(\vec{\ell} \cdot \vec{s}), \ell_z + s_z] = 0$$

$$H = -\frac{\hbar^2}{2m} \Delta + V(r) \quad \rightarrow \text{quantum number of one-particle motion } (\ell, s, m_\ell, m_s)$$

$$H = -\frac{\hbar^2}{2m} \Delta + V(r) + V_{\ell s}(r) \quad \rightarrow \text{quantum number of one-particle motion } (\ell, s, j, m_j)$$

$$(\vec{\ell} \cdot \vec{s}) = \frac{1}{2} \{ \vec{j}^2 - \vec{\ell}^2 - \vec{s}^2 \} = \frac{1}{2} \left\{ j(j+1) - \ell(\ell+1) - \frac{1}{2}(\frac{1}{2}+1) \right\} = \begin{cases} -\ell - 1 & \text{for } j = \ell - 1/2 \\ \ell & \text{for } j = \ell + 1/2 \end{cases}$$

$$H\Psi = \varepsilon\Psi \quad \Psi = \frac{1}{r} R_{\ell j}(r) X_{\ell j m_j} \quad \text{where } X_{\ell j m_j} \equiv \sum_{m_\ell, m_s} C(\ell, \frac{1}{2}, j; m_\ell, m_s, m_j) Y_{\ell m_\ell}(\theta, \phi) \chi_{1/2, m_s}$$

The radial part of the Schrödinger equation becomes

$$\left\{ \frac{d^2}{dr^2} - \frac{\ell(\ell+1)}{r^2} + \frac{2m}{\hbar^2} (\varepsilon_{\ell j} - V(r) - V_{\ell s}(r)) \right\} R_{\ell j}(r) = 0$$

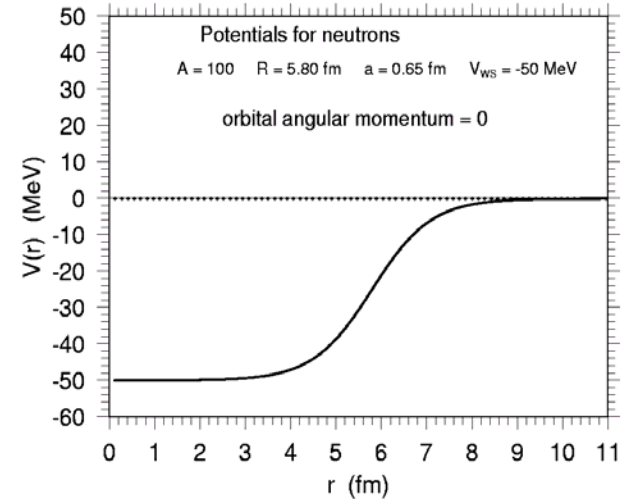
# Centrifugal potential + Woods-Saxon potential

dependence on  $\ell$

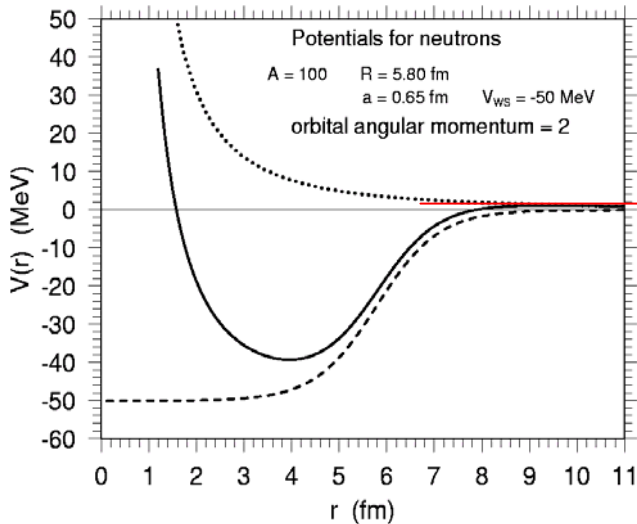
$$\begin{aligned}
 & -\frac{\hbar^2}{2m} \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) + V(r) \\
 & = -\frac{\hbar^2}{2m} \left( \frac{1}{r} \frac{\partial^2}{\partial r^2} r + \frac{1}{r^2} \left( \frac{\partial^2}{\partial \theta^2} + \cot \theta \frac{\partial}{\partial \theta} + \frac{1}{\sin^2 \theta} \frac{\partial^2}{\partial \phi^2} \right) \right) + V(r) \\
 & = -\frac{\hbar^2}{2m} \left( \frac{1}{r} \frac{\partial^2}{\partial r^2} r - \frac{1}{r^2} \frac{(\vec{\ell})^2}{\hbar^2} \right) + V(r)
 \end{aligned}$$

centrifugal potential

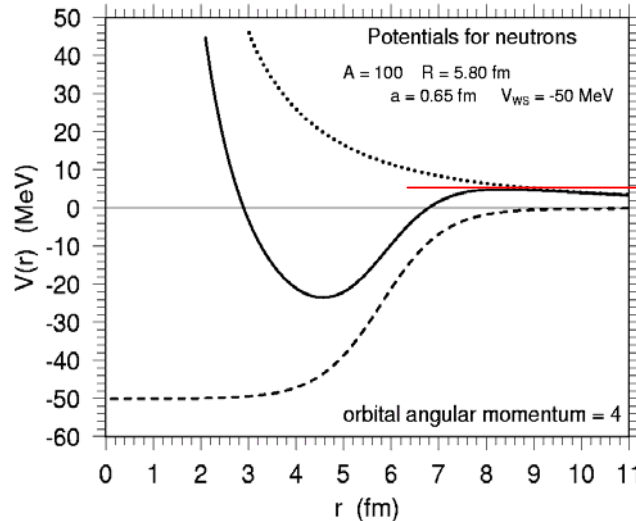
$\ell = 0$



$\ell = 2$



$\ell = 4$



- Woods-Saxon pot.
- ..... centrifugal pot.
- W-S + centrifugal pot.

Height of centrifugal barrier  $\propto \frac{\ell(\ell+1)}{R_h^2}$

where  $R_h > r_0 A^{1/3}$

The height :  $\left\{ \begin{array}{l} \text{higher for smaller nuclei} \\ \text{higher for larger } \ell \text{ orbits} \end{array} \right.$

ex. For the Woods-Saxon potential with  $R=5.80$  fm,  $a=0.65$  fm,  $r_0=1.25$  and  $V_{WS} = -50$  MeV ;

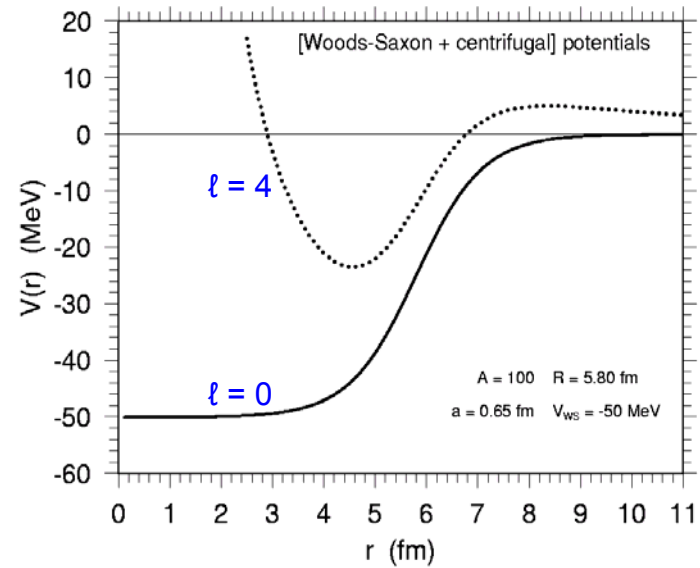
$\ell$	height of centrifugal barrier
0	0 MeV
1	$\approx 0.4$
2	$\approx 1.3$
3	$\approx 2.8$
4	$\approx 5.1$
5	$\approx 8.2$

Height of centrifugal barrier ;

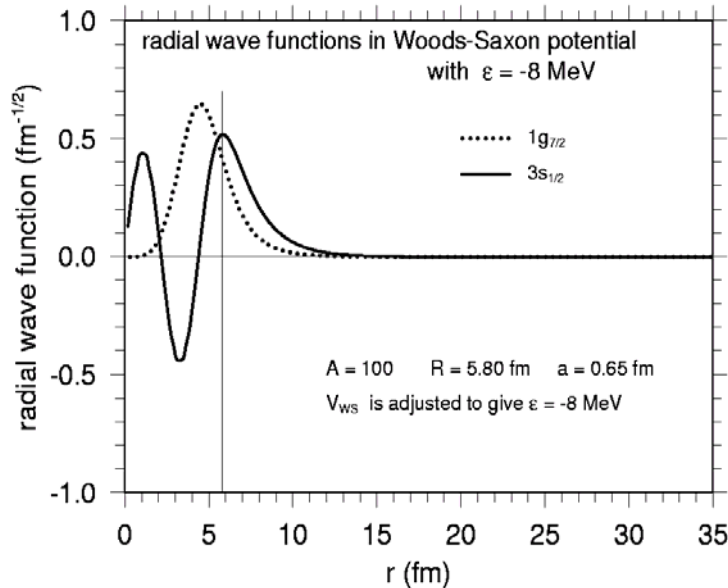
- 1) **well-bound** particles are **insensitive**.
- 2) affects **eigenenergies** and **wave-functions** of **weakly-bound** neutrons, especially with **small  $\ell$**
- 3) affects the **presence** (or absence) of one-particle **resonance**, **resonant energies** and **widths**.

# Neutron radial wave-functions

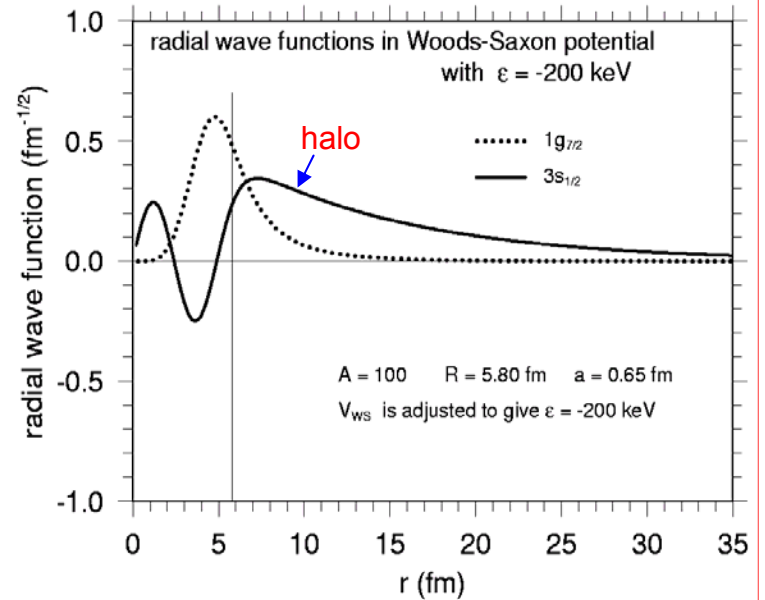
$$\Psi_{n\ell jm}(\vec{r}) = \frac{1}{r} \underline{R_{n\ell j}(r)} X_{\ell jm}(\hat{r})$$



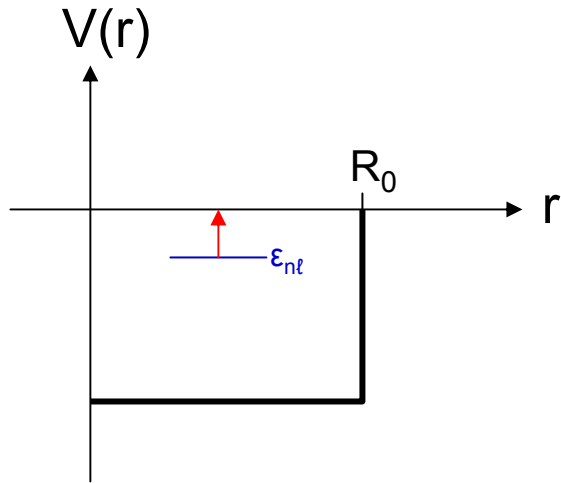
$\epsilon = -8$  MeV



$\epsilon = -200$  keV



For a **finite square-well** potential



The **probability** for **one neutron** to stay **inside** the potential, when the eigenvalue  $\epsilon_{n\ell} (< 0) \rightarrow 0$

$\ell$	0	1	2	3
$\int_0^{R_0}  R_{n\ell}(r) ^2 dr$	0	1/3	3/5	5/7

**Root-mean-square radius**,  $r_{rms}$ , of **one neutron**;  $r_{rms} \equiv \sqrt{\langle r^2 \rangle}$

In the limit of  $\epsilon_{n\ell} (< 0) \rightarrow 0$

$$r_{rms} \propto (-\epsilon_{n\ell})^{-1/2} \rightarrow \infty \quad \text{for } \ell = 0$$

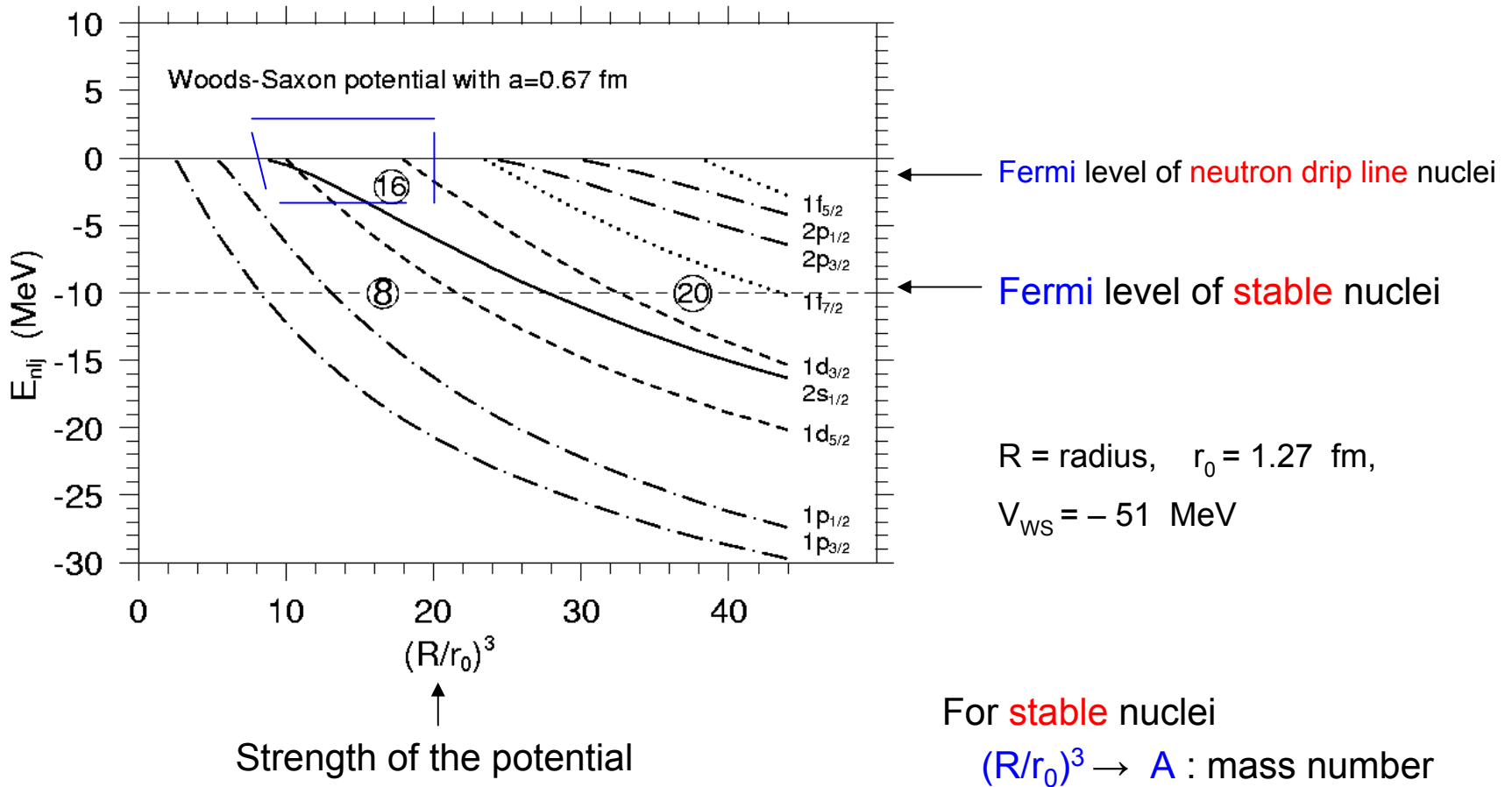
$$(-\epsilon_{n\ell})^{-1/4} \rightarrow \infty \quad \text{for } \ell = 1$$

**finite value** for  $\ell \geq 2$



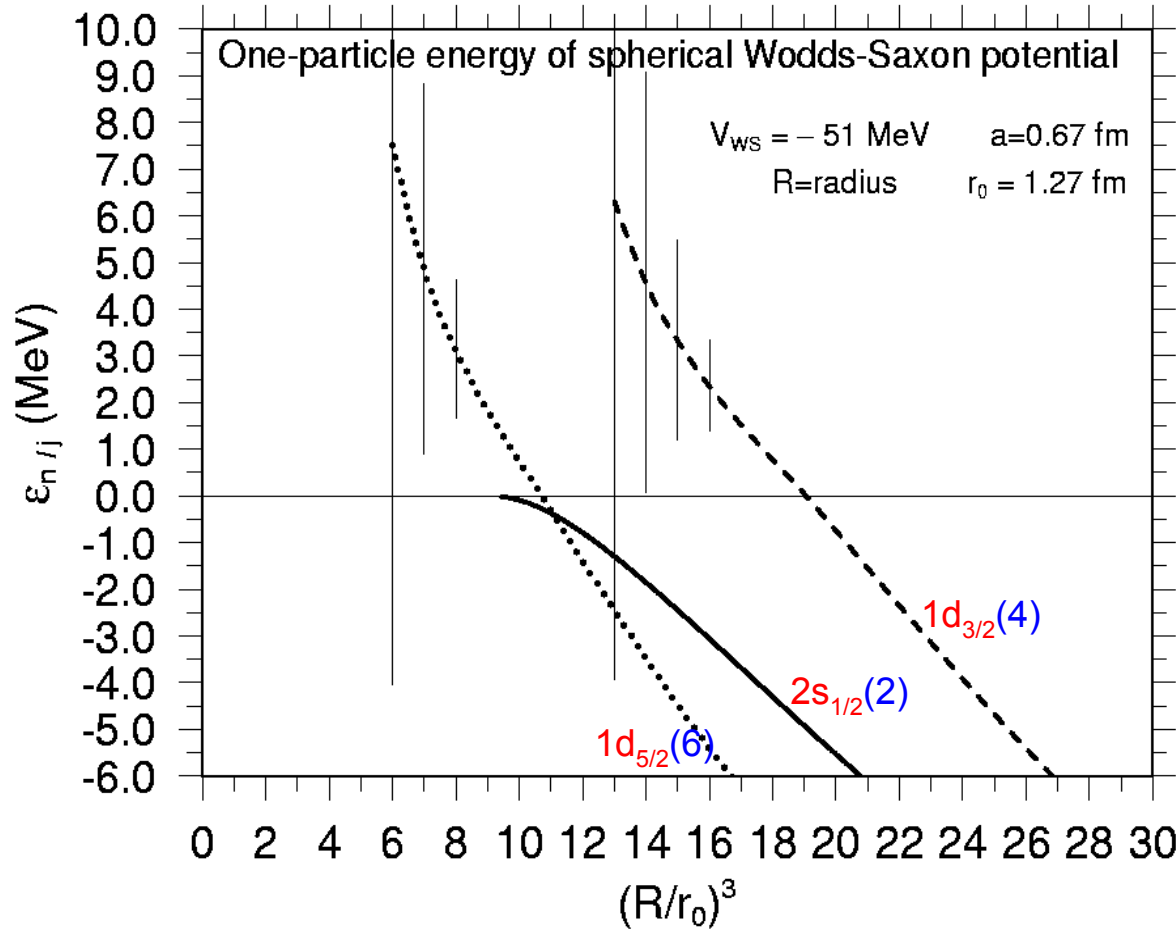
# Unique behavior of low- $\ell$ orbits, as $E_{n\ell j} (<0) \rightarrow 0$

Energies of neutron orbits in Woods-Saxon potentials as a function of potential radius



# Neutron one-particle resonant and bound levels in spherical Woods-Saxon potentials

Unique behavior of  $\ell=0$  orbits, both for  $\epsilon_{n\ell j} < 0$  and  $\epsilon_{n\ell j} > 0$



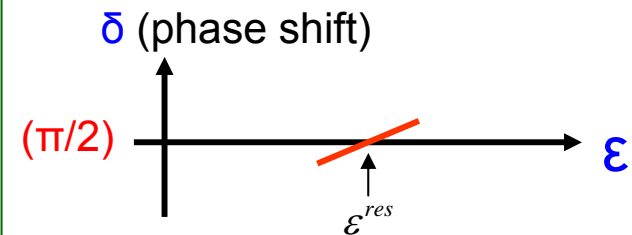
Strength of the potential

One-particle resonant levels with width

$$R_{\ell j}(r) \propto \sin\left(kr + \delta_{\ell j} - \ell\frac{\pi}{2}\right)$$

for  $r \rightarrow \infty$  and  $kr \equiv r\sqrt{\frac{2m\epsilon}{\hbar^2}}$

width  $\Gamma \equiv \frac{2}{\left.\frac{d\delta}{d\epsilon}\right|_{\epsilon=\epsilon^{res}}}$

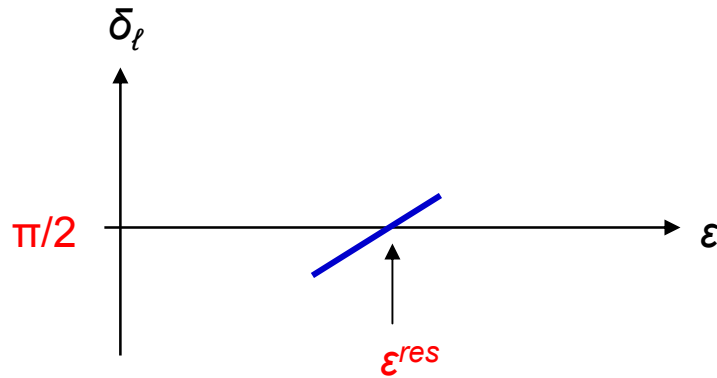


# One-particle resonant level in spherical finite potentials ( ~~Coulomb potential~~ )

For  $\epsilon_l > 0$  and  $r \rightarrow$  large

$$R_l(r) \propto \cos(\delta_l)krj_l(kr) - \sin(\delta_l)krn_l(kr) \quad \text{where} \quad k^2 \equiv \frac{2m}{\hbar^2}\epsilon_l$$

$\delta_l$  : phase shift



The width of the resonance;

$$\Gamma \equiv \frac{2}{\left. \frac{d\delta}{d\epsilon} \right|_{\epsilon=\epsilon^{res}}}$$

The resonance energy  $\epsilon^{res}$  is defined so that the phase shift  $\delta_l$  increases with energy  $\epsilon$  as it goes through  $\pi/2$  (modulo  $\pi$ ).

For example, see ; R.G.Newton, *SCATTERING THEORY OF WAVES AND PARTICLES*, McGraw-Hill, 1966.

- At  $\epsilon^{res}$  ;
- (1) a sharp peak in the scattering cross section;
  - (2) a significant time delay in the emergence of scattered particles;
  - (3) the incoming wave (i.e. particles) can strongly penetrate into the system;
  - (4) .....

$$\text{Resonance} \leftrightarrow \text{time delay} \leftrightarrow \left. \frac{d\delta_\ell}{dk} \right|_{k=k_0} > 0$$

scattering amplitude  $f(k, \cos \theta) = k^{-1} \sum_{\ell=0}^{\infty} (2\ell + 1) e^{i\delta_\ell} \sin \delta_\ell P_\ell(\cos \theta)$

For  $r \rightarrow \infty$ , a wave packet in a scattering is written as

$$\int d\vec{k} \phi(\vec{k}) \exp[i(\vec{k} \cdot \vec{r} - Et)] + \int d\vec{k} \phi(\vec{k}) r^{-1} \exp[i(kr - Et)] f(k, \cos \theta) \quad (\$)$$

where  $\phi(\vec{k})$  : sharply peaked around  $\vec{k} = \vec{k}_0$

Assume that at  $k=k_0$  a sharp peak only in a given  $\ell$  channel.

For very large  $t$  (= time), the 2<sup>nd</sup> term in (\$) contributes only at the distance

$$r \cong \frac{k_0}{2m} t - \left. \frac{d\delta_\ell}{dk} \right|_{k=k_0} \quad \because \quad \text{for } k \approx k_0 \quad \delta_\ell(k) \approx \delta_\ell(k_0) + \left. \frac{d\delta_\ell}{dk} \right|_{k=k_0} (k - k_0)$$

$$e^{i(kr - Et)} e^{ik \left. \frac{d\delta_\ell}{dk} \right|_{k=k_0}} = e^{ik \left( r + \left. \frac{d\delta_\ell}{dk} \right|_{k=k_0} - \frac{k}{2m} t \right)}$$

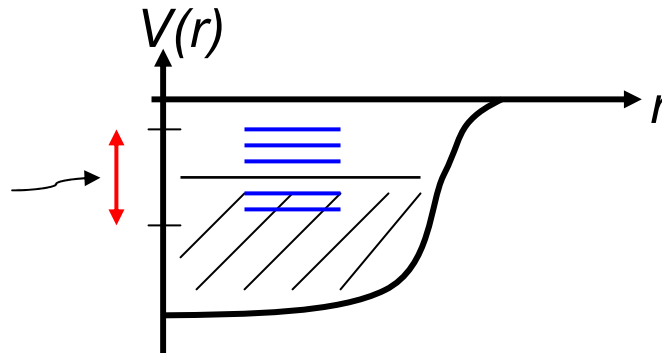
Time delay caused by the sharply changing term  $e^{i\delta_\ell}$  in the  $f$ :  $t_D = \frac{2m}{k_0} \left. \frac{d\delta_\ell}{dk} \right|_{k=k_0}$

$\frac{d\delta_\ell}{dk} > 0 \rightarrow$  time delay in the emergence of the scattered particles

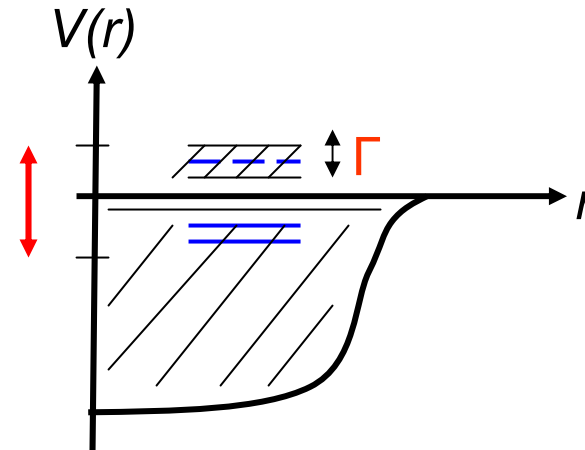
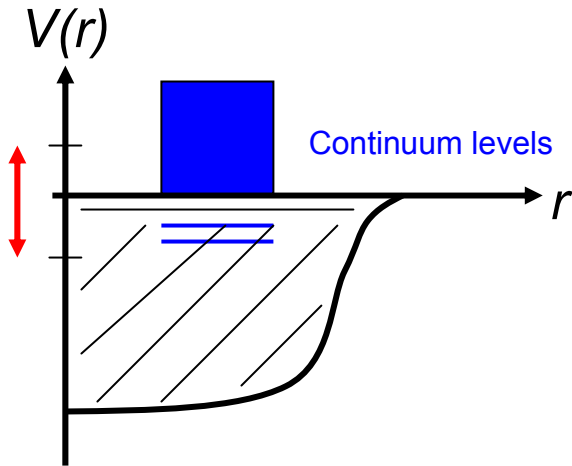
$\frac{d\delta_\ell}{dk} < 0 \rightarrow$  time advance !

# $\beta$ -stable nuclei

One-particle levels which contribute to many-body correlations



# neutron drip line nuclei – role of continuum levels and weakly-bound levels



Importance of one-particle resonant levels with small width  $\Gamma$  in the many-body correlations.

Obs. no one-particle resonant levels for  $s_{1/2}$  orbits.

A computer program to calculate **one-neutron resonance** (**energy** and **width**) in a **spherical Woods-Saxon** potential is available.

Is there **anybody** who wants to have it ?

# Some summary of weakly-bound and positive-energy neutrons in spherical potentials ( $\beta=0$ )

Unique role played by neutrons with small  $\ell$  ; s, (p) orbits

- (a) Weakly-bound small- $\ell$  neutrons have appreciable probability to be outside the potential;  
ex. For a finite square-well potential and  $\varepsilon_{n\ell_j} (<0) \rightarrow 0$  , the probability inside is  
0 for s neutrons  
1/3 for p neutrons

Thus, those neutrons are insensitive to the strength of the potential.

→ Change of shell-structure

- (b) No one-particle resonant levels for s neutrons.  
Only higher- $\ell$  neutron orbits have one-particle resonance with small width.

→ Change of many-body correlation, such as  
pair correlation and deformation  
in loosely bound nuclei

## 2.2. Hartree-Fock (HF) approximation → self-consistent mean-field

A mean-field approximation to the nuclear many-body problem with **rotationally invariant** Hamiltonian,

$$H = -\frac{\hbar^2}{2m} \sum_i \Delta_i + \sum_{i < j} v_{ij}$$

“effective” two-body interaction  
↑  
phenomenology !

Popular effective interaction,  $v_{ij}$ , is so-called **Skyrme interaction** – many different versions exist, but in essence,  $\delta(\vec{r}_i - \vec{r}_j)$  interaction plus density-dependent part that simulates the 3-body interaction.

The total wave function  $\Psi$  is assumed to be a form of **Slater determinant** consisting of one-particle wave-functions,

$$\varphi_i(\vec{r}_j) \quad (i \text{ and } j) = 1, 2, \dots, A$$

**Variational principle**  $\delta \langle \Psi | H | \Psi \rangle = 0$

together with **subsidiary conditions**  $\int |\varphi_i(\vec{r}_i)|^2 d^3r_i = 1$

leads to the **HF equation**.

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**OBS.** The **HF solution**  $\Psi$  is **not** an eigen function of the Hamiltonian  $H$ .



ex. HF equations for 2 particles (a simple example !)

$$\Psi(1,2) = \frac{1}{\sqrt{2}} \begin{vmatrix} \varphi_1(\vec{r}_1) & \varphi_2(\vec{r}_1) \\ \varphi_1(\vec{r}_2) & \varphi_2(\vec{r}_2) \end{vmatrix}$$

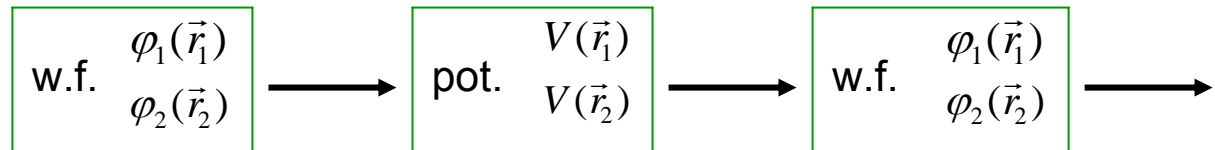
$$\left\{ \begin{array}{l} -\frac{\hbar^2}{2m} \Delta \varphi_1(\vec{r}_1) + \varphi_1(\vec{r}_1) \int \varphi_2^*(\vec{r}) v(\vec{r}_1, \vec{r}) \varphi_2(\vec{r}) d^3r - \varphi_2(\vec{r}_1) \int \varphi_2^*(\vec{r}) v(\vec{r}_1, \vec{r}) \varphi_1(\vec{r}) d^3r = \varepsilon_1 \varphi_1(\vec{r}_1) \\ -\frac{\hbar^2}{2m} \Delta \varphi_2(\vec{r}_2) + \varphi_2(\vec{r}_2) \int \varphi_1^*(\vec{r}) v(\vec{r}_2, \vec{r}) \varphi_1(\vec{r}) d^3r - \varphi_1(\vec{r}_2) \int \varphi_1^*(\vec{r}) v(\vec{r}_2, \vec{r}) \varphi_2(\vec{r}) d^3r = \varepsilon_2 \varphi_2(\vec{r}_2) \end{array} \right.$$

exchange term (absent in Hartree approximation)

Hartree potential  $V_H(\vec{r}_1)$  and  $V_H(\vec{r}_2)$

Find the solutions,  $\varphi_1(\vec{r})$  and  $\varphi_2(\vec{r})$ , with  $\varepsilon_1$  and  $\varepsilon_2$ , which satisfy simultaneously the above coupled equations.

The usual procedure of solving the HF equation is;

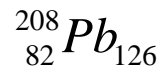


Find self-consistent solutions together with eigenvalues,  $\varepsilon_1$  and  $\varepsilon_2$ .

# Hartree-Fock potential and one-particle energy levels

$V_N(r)$  : neutron potential,  $V_P(r)$  : proton nuclear potential,  $V_P(r)+V_C(r)$  : proton total potential

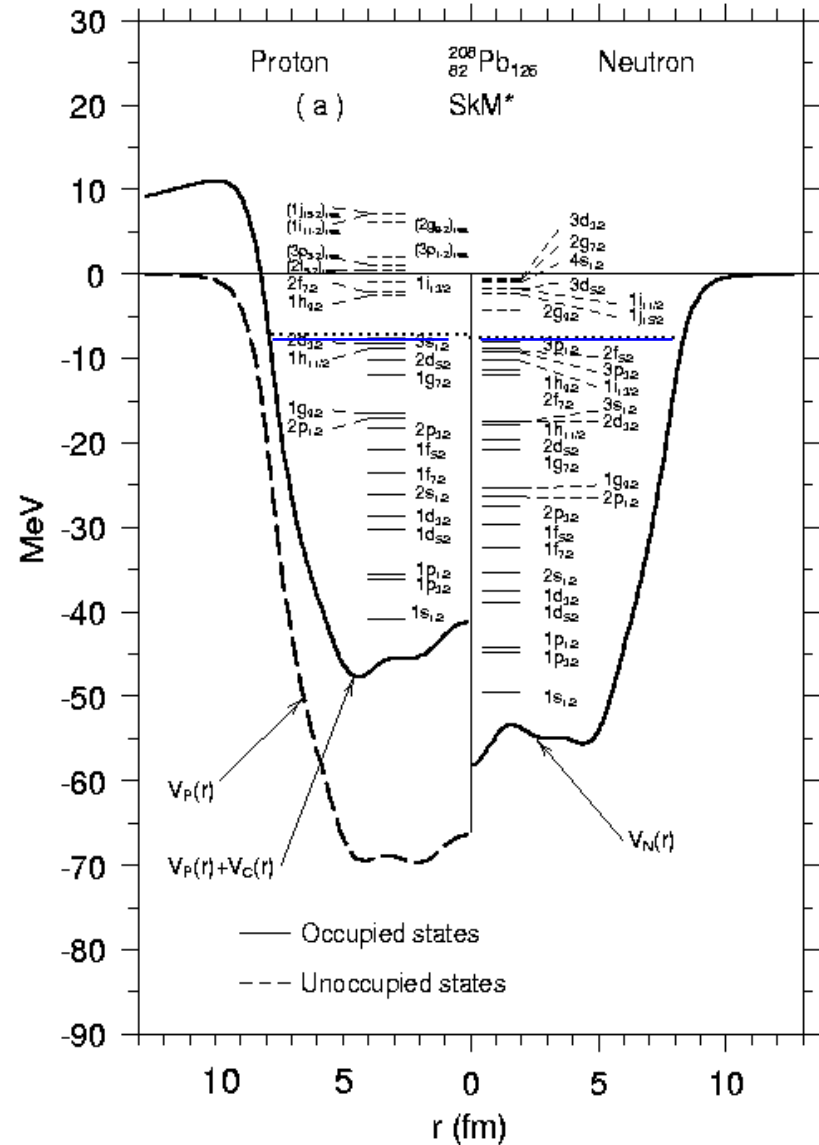
A typical **double-magic**  $\beta$ -stable nucleus



One of Skyrme interactions ;

SkM\*

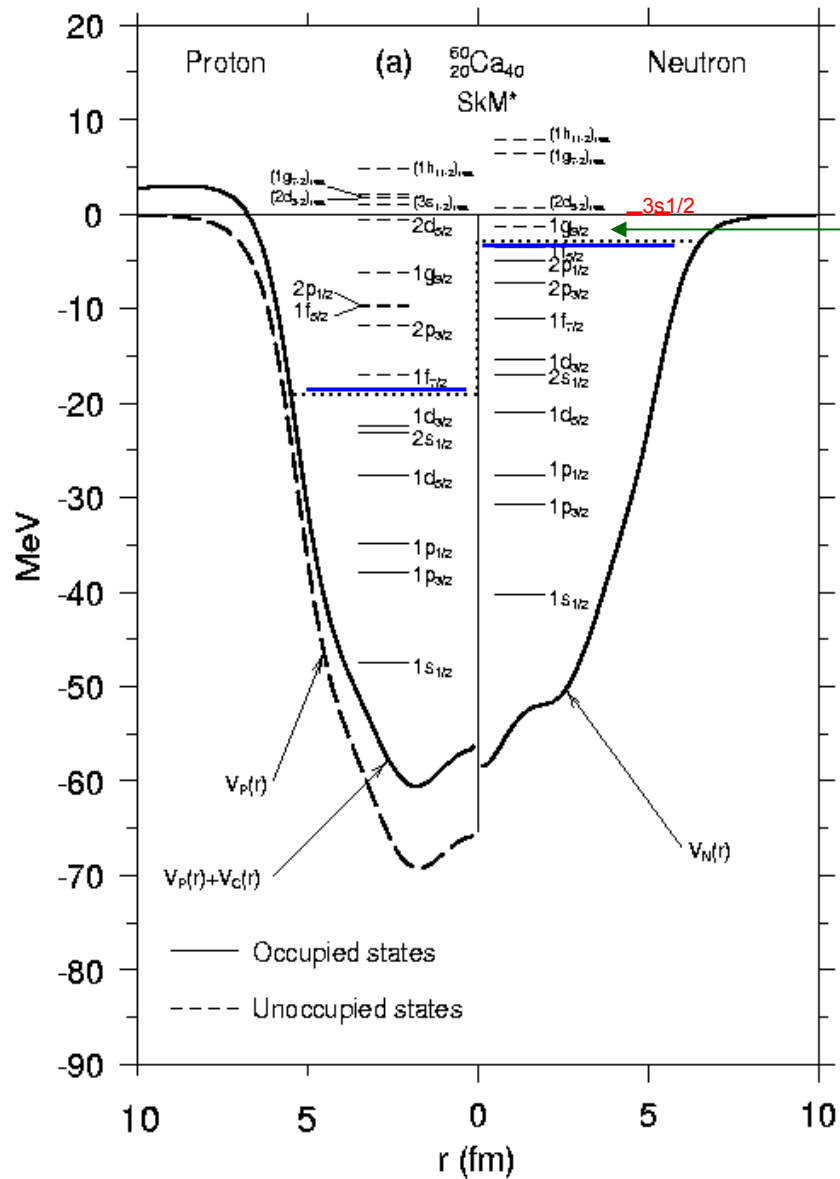
See : J.Bartel et al., Nucl. Phys. A386 (1982) 79.



# Hartree-Fock potentials and one-particle energy levels

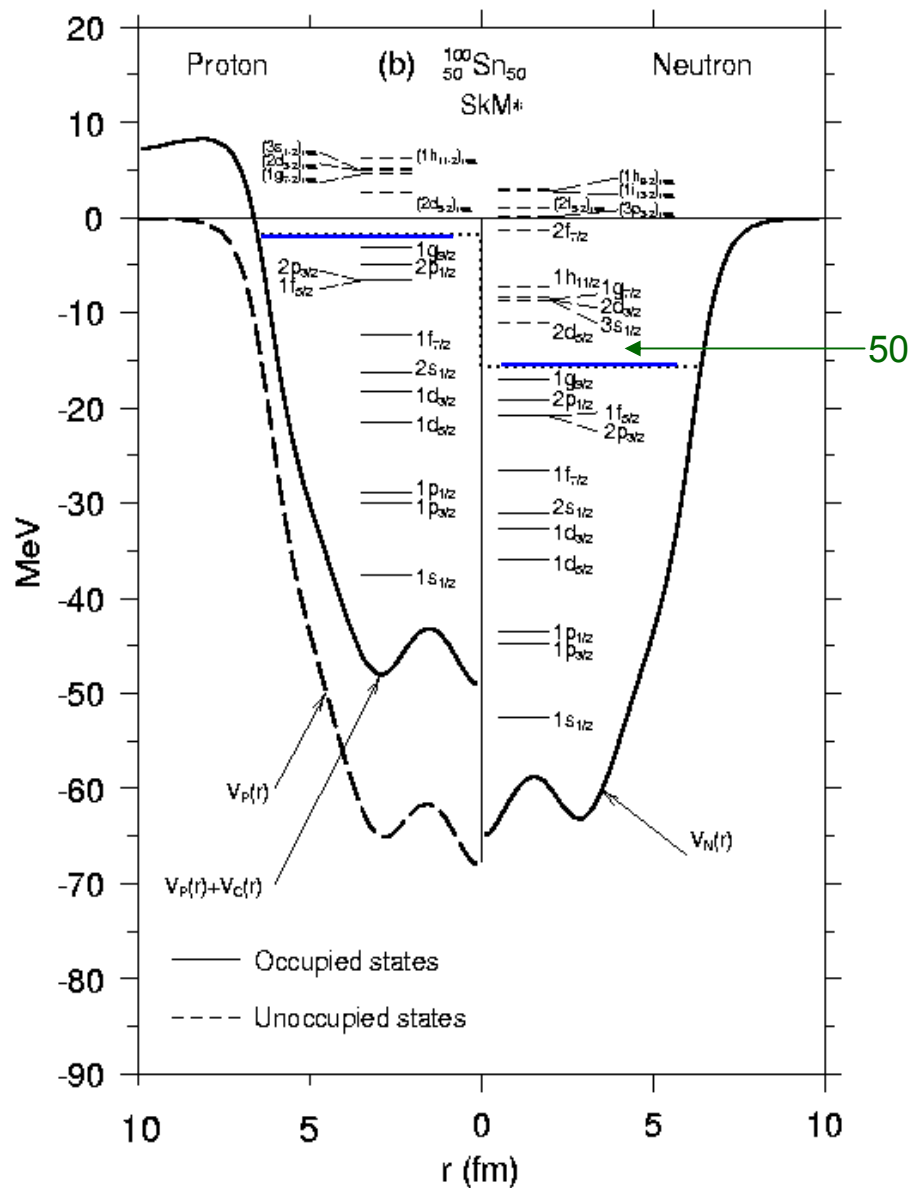
$V_N(r)$  : neutron potential,  $V_P(r)$  : proton nuclear potential

ex. of neutron-drip-line nuclei



50

ex. of proton-drip-line nuclei



50

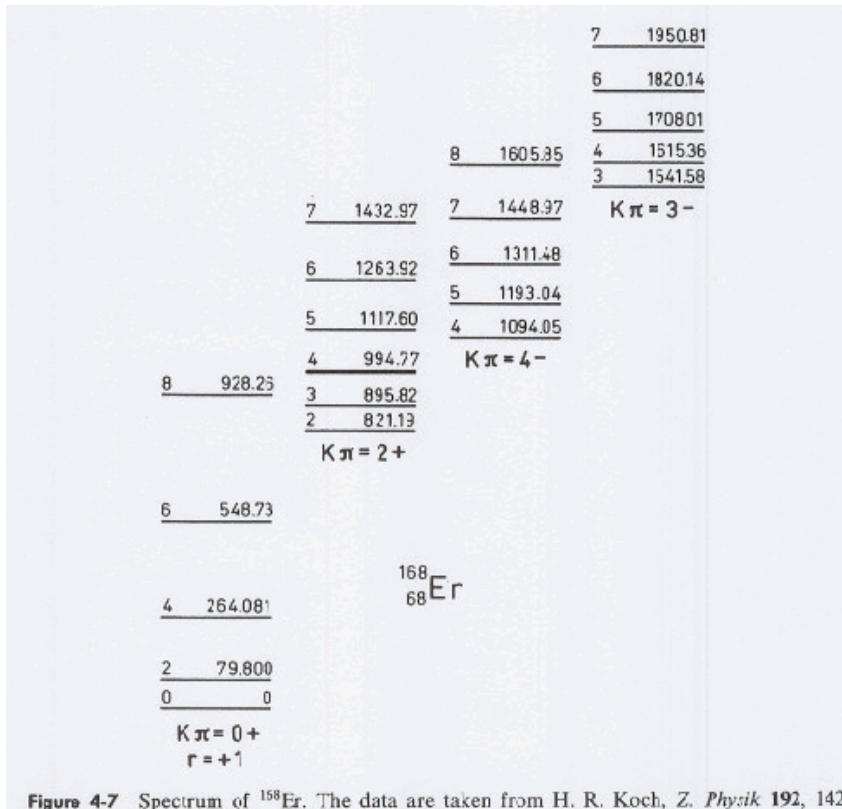
### 3. Observation of deformed nuclei

#### 3.1. Rotational spectrum and its implication

Some nuclei are **deformed** --- axially-symmetric quadrupole (Y20) deformation

Observation :

- 1) **rotational** spectra  $E(I) \approx AI(I+1)$
- 2) **large quadrupole moment** or large  $(E2; I \rightarrow I-2)$  transition probability

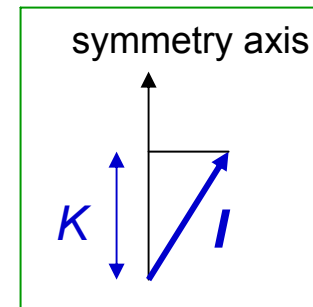


For  $E(I) = AI(I+1)$ ,

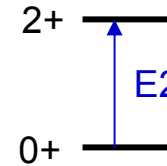
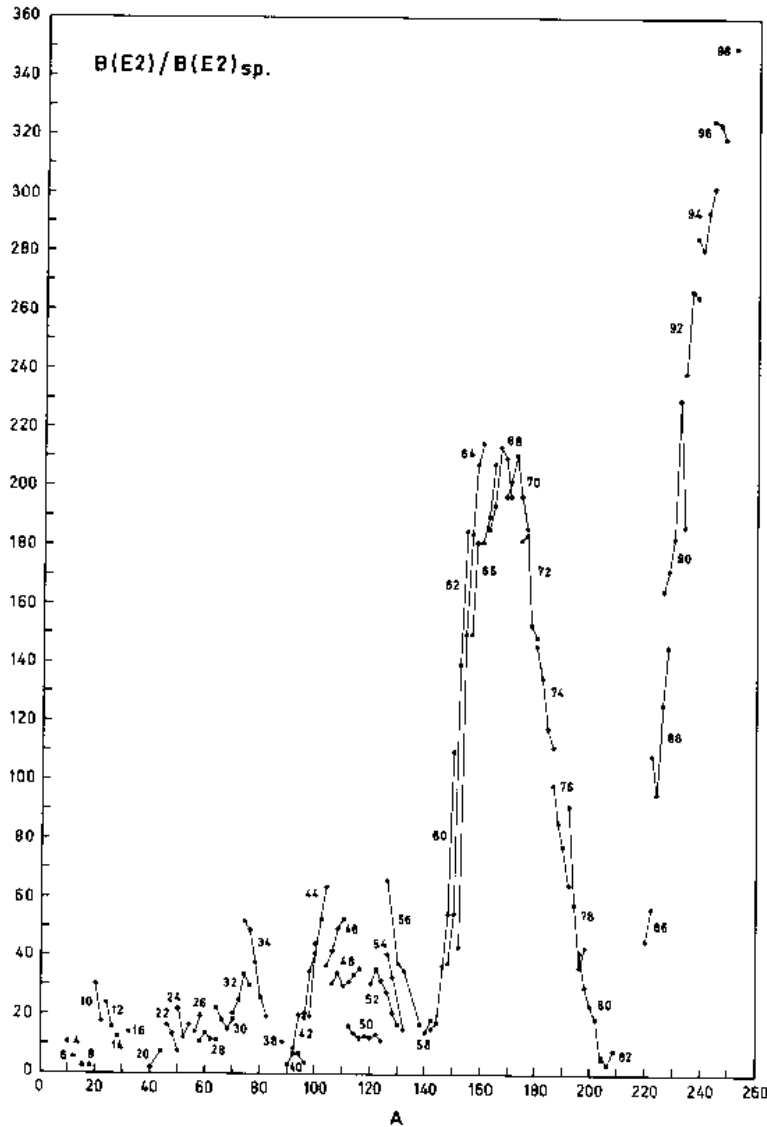
$$\frac{E(I=4)}{E(I=2)} = 3.33$$

ex. In the ground band of  $^{168}\text{Er}$

$$\frac{264.081}{79.800} = 3.31$$



A **rotational band**, consisting of members with  $I \geq K$ .



Observed **E2-transition probabilities** of the ground state ( $I=0$ ) to the first excited  $2+$  state in **stable even-even** nuclei.

The single-particle value used as unit is

$$B_{sp}(E2) = \frac{5}{4\pi} e^2 \left( \frac{3}{5} R^2 \right)^2 = 0.30 A^{4/3} e^2 fm^4$$

**WARNING** : many different definitions (and notations) of  $Y_{20}$  deformation parameters

$\delta$  intrinsic quadrupole moment

$$Q_0 = \frac{4}{3} \left\langle \sum_{k=1}^Z r_k^2 \right\rangle \delta$$

uniformly-charged spheroidal nucleus  
with a sharp surface

$$\delta = \frac{3}{2} \frac{(R_3)^2 - (R_\perp)^2}{(R_3)^2 + 2(R_\perp)^2}$$

$\beta$   $\beta_2$  is defined in terms of the expansion of the density distribution in spherical harmonics.

radius  $R(\theta, \varphi) = R_0(1 + \beta_2 Y_{20}^*(\theta) + \dots)$

density  $\rho(\vec{r}) = \rho_0(r) - R_0 \frac{\partial \rho_0}{\partial r} (\beta_2 Y_{20}^*(\theta) + \dots)$

$\delta_{osc}$  or  $\varepsilon$  In the deformed harmonic oscillator model it is customary to use

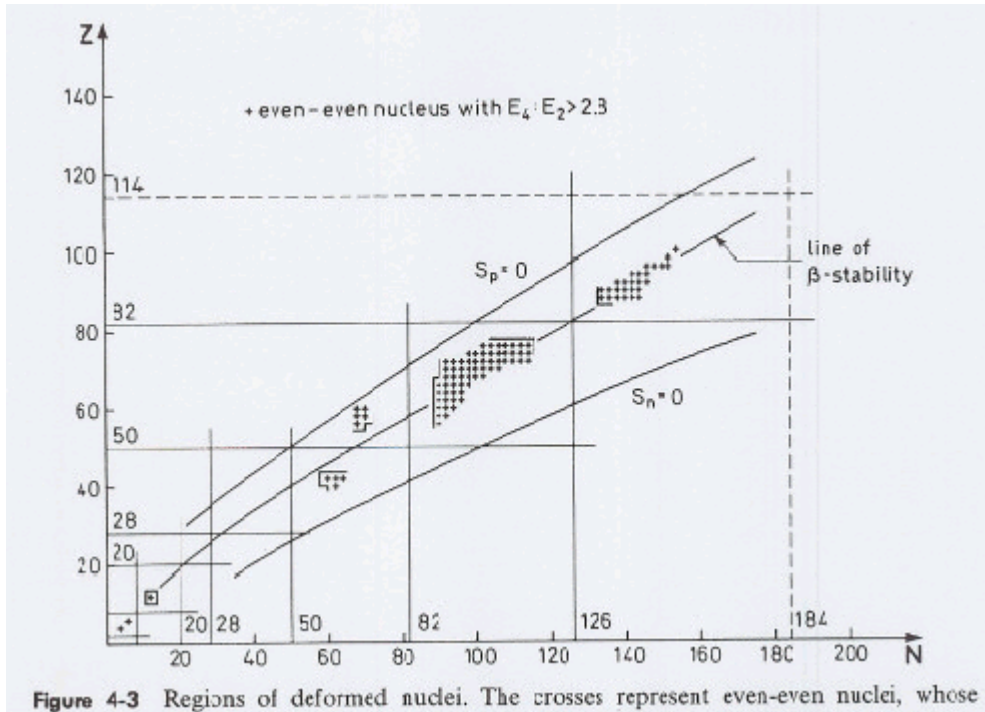
$$\varepsilon = \delta_{osc} \equiv 3 \frac{\omega_\perp - \omega_3}{2\omega_\perp + \omega_3} \approx \frac{R_3 - R_\perp}{R_{av}}$$

To leading order,  $\delta \approx \beta_2 \approx \delta_{osc}$ , but .....

$\delta_n \approx \delta_p$  for stable nuclei, but  $\delta_n < \delta_p$  possibly for neutron-rich nuclei towards the neutron-drip-line, since  $R_n > R_p \quad \therefore R_n \delta_n \approx R_p \delta_p$

# Nuclei with deformed ground state close to the $\beta$ stability line

All single or double closed-shell nuclei are spherical.



some typical examples of deformed nuclei :

$^{12}\text{C}_6$  **Oblate** (pancake shape)

$^{20}\text{Ne}_{10}$  **Prolate** (cigar shape)

rare-earth nuclei with

$$90 \leq N \leq 112$$

**mostly prolate**

Some new region of deformed ground-state nuclei away from  $\beta$  stability line;

1)  $N \approx Z \approx 38$  ex.  $^{72}_{36}\text{Kr}_{36}$  (oblate)  $^{76}_{38}\text{Sr}_{38}$  (prolate ?)  $^{80}_{40}\text{Zr}_{40}$  (prolate ?)

2)  $N \approx 20$  ex.  $^{30}_{10}\text{Ne}_{20}$   $^{32}_{12}\text{Mg}_{20}$  ("island of inversion")

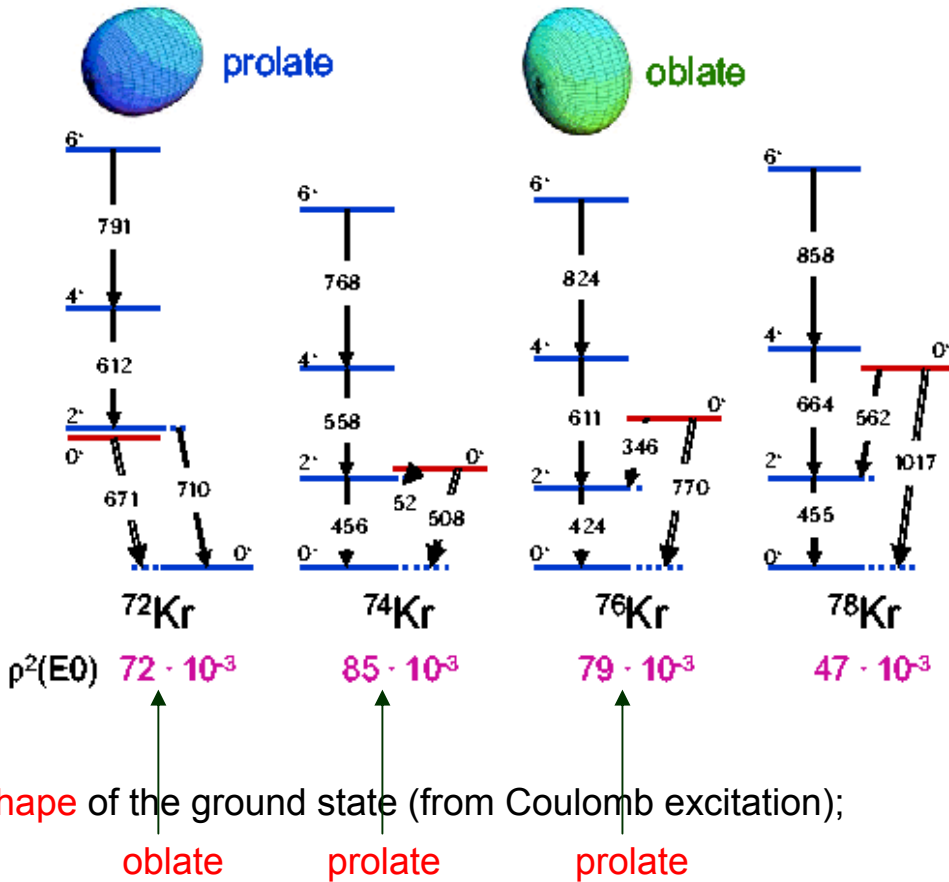
3)  $N \approx 8$  ex.  $^{12}_4\text{Be}_8$   $^{11}_4\text{Be}_7$

etc.

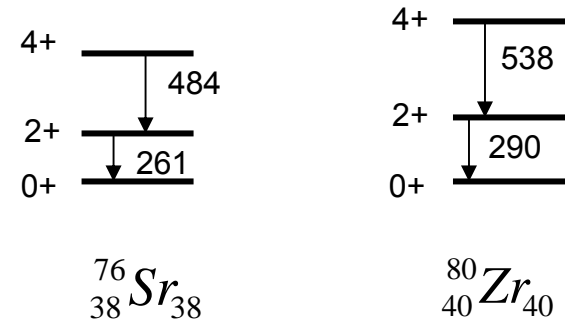
# Deformed ground state of $N \approx Z$ nuclei (proton-rich compared with stable nuclei)

Coexistence of **prolate** and **oblate** shape :

## Systematics of the light krypton isotopes ( $Z=36$ )

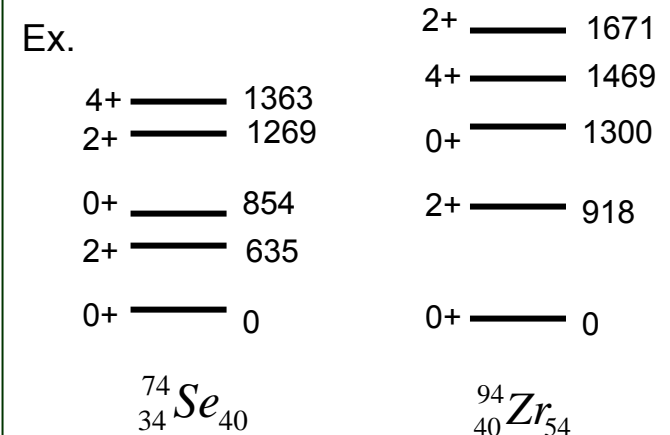


(A.Goergen, Gammapool workshop in Trento, 2006)



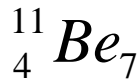
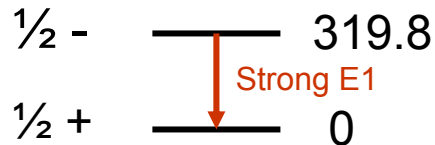
Most probably **prolate**

OBS. **Almost all stable** nuclei with  $N$  (or  $Z$ ) = 40 are **spherical**.





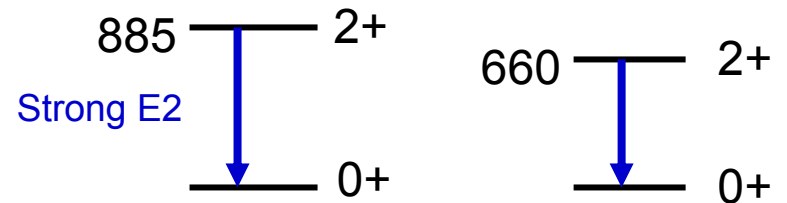
$$S(n) = 504 \text{ keV}$$



The **spin-parity** of the ground state,  $\frac{1}{2}^+$ , as well as the **small energy distance** between the  $\frac{1}{2}^-$  and  $\frac{1}{2}^+$  levels, **320 keV**, is easily explained, if the nucleus is **deformed** !

$N=8$  is **not** a **magic number** !  
(in this **neutron-rich** nucleus)

$$2315 \text{ --- } (4+) \quad 2120 \text{ --- } (4+)$$



$$\beta = 0.52$$

$$0.58$$

$$S(n) = 5.81$$

$$4.16 \text{ MeV}$$

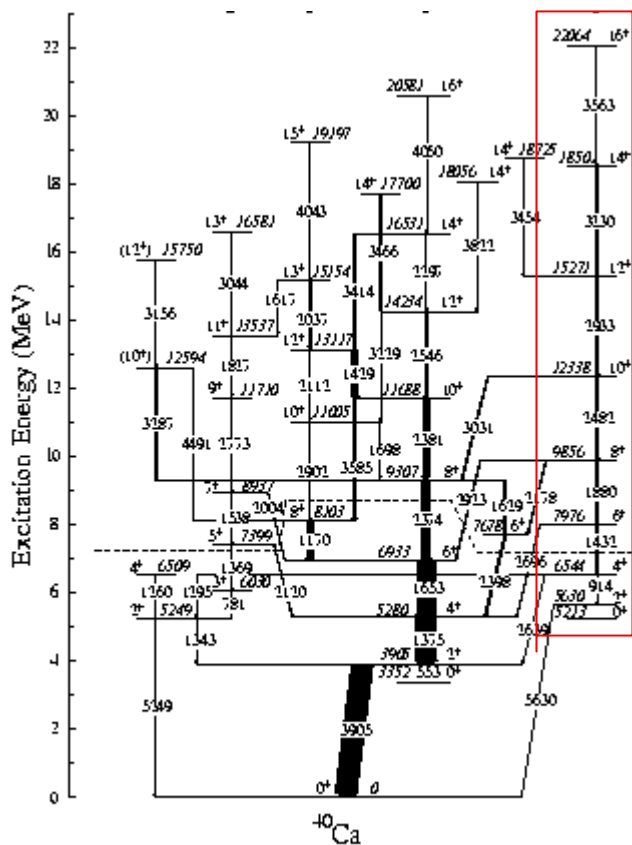
$$\frac{E(4+)}{E(2+)} = (2.62)$$

$$(3.21)$$

$N=20$  is **not** a **magic number** !  
(in these **neutron-rich** nuclei)

# Example of deformed excited states of magic nuclei

$^{40}_{20}\text{Ca}_{20}$  : doubly-magic nucleus, spherical ground state



strongly-deformed band

$$Q_t = 1.80 \begin{matrix} + 0.39 \\ - 0.29 \end{matrix} \text{ eb}$$

from Doppler shift measurement

$$\rightarrow \beta = 0.59 \begin{matrix} + 0.11 \\ - 0.07 \end{matrix}$$

FIG. 1. Partial level scheme of  $^{40}\text{Ca}$ ; the energy labels are

From E. Ideguchi et al., Phys.Rev.Lett. 87 (2001) 222501.

## Implication of rotational spectra :

- (1) Existence of deformation (in the body-fixed system), so as to specify an orientation of the system as a whole.
- (2) Collective rotation, as a whole, and internal motion w.r.t. the body-fixed system are approximately separated in the complicated many-body system.

Classical system : An infinitesimal deformation is sufficient to establish anisotropy.

Quantum system : [zero-point fluctuation of deformation]  $\ll$  [equilibrium deformation], in order to have a well-defined rotation.

---

Indeed,

collective rotation is the best established collective motion in nuclei.

For some nuclei Hartree-Fock (HF) calculations with rotationally-invariant Hamiltonian end up with a deformed shape !

spherical shape ← HF solutions for “closed-shell” nuclei

deformed shape ← HF solutions for some nuclei

  
exhibit rotational spectra

- ∴ Deformed shape obtained from HF calculations is interpreted as the intrinsic structure (in the body-fixed system) of the nuclei.

---

The notion of one-particle motion in deformed nuclei can be, in practice, much more widely, in a good approximation, applicable than that in spherical nuclei.

- ∴) The major part of the long-range two-body interaction is already taken into account in the deformed mean-field.

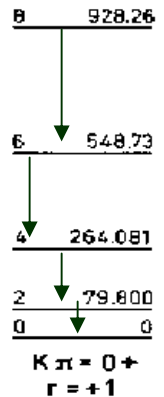
Thus, the spectroscopy of deformed nuclei is often much simpler than that of spherical vibrating nuclei.

# What can one learn from rotational spectra ?

(a) **Quantum numbers** of rotational spectra  $\leftrightarrow$  **symmetry** of **deformation**

ex. **Parity** is a good quantum number  $\leftarrow$  **space reflection invariance**,  
**K** is a good quantum number  $\leftarrow$  **Axially-symmetric shape** (  $E(I) \propto I(I+1)$  ),  
 where **K** is the projection of angular momentum along the symmetry axis.  
 The **K=0 rotational band** has only  $I = 0, 2, 4, \dots$   $\leftarrow$  shape is **R-invariant**,  
**Kramers degeneracy**  $\leftarrow$  **time reversal invariance**,  
 etc.

(b) **rotational energy**,  $E(I) - E(I-2)$  }  $\leftrightarrow$  **size of deformation**  
**E2 transition probability**  $\downarrow$



**R-invariant** shape : in addition to axially-symmetry, the shape is further invariant w.r.t. a rotation of  $\pi$  about an axis perpendicular to the symmetry axis.  
 (If a shape is already axial symmetric, **reflection invariance** is equivalent to **R-inv.**)  
 ex.  $Y_{20}$  **deformed** shape is **R-invariant**, but not  $Y_{30}$  deformed shape.

**Kramers degeneracy** : The levels in an **odd-fermion** system are at least **doubly degenerate**.

Why are some nuclei **deformed** ?

Usual understanding ;

**Deformation of ground states** (**ND**,  $R_{\perp} : R_z \approx 1 : 1.3$ ) ← **Jahn-Teller effect**

Many particles outside a closed shell in a spherical potential

→ **near degeneracy in quantum spectra**

→ possibility of gaining energy by breaking away from spherical symmetry using the degeneracy

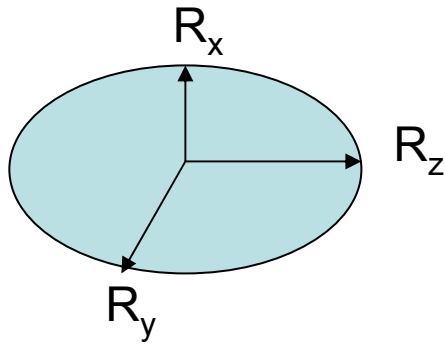
**Superdeformation** (**SD**,  $R_{\perp} : R_z \approx 1 : 2$ ) at **high spins** in rare-earth nuclei or **fission isomers** in actinide nuclei

← **new shell structure** (and **new magic numbers** !) at **large** deformation

### 3.2. Important deformation and quantum numbers in deformed nuclei

**Axially symmetric quadrupole (Y20) deformation** (plus *R*-symmetry)

- most important deformation in nuclei



$$R_{\perp} (= R_x = R_y) < R_z$$

prolate (cigar shape)

$$R_{\perp} (= R_x = R_y) > R_z$$

oblate (pancake shape)

Axially-symmetric **quadrupole-deformed** harmonic-oscillator potential

$$H = T + V \quad \text{with} \quad V = \frac{M}{2} (\omega_z^2 z^2 + \omega_{\perp}^2 (x^2 + y^2))$$

$$H |n_x, n_y, n_z\rangle = \varepsilon(n_{\perp}, n_z) |n_x, n_y, n_z\rangle \quad \text{where} \quad n_{\perp} = n_x + n_y$$

$$\varepsilon(n_{\perp}, n_z) = (n_z + \frac{1}{2})\hbar\omega_z + (n_{\perp} + 1)\hbar\omega_{\perp} = \hbar\varpi \left( N + \frac{3}{2} - \frac{\delta}{3} (3n_z - N) \right)$$

$$\text{where} \quad \varpi = \frac{1}{3}(\omega_z + 2\omega_{\perp}) \quad \text{and} \quad N = n_x + n_y + n_z$$

deformation  
parameter

$$\delta \equiv 3 \frac{\omega_{\perp} - \omega_z}{2\omega_{\perp} + \omega_z} \approx \frac{R_z - R_{\perp}}{R_{av}}$$

$\delta > 0 \rightarrow R_z > R_{\perp} \quad :$  prolate

$\delta < 0 \rightarrow R_z < R_{\perp} \quad :$  oblate

# One-particle spectrum of Y20-deformed harmonic-oscillator potential

$$\varepsilon(N, n_z) = \hbar\omega \left( N + \frac{3}{2} - \frac{\delta}{3} (3n_z - N) \right)$$

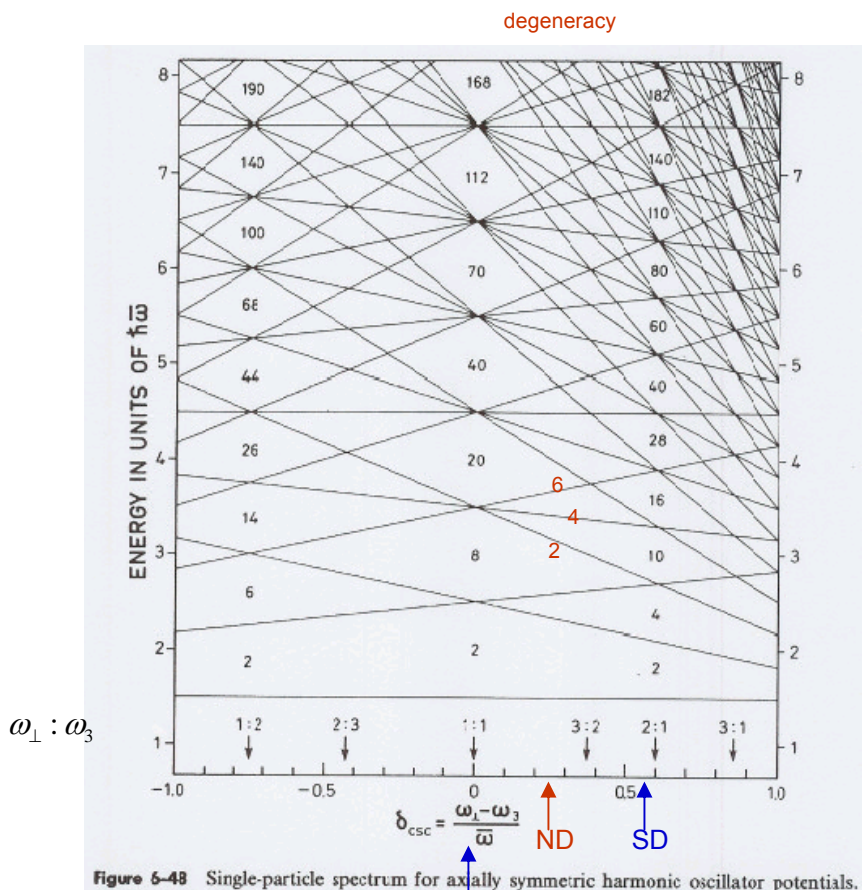


Figure 6-48 Single-particle spectrum for axially symmetric harmonic oscillator potentials.

oblate  
spherical symmetric  
prolate

(1) At  $\delta = 0$  : spherical,

$$\varepsilon(N) = \hbar\omega \left( n_x + n_y + n_z + \frac{3}{2} \right) = \hbar\omega \left( N + \frac{3}{2} \right)$$

degeneracy  $(N+1)(N+2)$

(2) At  $\delta \neq 0$

$\varepsilon(N)$  splits into  $(N+1)$  levels,  $\varepsilon(N, n_z)$

$$\because n_z = 0, 1, 2, \dots, N$$

The level with  $\varepsilon(N, n_z)$  has degeneracy

$$2(n_{\perp} + 1)$$

$\because N - n_z = n_{\perp} = n_x + n_y$  and

$$n_y = 0, 1, \dots, n_{\perp}$$

(3) Note "closed shell" appears, when  $\omega_{\perp} : \omega_z$  is a small integer ratio.  $\rightarrow$  large degeneracy

ex.  $\omega_{\perp} = 2\omega_z \rightarrow \varepsilon(N, n_z) = \hbar\omega_z \left( n_z + 2n_{\perp} + 2 + \frac{1}{2} \right)$

where one can have many combinations of integer  $(n_z, n_{\perp})$  values that give the same value of  $(n_z + 2n_{\perp})$ .



## One-particle Hamiltonian with spin-orbit potential

$$H = T + V(r, \theta)$$

$$V(r, \theta) = V_0(r) + V_2(r)Y_{20}(\theta) + V_{\ell s}(r)(\vec{\ell} \cdot \vec{s})$$

$$Y_{20}(\theta) = \sqrt{\frac{5}{16\pi}}(3\cos^2\theta - 1)$$

where  $\theta$  is polar angle w.r.t. the symmetry axis (= z-axis)

---

### Quantum numbers of one-particle motion in $H$

(1) **Parity**  $\pi = (-1)^\ell$  where  $\ell$  is orbital angular momentum of one-particle.

(2)  **$\Omega$**   $\leftarrow \ell_z + s_z \quad \because$

$$[f(r) Y_{20}(\theta), \ell_z + s_z] = 0 \quad \text{and} \quad [(\vec{\ell} \cdot \vec{s}), \ell_z + s_z] = 0$$

## 4. One-particle motion sufficiently bound in $Y_{20}$ deformed potential

$$V(r, \theta) = V_0(r) + \underline{V_2(r)Y_{20}(\theta)} + \underline{V_{\ell s}(r)(\vec{\ell} \cdot \vec{s})}$$

### 4.1. Normal-parity orbits and/or large deformation

$$H_0 = T + \frac{M}{2}(\omega_z^2 z^2 + \omega_{\perp}^2(x^2 + y^2))$$

$$H' = V_{\ell s}(r)(\vec{\ell} \cdot \vec{s})$$

$$\langle V_2(r)Y_{20}(\theta) \rangle \gg \langle V_{\ell s}(r)(\vec{\ell} \cdot \vec{s}) \rangle$$

$$\varepsilon(N, n_z) = (n_z + \frac{1}{2})\hbar\omega_z + (n_{\perp} + 1)\hbar\omega_{\perp}$$

has  $2(n_{\perp} + 1)$  **degeneracy**.

$$n_{\perp} = n_x + n_y$$

The **degeneracy** can be resolved by specifying  $n_x = 0, 1, \dots, n_{\perp}$  for a given  $n_{\perp}$ . However, since  $[H_0, \ell_z] = 0$ , ( $\ell_z$  : z-component of one-particle orbital angular momentum), quantum number  $\Lambda$  ( $\leftarrow \ell_z$ ) can be used to resolve the  $(n_{\perp} + 1)$  **degeneracy**.

Possible values of  $\Lambda$  are  $\Lambda = \pm n_{\perp}, \pm(n_{\perp} - 2), \dots, \pm 1$  or  $0$ .

The basis  $[n_{\perp}, n_z, \Lambda]$  is **useful** for  $H' \propto (\vec{\ell} \cdot \vec{s})$

$$\text{Including spin, } \Sigma \leftarrow s_z, \quad \langle n_{\perp} n_z \Lambda \Sigma | H | n_{\perp} n_z \Lambda \Sigma \rangle = \varepsilon(n_{\perp}, n_z) + \langle n_{\perp} n_z | V_{\ell s}(r) | n_{\perp} n_z \rangle \Lambda \Sigma$$

---


$$[n_{\perp} n_z \Lambda \Sigma] \quad \text{or} \quad [N n_z \Lambda \Omega] \quad : \quad \text{asymptotic quantum numbers}$$

$$N = n_{\perp} + n_z \quad \text{and} \quad \Omega = \Lambda + \Sigma$$

$[ N n_z \Lambda \Omega ]$  : approximately good quantum numbers for large  $Y_{20}$  deformation

(  $\Omega$  is an exact quantum-number )

Thus, in deformed nuclei it is customary to denote  
observed one-particle levels, or  
one-particle levels obtained from finite-well potentials, or  
HF one-particle levels etc.

by  $[ N n_z \Lambda \Omega ]$ , in which  $|N n_z \Lambda \Omega\rangle$  is the major component of the wave functions.

Denote  $\Omega > 0$  value, though  $\pm \Omega$  doubly degenerate (Kramers degeneracy).

---

ex. For deformation  $\delta = 0.3$  the proton one-particle wave-functions obtained by diagonalizing  
 $H = T + V(r, \theta)$  with a  $(\ell \cdot s)$  potential are

$$\begin{aligned} | [411 \ 3/2] \rangle &= 0.926 | 411 \ 3/2 \rangle + \dots = 0.418 | g_{9/2} \rangle - 0.140 | g_{7/2} \rangle + 0.864 | d_{5/2} \rangle + 0.246 | d_{3/2} \rangle \\ | [411 \ 1/2] \rangle &= 0.900 | 411 \ 1/2 \rangle + \dots = -0.163 | g_{9/2} \rangle + 0.396 | g_{7/2} \rangle - 0.099 | d_{5/2} \rangle + 0.848 | d_{3/2} \rangle + 0.297 | s_{1/2} \rangle \\ | [400 \ 1/2] \rangle &= 0.968 | 400 \ 1/2 \rangle + \dots = 0.147 | g_{9/2} \rangle - 0.072 | g_{7/2} \rangle + 0.539 | d_{5/2} \rangle - 0.160 | d_{3/2} \rangle + 0.811 | s_{1/2} \rangle \end{aligned}$$

(From A.Bohr & B.R.Mottelson, Nuclear Structure, vol.II, Table 5-2.)

$$V(r, \theta) = V_0(r) + \underline{V_2(r)Y_{20}(\theta)} + \underline{V_{\ell s}(r)(\vec{\ell} \cdot \vec{s})}$$

## 4.2. high-j orbits and/or small deformation

$$\langle V_2(r)Y_{20}(\theta) \rangle \ll \langle V_{\ell s}(r)(\vec{\ell} \cdot \vec{s}) \rangle$$

those pushed down by  $(\vec{\ell} \cdot \vec{s})$  potential : ex.  $g_{9/2}, h_{11/2}, i_{13/2}, \dots$

$j$  (= one-particle angular momentum) is **approximately** a **good quantum number**.

$$H_0 = T + V_0 + V_{\ell s}(r) (\vec{\ell} \cdot \vec{s})$$

$$H' = V_2(r) Y_{20}(\theta)$$

For a **single-j** shell,

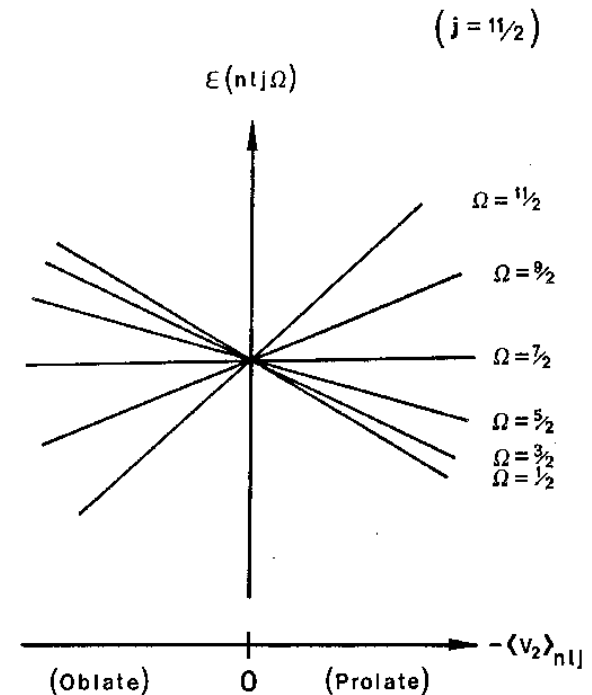
$$H_0 |\ell j \rangle = \varepsilon_0(\ell j) |\ell j \rangle$$

$$H |\ell j \Omega \rangle = \varepsilon(\ell j \Omega) |\ell j \Omega \rangle$$

$$\varepsilon(\ell j \Omega) = \varepsilon_0(\ell j) + \langle \ell j \Omega | H' | \ell j \Omega \rangle$$

$$= \varepsilon_0(\ell j) + \frac{3\Omega^2 - j(j+1)}{4j(j+1)} \underbrace{\langle \ell j | -\sqrt{\frac{5}{4\pi}} V_2(r) | \ell j \rangle}_{\text{deformation parameter}}$$

deformation parameter



**spherical** :  $(2j+1)$  degeneracy  $\rightarrow$   **$Y_{20}$  deformed** :  $\pm \Omega$  degeneracy

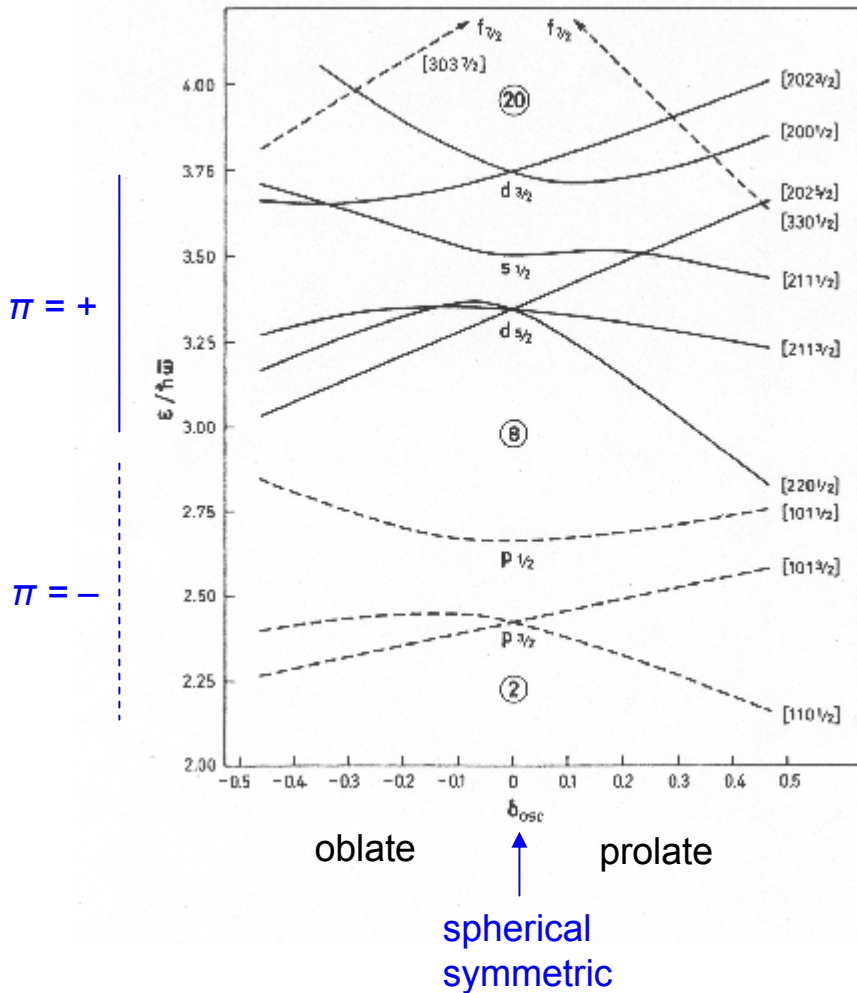
### 4.3. "Nilsson diagram" — one-particle spectra as a function of deformation

$[Nn_z \Lambda \Omega]$

Diagonalize  $H = T + V(r, \theta)$

where

$$V(r, \theta) = V_0(r) + \underline{V_2(r)Y_{20}(\theta)} + \underline{V_{\ell s}(r)(\vec{\ell} \cdot \vec{s})}$$



Levels are doubly degenerate with  $\pm \Omega$ .

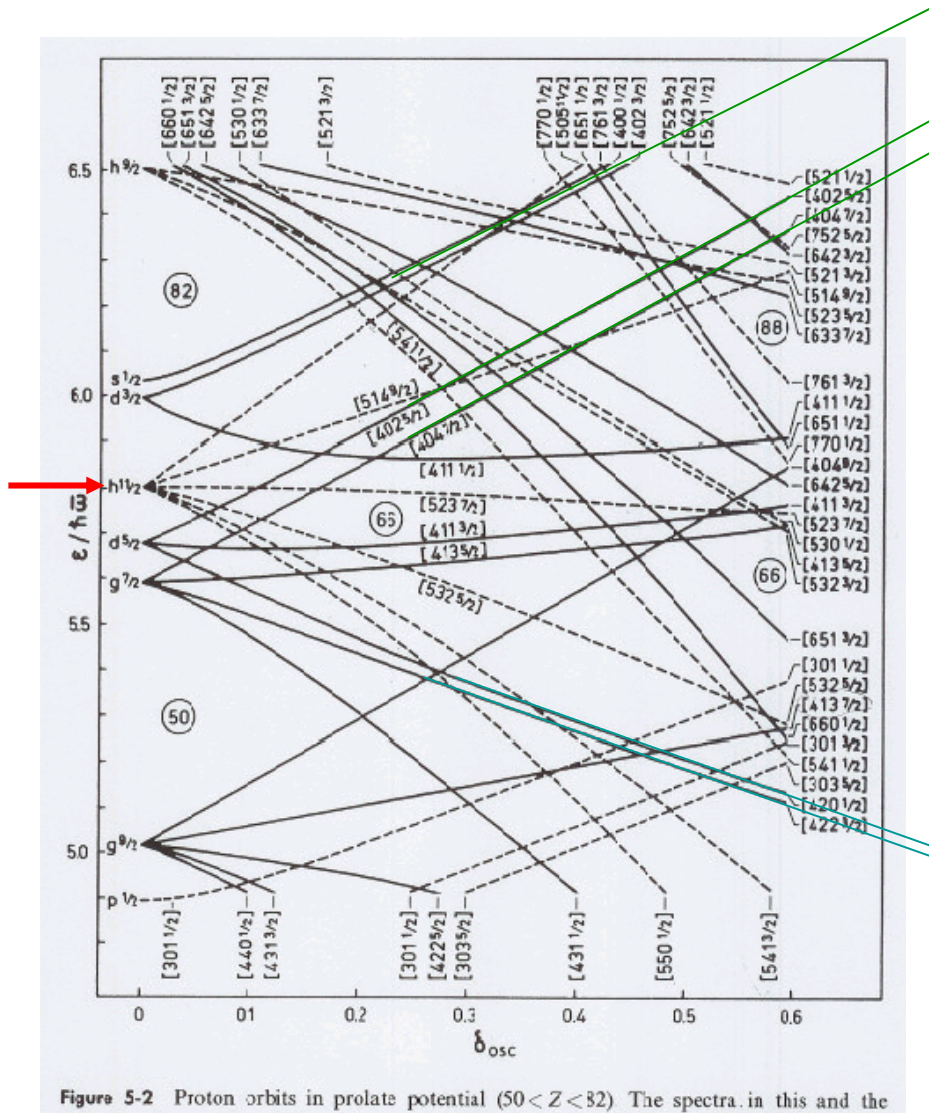
$(\pi, \Omega)$  : exact quantum numbers.

Levels with a given  $(\pi, \Omega)$  interact !  
i.e. levels with the same  $(\pi, \Omega)$  never cross !

# Proton orbits in prolate potential ( $50 < Z < 82$ ).

$g_{7/2}$ ,  $d_{5/2}$ ,  $d_{3/2}$  and  $s_{1/2}$  orbits, which have  $\pi = +$ , do not mix with  $h_{11/2}$  by  $Y_{20}$  deformation.

$h_{11/2}$  orbit  
= high-j orbit  
with  $\pi = -$



[N=4,  $n_z=0$ ]

Levels are doubly degenerate with  $\pm \Omega$ .

At small  $\delta$  and  $h_{11/2}$  orbit,

$$\varepsilon \propto \delta (3\Omega^2 - j(j+1))$$

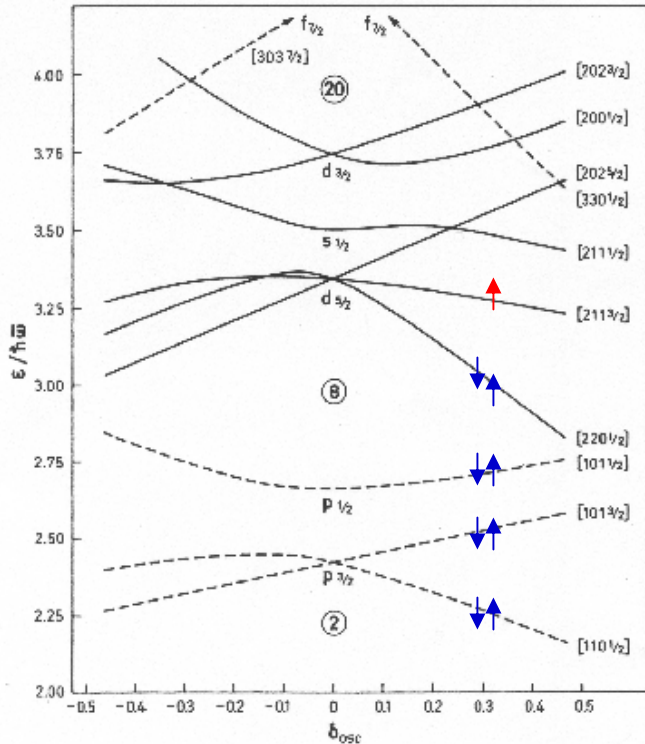
At large  $\delta$ ,

$$\varepsilon \propto -\delta(3n_z - N)$$

[N=4,  $n_z=2$ ]

At  $\delta > 0.3$  for prolate shape quantum numbers  $[N n_z \Lambda \Omega]$  work well, except for high-j orbits.

# Intrinsic configuration in the body-fixed system



Good approximation ;

(a) In the ground state of eve-even nuclei

$$K \equiv \sum_{i=1}^A \Omega_i = 0$$

Namely,  $\pm \Omega$  levels are pair-wise occupied.

(b) In low-lying states of odd-A nuclei

$$K \equiv \sum_{i=1}^A \Omega_i \Rightarrow \Omega \text{ of the last unpaired particle.}$$

Low-lying states in deformed odd-A nuclei may well be understood in terms of the  $[Nn_z \Lambda \Omega]$  orbit of the last unpaired particle.



ex. The  $N=13$  th neutron orbit is seen in low-lying excitations in  $^{25}\text{Mg}_{13}$

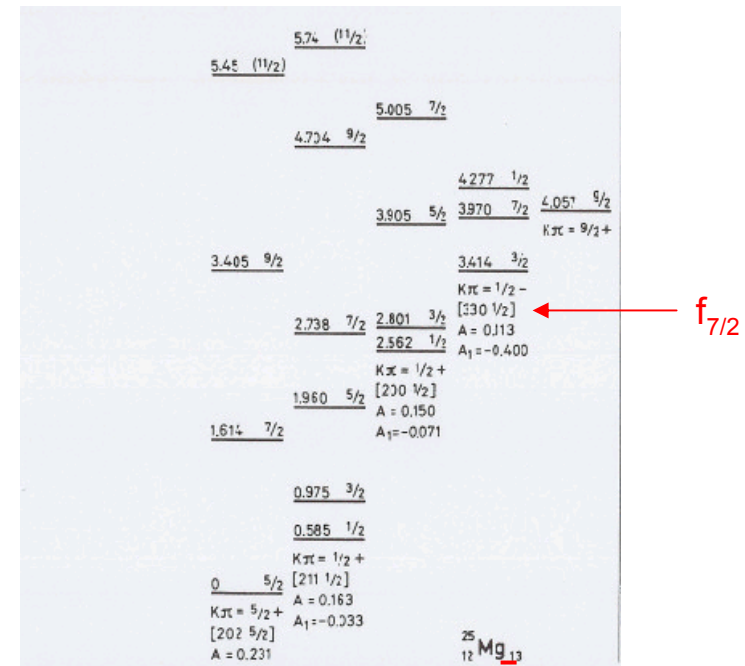
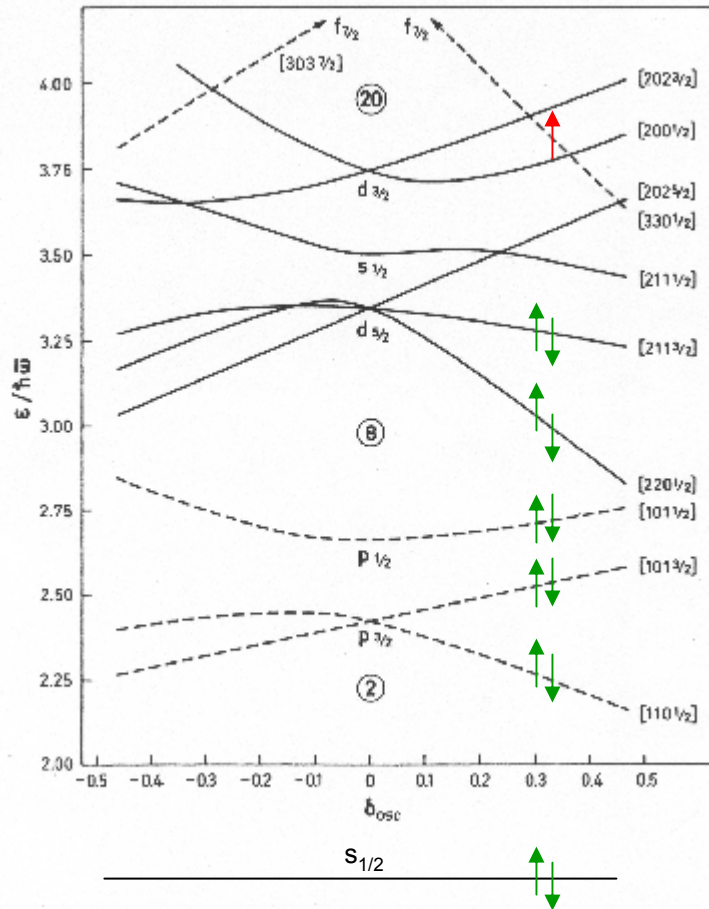


Figure 5-15 Spectra of  $^{25}\text{Mg}$  and  $^{25}\text{Al}$ . The recognition of rotational ba

- Note (a)  $I \geq K$  ( $\leftarrow I_3$ )  
 (b) the bandhead state has  $I=K$ .  
 Exception may occur for  $K=1/2$  bands.  
 (c) some irregular rotational spectra are observed for  $K=1/2$  bands.

1) Leading-order E2 and M1 intensity relation works pretty well  
 $\rightarrow Q_0 \approx +50 \text{ fm}^2 \rightarrow \delta \approx 0.4$   
 $(g_K - g_R) \approx 1.4$  for [202 5/2] etc.



ex.  ${}^{11}_4\text{Be}_7$  ( $N = 7$ )

$$S_n = 504 \text{ keV}$$

$$\frac{1}{2}^- \text{ ————— } 319.8$$

$$\frac{1}{2}^+ \text{ ————— } 0 \text{ (i.e. neutron binding energy = 504 keV)}$$

The observed spectra can be easily understood if the deformation  $\delta \sim 0.6$ .  
Indeed, the observed deformation in  ${}^{12}\text{Be}(p,p')$  is  $\beta \sim 0.7$ .

$N=8$  is not a magic number !

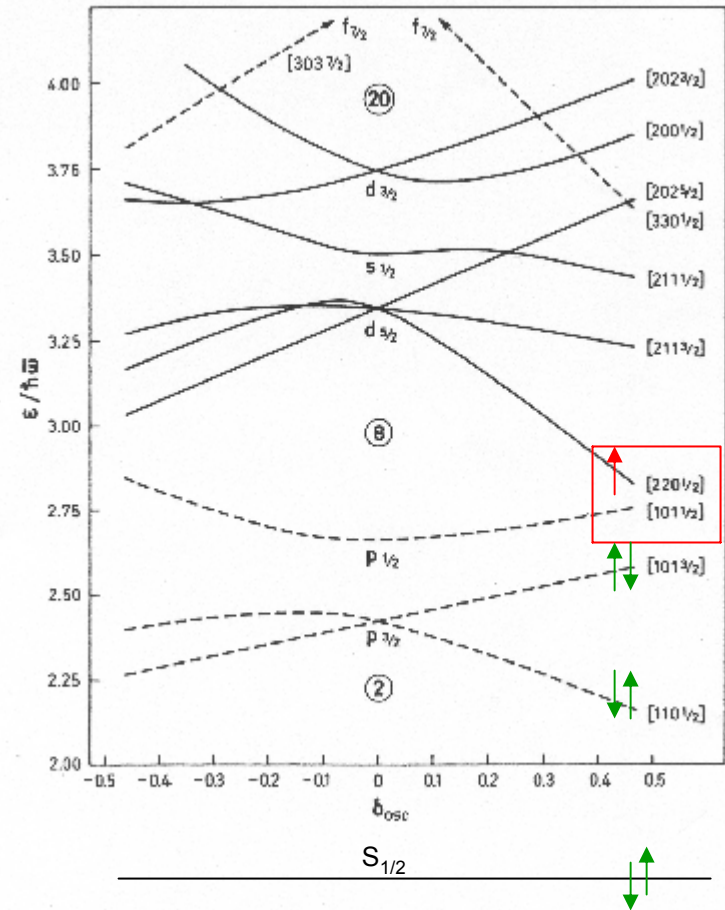
An additional element :

weakly-bound  $[220 \frac{1}{2}]$

→ major component becomes  $s_{1/2}$  (halo)

→ one-particle energy is pushed down relative to  $p_{1/2}$

In the spherical shell-model the above  $\frac{1}{2}^+$  state must be interpreted as the 1-particle (in the  $sd$ -shell) 2-hole (in the  $p$ -shell) state, which was pushed down below the  $\frac{1}{2}^-$  state (1-hole in the  $p$ -shell) due to some residual interaction.



# Table 1.

Selection rule of one-particle operators between one-particle states with exact quantum numbers  $(N n_z \Lambda \Omega)$ .

Matrix elements of the most important operators in the asymptotic basis, and their selection rules

Operator	$\Delta N$	$\Delta N_z$	$\Delta \Lambda$	$\Delta \Sigma$	$\Delta \Omega$	$\langle N' N'_z \Lambda'   O   N N_z \Lambda \rangle$
$l_z \cdot s$	0	0	0	0	0	$\Delta \Sigma$ $-\frac{1}{2}(\frac{1}{2} \pm \Sigma) [(N_z + 1) (N - N_z \mp \Lambda)]^{\pm}$ $-\frac{1}{2}(\frac{1}{2} \pm \Sigma) [N_z (N - N_z \pm \Lambda + 2)]^{\pm}$
$l_z^2$	0	0	0	0	0	$\Lambda^2 + \Lambda + 2 [N_z (N - N_z + 1)] + (N - N_z - \Lambda)$ $[(N_z + 1) (N_z + 2) (N - N_z + \Lambda) (N - N_z - \Lambda)]^{\pm}$ $[N_z (N_z - 1) (N - N_z \mp \Lambda + 2) (N - N_z - \Lambda + 2)]^{\pm}$
$z'$	$\pm 1$	$\pm 1$	0	0	0	$c_z [\frac{1}{2}(N_z \text{ sup})]^{\pm}$
$x' \pm iy'$	+1 -1	0	$\pm 1$	0	$\pm 1$	$\pm c_{\perp} [\frac{1}{2}(N - N_z \pm \Lambda + 2)]^{\pm}$ $\mp c_{\perp} [\frac{1}{2}(N - N_z \mp \Lambda)]^{\pm}$
$z'^2$	0 2 -2	0 2 -2	0 0 0	0 0 0	0 0 0	$c_z^2 (N_z + \frac{1}{2})$ $\frac{1}{2} c_z^2 [(N_z + 1) (N_z + 2)]^{\pm}$ $\frac{1}{2} c_z^2 [N_z (N_z - 1)]^{\pm}$
$x'^2 + y'^2$	0 2 -2	0 0 0	0 0 0	0 0 0	0 0 0	$c_{\perp}^2 (N - N_z + 1)$ $-\frac{1}{2} c_{\perp}^2 [(N - N_z + \Lambda + 2) (N - N_z - \Lambda + 2)]^{\pm}$ $-\frac{1}{2} c_{\perp}^2 [(N - N_z + \Lambda) (N - N_z - \Lambda)]^{\pm}$
$z'(x' \pm iy')$	0 0 2 -2	1 -1 1 -1	$\pm 1$	0	$\pm 1$	$\mp \frac{1}{2} c_{\perp} c_z [(N_z + 1) (N - N_z \mp \Lambda)]^{\pm}$ $\pm \frac{1}{2} c_{\perp} c_z [N_z (N - N_z \pm \Lambda + 2)]^{\pm}$ $\pm \frac{1}{2} c_{\perp} c_z [(N_z + 1) (N - N_z \pm \Lambda + 2)]^{\pm}$ $\mp \frac{1}{2} c_{\perp} c_z [N_z (N - N_z \mp \Lambda)]^{\pm}$
$(x' \pm iy')^2$	0 2 -2	0 0 0	$\pm 2$	0	$\pm 2$	$-c_{\perp}^2 [(N - N_z \mp \Lambda) (N - N_z \pm \Lambda + 2)]^{\pm}$ $\frac{1}{2} c_{\perp}^2 [(N - N_z \pm \Lambda + 2) (N - N_z \pm \Lambda + 4)]^{\pm}$ $\frac{1}{2} c_{\perp}^2 [(N - N_z \mp \Lambda) (N - N_z \mp \Lambda - 2)]^{\pm}$
$l_z$	0	0	0	0	0	$\Lambda$
$l_x \pm il_y$	0 0 2 -2	1 -1 1 -1	$\pm 1$	0	$\pm 1$	$-\mathcal{S} [(N_z + 1) (N - N_z \mp \Lambda)]^{\pm}$ $-\mathcal{S} [N_z (N - N_z \pm \Lambda + 2)]^{\pm}$ $\mathcal{D} [(N_z + 1) (N - N_z \pm \Lambda + 2)]^{\pm}$ $\mathcal{D} [N_z (N - N_z \mp \Lambda)]^{\pm}$
$s_z$	0	0	0	0	0	$\Sigma$
$s_x \pm is_y$	0	0	0	$\pm 1$	$\pm 1$	$[(\frac{1}{2} \mp \Sigma) (\frac{1}{2} \pm \Sigma + 1)]^{\pm}$

The matrix elements between the levels with the assigned asymptotic quantum numbers,  $[N n_z \Lambda \Omega]$ , can be obtained, to leading order, from this table.

E1 operator

E2 operator

M1 operator

Gamow-Teller operator

From J.P.Boisson and R.Piepenbring, Nucl. Phys. A168(1971)385.

If you use this kind of tables, you must be careful about the sign of the non-diagonal matrix elements, which depends on the phase convention of wave functions !

Table 2.

$$|(\ell s) j, \Omega\rangle \equiv \frac{1}{r} R_{\ell j}(r) \sum_{m_\ell m_s} C(\ell, 1/2, j; m_\ell m_s \Omega) Y_{\ell m_\ell}(\theta, \phi) \chi_{1/2, m_s}$$

$$\langle \ell_2 j_2 | r^\lambda | \ell_1 j_1 \rangle \equiv \int_0^\infty dr R_{\ell_2 j_2}(r) R_{\ell_1 j_1}(r) r^\lambda$$

Matrix-elements of **one-particle operators** in  **$|(\ell s) j, \Omega\rangle$**  representations

$$\begin{aligned} & \langle (\ell_2 s) j_2, \Omega | r^\lambda Y_{\lambda 0} | (\ell_1 s) j_1, \Omega \rangle \\ &= \delta\left((-1)^{\ell_1 + \ell_2}, (-1)^\lambda\right) \langle \ell_2 j_2 | r^\lambda | \ell_1 j_1 \rangle (-1)^{j_1 + j_2 + 1 + \lambda} (-1)^{\Omega - \frac{1}{2}} \sqrt{\frac{(2j_1 + 1)(2j_2 + 1)}{4\pi(2\lambda + 1)}} \\ & \quad C(j_2 j_1 \lambda; 1/2, -1/2, 0) \quad C(j_2 j_1 \lambda; \Omega, -\Omega, 0) \end{aligned}$$

$$\begin{aligned} & \langle (\ell_2 s) j_2, \Omega + 1 | r^\lambda Y_{\lambda 1} | (\ell_1 s) j_1, \Omega \rangle \\ &= \delta\left((-1)^{\ell_1 + \ell_2}, (-1)^\lambda\right) \langle \ell_2 j_2 | r^\lambda | \ell_1 j_1 \rangle (-1)^{j_1 + j_2 + 1 + \lambda} (-1)^{\Omega - 1/2} \sqrt{\frac{(2j_1 + 1)(2j_2 + 1)}{4\pi(2\lambda + 1)}} \\ & \quad C(j_2 j_1 \lambda; 1/2, -1/2, 0) \quad C(j_2 j_1 \lambda; \Omega + 1, -\Omega, 1) \\ &= (-1) \langle (\ell_1 s) j_1, \Omega | r^\lambda Y_{\lambda - 1} | (\ell_2 s) j_2, \Omega + 1 \rangle \end{aligned}$$

$$\begin{aligned} & \langle (\ell_2 s) j_2, \Omega + 2 | r^\lambda Y_{\lambda 2} | (\ell_1 s) j_1, \Omega \rangle \\ &= \delta\left((-1)^{\ell_1 + \ell_2}, (-1)^\lambda\right) \langle \ell_2 j_2 | r^\lambda | \ell_1 j_1 \rangle (-1)^{j_1 + j_2 + 1 + \lambda} (-1)^{\Omega - 1/2} \sqrt{\frac{(2j_1 + 1)(2j_2 + 1)}{4\pi(2\lambda + 1)}} \\ & \quad C(j_2 j_1 \lambda; 1/2, -1/2, 0) \quad C(j_2 j_1 \lambda; \Omega + 2, -\Omega, 2) \\ &= \langle (\ell_1 s) j_1, \Omega | r^\lambda Y_{\lambda - 2} | (\ell_2 s) j_2, \Omega + 2 \rangle \end{aligned}$$

Table 2 (continued)

(  $s_{\pm} = s_x \pm i s_y$  etc. )

$$\langle \ell_2 j_2 | \ell_1 j_1 \rangle \equiv \int_0^{\infty} dr R_{\ell_2 j_2}(r) R_{\ell_1 j_1}(r)$$

$$\begin{aligned} & \langle (\ell_2 s) j_2, \Omega + 1 | s_+ | (\ell_1 s) j_1, \Omega \rangle \\ &= \delta(\ell_1, \ell_2) (-1)^{\ell_1 + j_1 + 1/2} \sqrt{3(2j_1 + 1)} C(j_1, 1, j_2; \Omega, 1, \Omega + 1) W(1/2, j_2, 1/2, j_1; \ell_1, 1) \langle \ell_2 j_2 | \ell_1 j_1 \rangle \end{aligned}$$

$$\begin{aligned} & \langle (\ell_2 s) j_2, \Omega + 1 | l_+ | (\ell_1 s) j_1, \Omega \rangle \\ &= \delta(\ell_1, \ell_2) (-1)^{\ell_1 + j_2 - 1/2} \sqrt{2(2j_1 + 1)} \sqrt{\ell_1(\ell_1 + 1)(2\ell_1 + 1)} C(j_1, 1, j_2; \Omega, 1, \Omega + 1) W(\ell_2, j_2, \ell_1, j_1; 1/2, 1) \\ & \quad \langle \ell_2 j_2 | \ell_1 j_1 \rangle \end{aligned}$$

$$\langle (\ell_2 s) j_2, \Omega + 1 | j_+ | (\ell_1 s) j_1, \Omega \rangle = \delta(j_1, j_2) \sqrt{(j - \Omega)(j + \Omega + 1)} \langle \ell_2 j_2 | \ell_1 j_1 \rangle$$

$$\begin{aligned} & \langle (\ell_2 s) j_2, \Omega | s_z | (\ell_1 s) j_1, \Omega \rangle \\ &= \delta(\ell_1, \ell_2) (-1)^{\ell_1 + j_1 - 1/2} \sqrt{\frac{3(2j_1 + 1)}{2}} C(j_1, 1, j_2; \Omega, 0, \Omega) W(1/2, j_2, 1/2, j_1; \ell_1, 1) \langle \ell_2 j_2 | \ell_1 j_1 \rangle \end{aligned}$$

$$\begin{aligned} & \langle (\ell_2 s) j_2, \Omega | l_z | (\ell_1 s) j_1, \Omega \rangle \\ &= \delta(\ell_1, \ell_2) (-1)^{\ell_1 + j_2 + 1/2} \sqrt{2j_1 + 1} \sqrt{\ell_1(\ell_1 + 1)(2\ell_1 + 1)} C(j_1, 1, j_2; \Omega, 0, \Omega) W(\ell_2, j_2, \ell_1, j_1; 1/2, 1) \\ & \quad \langle \ell_2 j_2 | \ell_1 j_1 \rangle \end{aligned}$$

## Table 2 (continued)

**Phase convention** in wave functions - **important** in non-diagonal matrix-elements

1)  $(\ell s)j$  or  $(s\ell)j$ ;  $|(s\ell)j\rangle = (-1)^{\frac{1}{2}+\ell-j} |(\ell s)j\rangle$

2)  $Y_{\ell m_\ell}(\theta, \phi)$  or  $i^\ell Y_{\ell m_\ell}(\theta, \phi)$

3)  $R_{\ell j}(r)$   $\left\{ \begin{array}{l} > 0 \text{ (or } < 0) \text{ for } r \rightarrow 0, \quad \text{or} \\ > 0 \text{ (or } < 0) \text{ for } r \rightarrow \text{very large,} \quad \text{or} \\ \text{output of computers} \end{array} \right.$

5. **Weakly-bound** and one-particle **resonant neutron** levels  
in **Y20 deformed** potential

~~harmonic-oscillator potential~~

# Well-bound one-particle levels in deformed potential

A. Bohr and B.R. Mottelson, vol.2, Figure 5-1.

One-particle levels in ( $Y_{20}$ ) deformed harmonic oscillator potentials

$$[N n_z \Lambda \Omega]$$

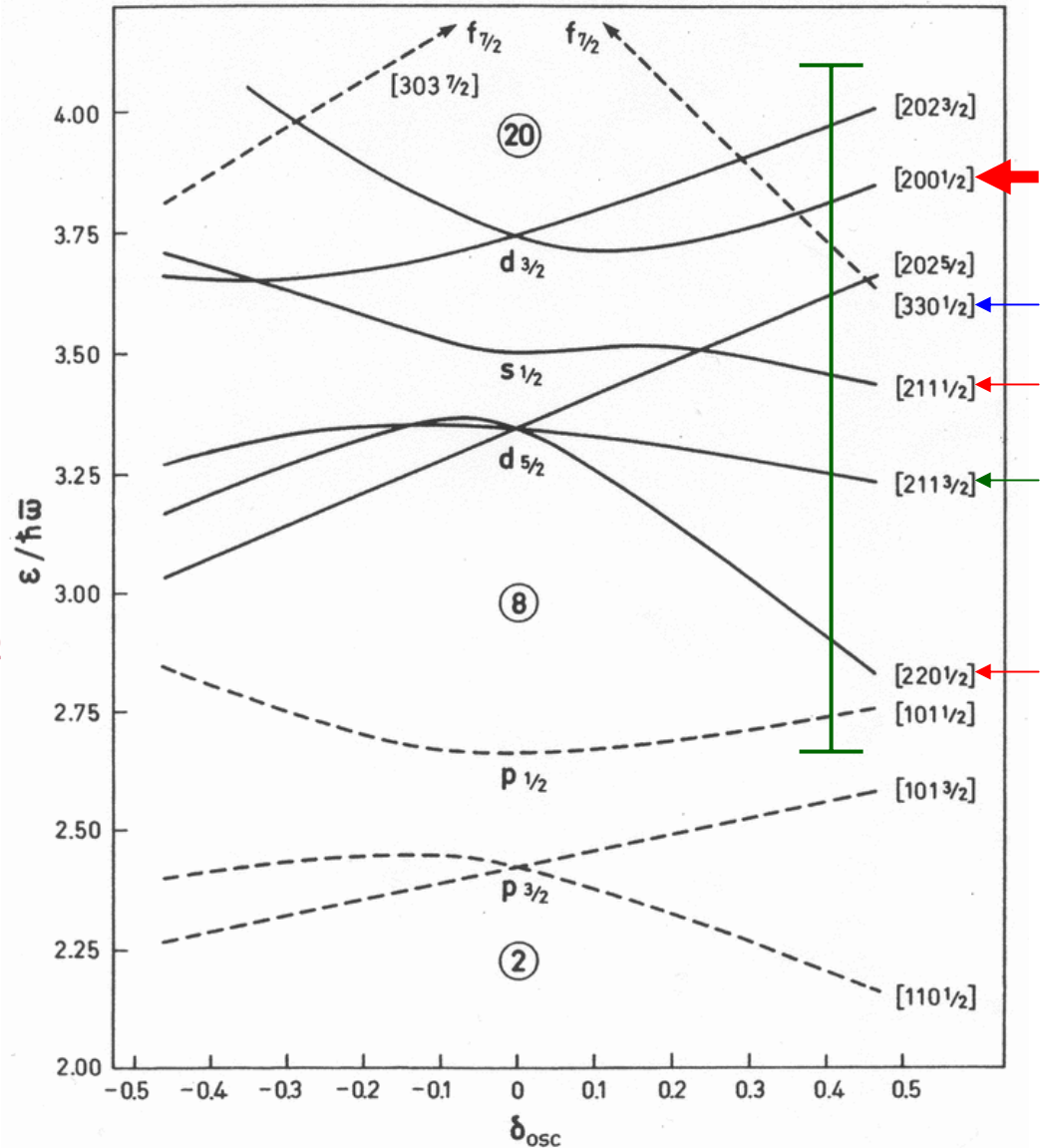
asymptotic quantum numbers

$$\text{Parity } \pi = (-1)^N$$

Each level is doubly-degenerate with  $\pm\Omega$

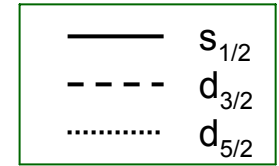
6 doubly-degenerate levels in sd-shell

$$\left. \begin{array}{l} 3 \quad \Omega^\pi = 1/2^+ \quad (\ell_{\min} = 0) \\ 2 \quad \Omega^\pi = 3/2^+ \quad (\ell_{\min} = 2) \\ 1 \quad \Omega^\pi = 5/2^+ \quad (\ell_{\min} = 2) \end{array} \right\} 12 \text{ particles}$$



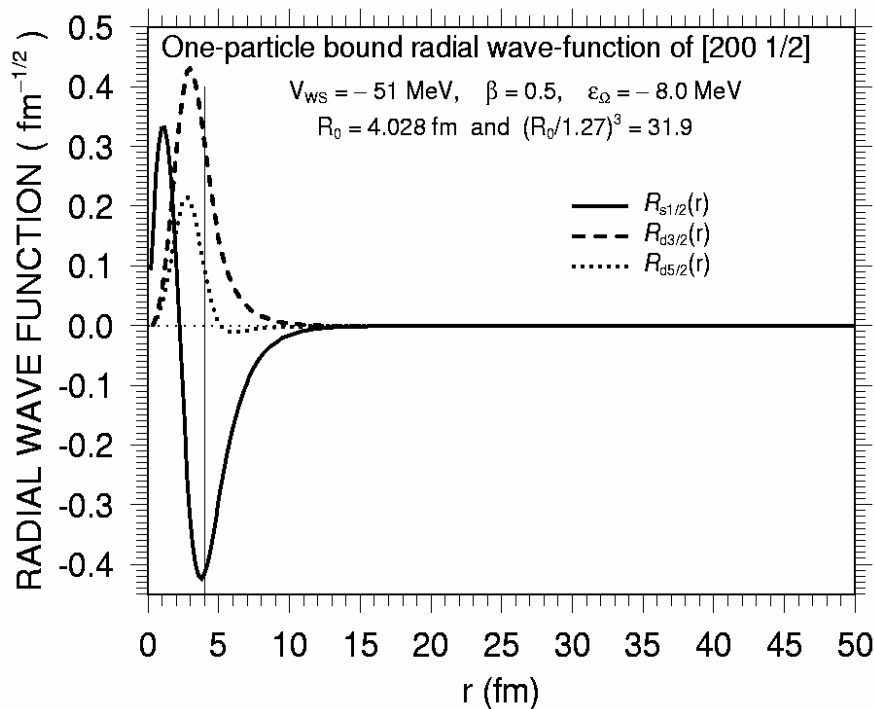
## 5.1. Weakly-bound neutrons

Radial wave functions of the  $[200 \frac{1}{2}]$  level  
in Woods-Saxon potentials

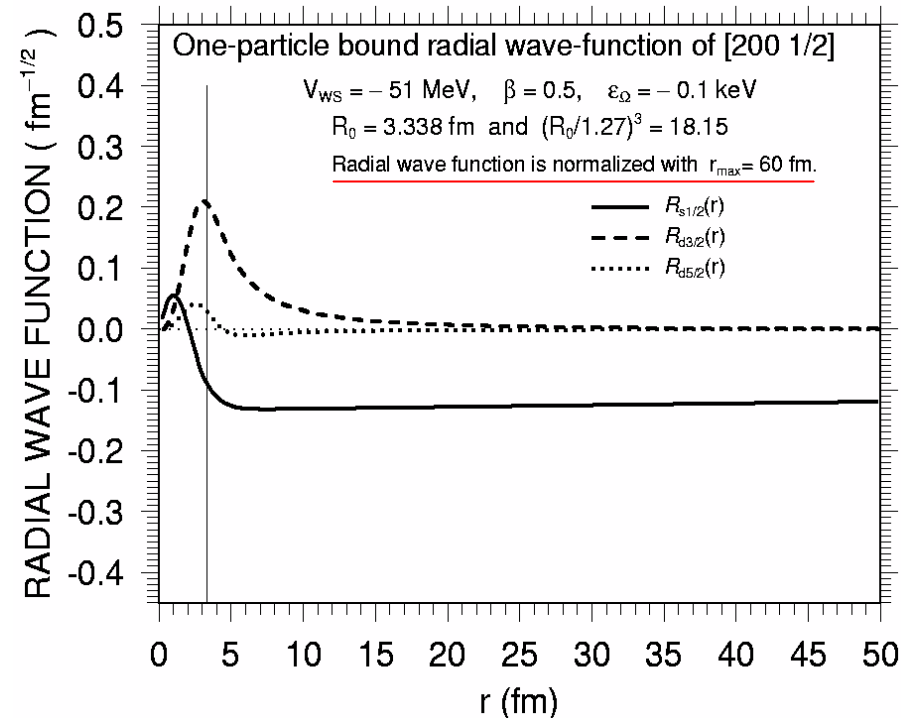


(The radius of potentials is adjusted to obtain respective eigenvalues  $\epsilon_\Omega$ .)

Bound state with  $\epsilon_\Omega = -8.0$  MeV.



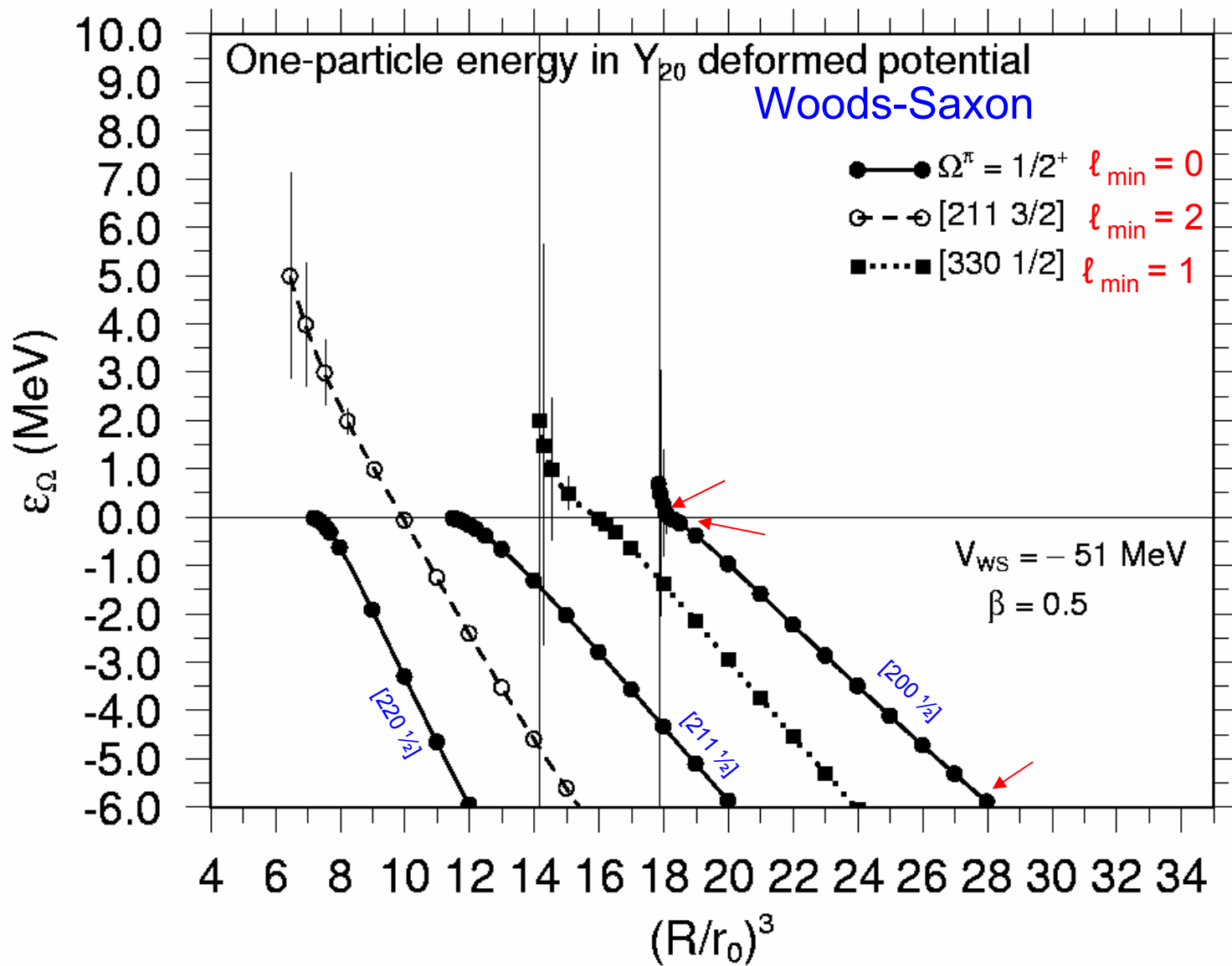
Bound state with  $\epsilon_\Omega = -0.0001$  MeV.



Similar behavior to wave functions in harmonic osc. potentials.

Wave functions unique in finite-well potentials.

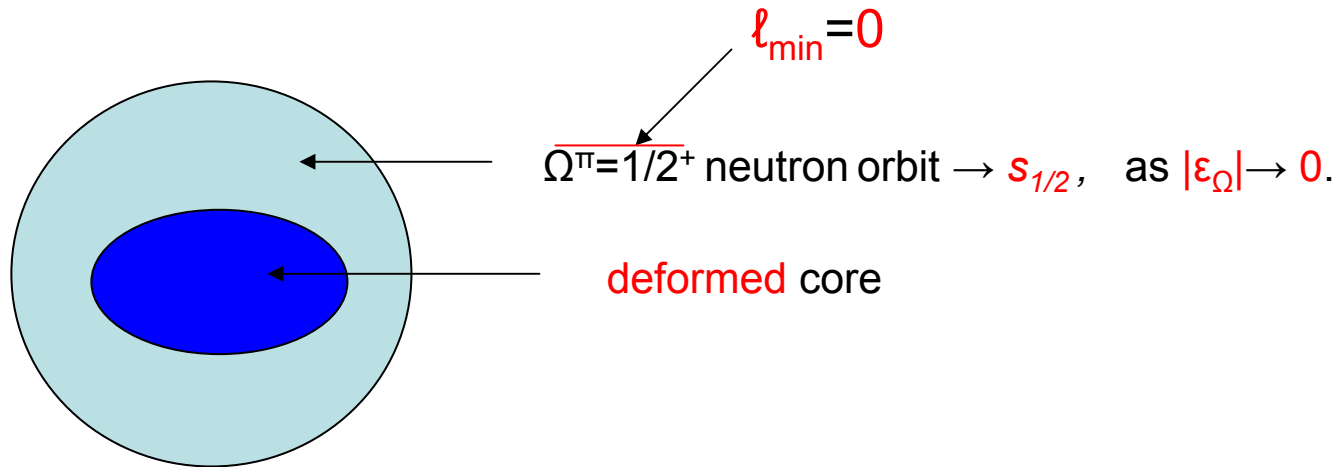




potential strength

( $r_0 = 1.27$  fm is used.)

## Deformed halo nuclei

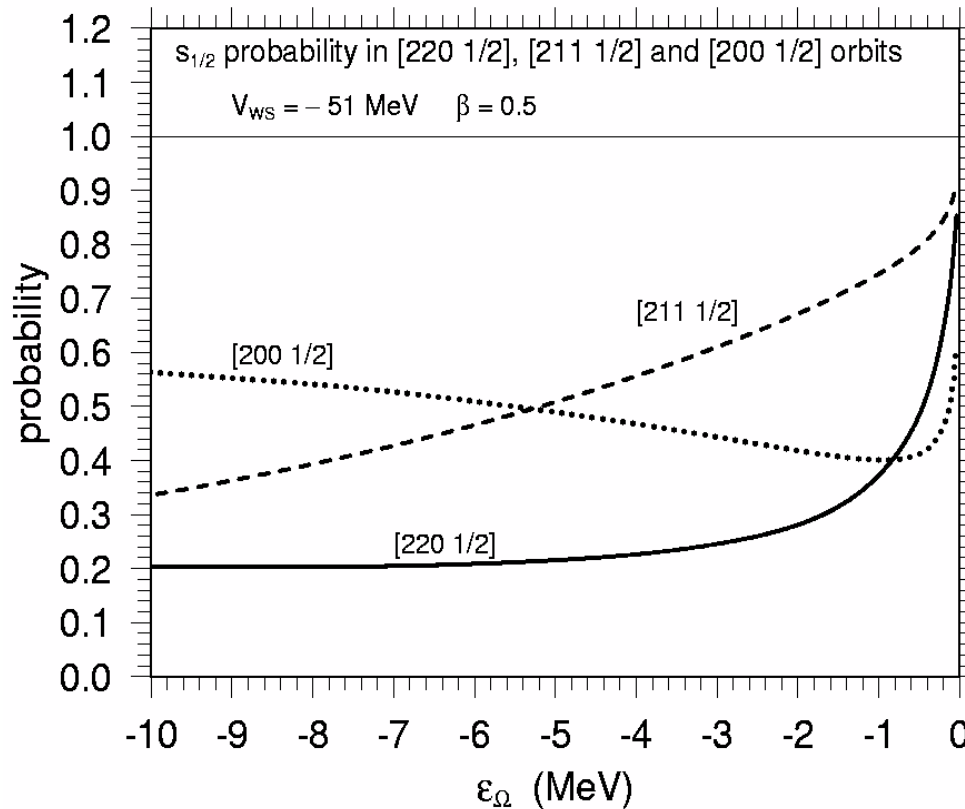


, irrespective of the size of deformation and the kind of one-particle orbits.

The rotational spectra of deformed halo nuclei must come from the deformed core.

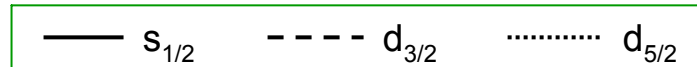
For  $\varepsilon \rightarrow 0$ , the **s-dominance** will appear in **all  $\Omega^\pi = 1/2^+$  bound** orbits. However, the **energy**, at which the dominance shows up, depends on both **deformation** and **respective orbits**.

ex. three  $\Omega^\pi = 1/2^+$  Nilsson orbits in the **sd**-shell ;



## 5.2. One-particle resonant levels – eigenphase formalism

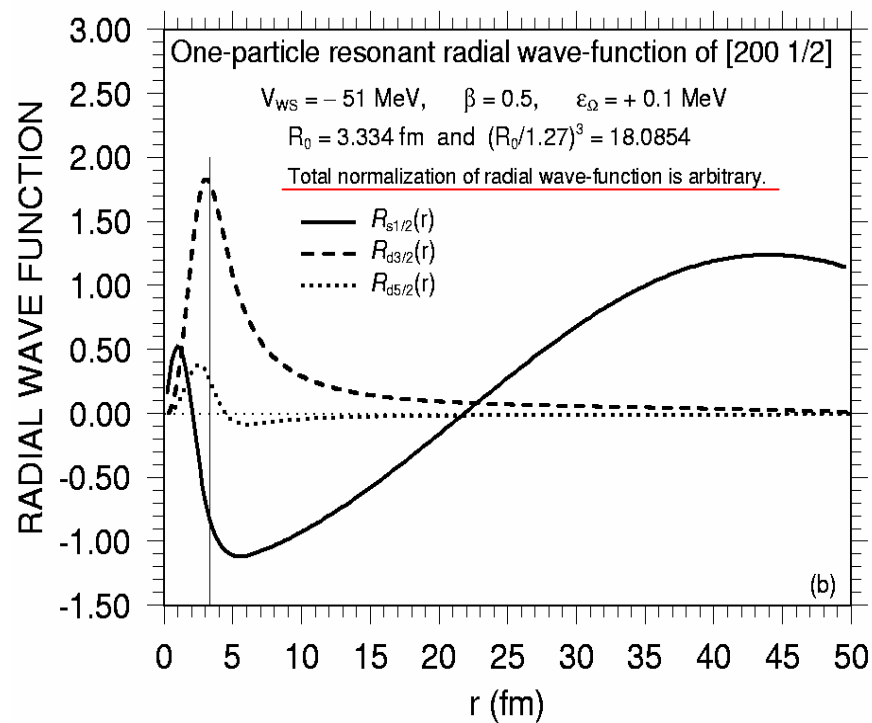
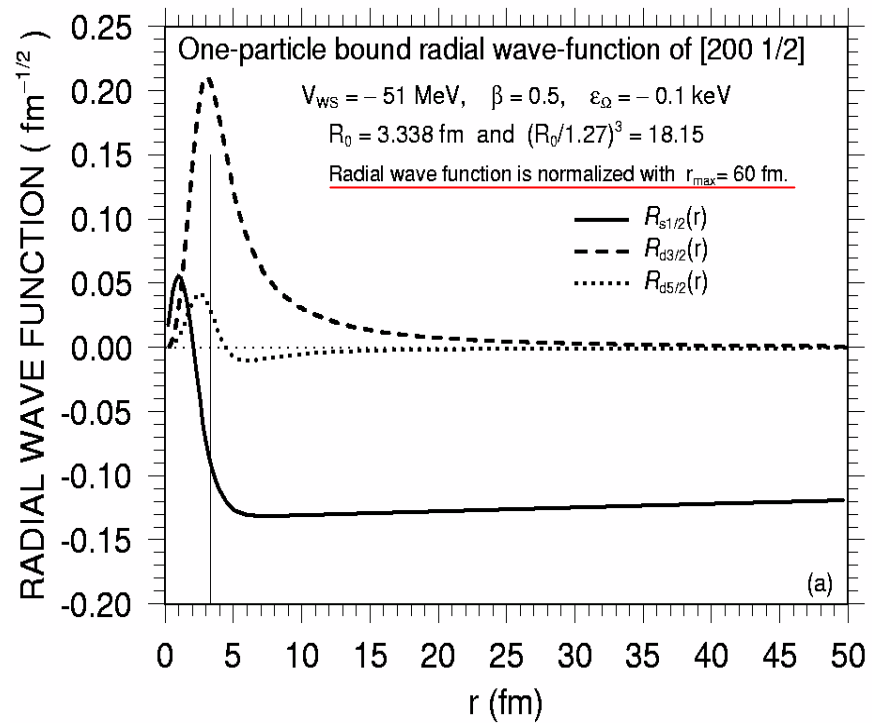
### Radial wave functions of the [200 1/2] level



The potential radius is adjusted to obtain respective eigenvalue ( $\epsilon_\Omega < 0$ ) and resonance ( $\epsilon_\Omega > 0$ ).

**Resonant state with  $\epsilon_\Omega = +100$  keV**

**Bound state with  $\epsilon_\Omega = -0.1$  keV**



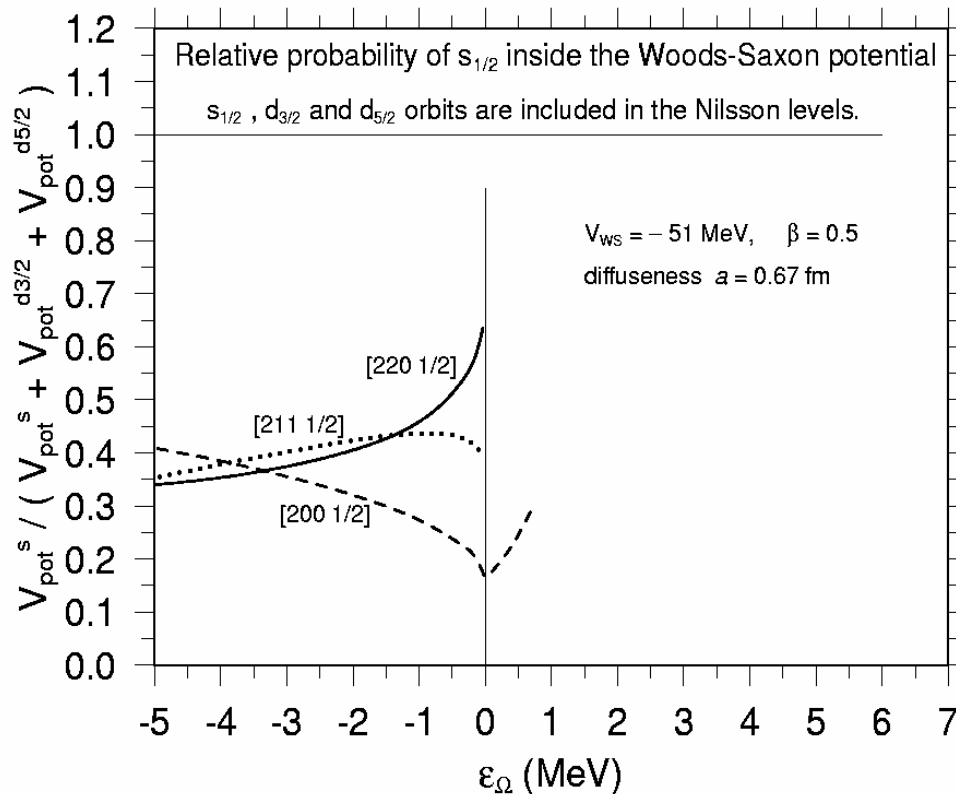
**Existence of resonance** ← **d** component  
**Width of resonance** ← **s** component

**OBS. Relative amplitudes** of various components **inside the potential** remain **nearly the same** for  $\epsilon_\Omega = -0.1$  keV → + 100 keV.

# Relative probability of $s_{1/2}$ component inside the W-S potential

$$P(s_{1/2}) = \frac{\langle s_{1/2} | V(r) | s_{1/2} \rangle}{\langle d_{5/2} | V(r) | d_{5/2} \rangle + \langle d_{3/2} | V(r) | d_{3/2} \rangle + \langle s_{1/2} | V(r) | s_{1/2} \rangle}$$

In order that one-particle **resonance** continues for  $\epsilon_\Omega > 0$ ,  
 $P(s_{1/2})$  at  $\epsilon_\Omega = 0$  must be **smaller** than some **critical** value.  
 The **critical** value depends on the **diffuseness** of the potential.



One-particle **shell-structure change**  
 for  $\epsilon_\Omega (<0) \rightarrow 0$  produces  
 the large **change** of  $P(s_{1/2})$  values  
 of respective  $[N n_z \Lambda \Omega]$  orbits  
 as  $\epsilon_\Omega (<0) \rightarrow 0$ .

→ One-particle resonance

# Positive-energy neutron levels in $Y_{20}$ -deformed potentials

$\Omega^\pi = 1/2^+$      $s_{1/2}, d_{3/2}, d_{5/2}, g_{7/2}, g_{9/2}, \dots$ , components     $\ell_{\min} = 0$

$\Omega^\pi = 3/2^+$      $d_{3/2}, d_{5/2}, g_{7/2}, g_{9/2}, \dots$ , components     $\ell_{\min} = 2$

$\Omega^\pi = 1/2^-$      $p_{1/2}, p_{3/2}, f_{5/2}, f_{7/2}, h_{9/2}, \dots$ , components     $\ell_{\min} = 1$

etc.

The component with  $\ell = \ell_{\min}$  plays a crucial role in the properties of possible **one-particle resonant** levels.

(Among **an infinite number** of **positive-energy** one-particle levels, **one-particle resonant** levels are **most important** in the construction of **many-body correlations** of nuclear **bound states**.)

Do not restrict the system in a finite box !

For  $\varepsilon_\Omega < 0$

$$R_{lj\Omega}(r) \propto r h_\ell(\alpha_b r) \quad \text{for} \quad r \rightarrow \infty$$

where

$$h_\ell(-iz) \equiv j_\ell(z) + i n_\ell(z) \quad \text{and} \quad \alpha_b^2 \equiv -\frac{2m\varepsilon_\Omega}{\hbar^2}$$

For  $\varepsilon_\Omega > 0$

$$R_{lj\Omega}(r) \propto \cos(\delta_\Omega) r j_\ell(\alpha_c r) - \sin(\delta_\Omega) r n_\ell(\alpha_c r) \quad \text{for} \quad r \rightarrow \infty$$
$$\rightarrow \sin(\alpha_c r + \delta_\Omega - \ell \frac{\pi}{2})$$

where

$$\alpha_c^2 \equiv \frac{2m}{\hbar^2} \varepsilon_\Omega$$

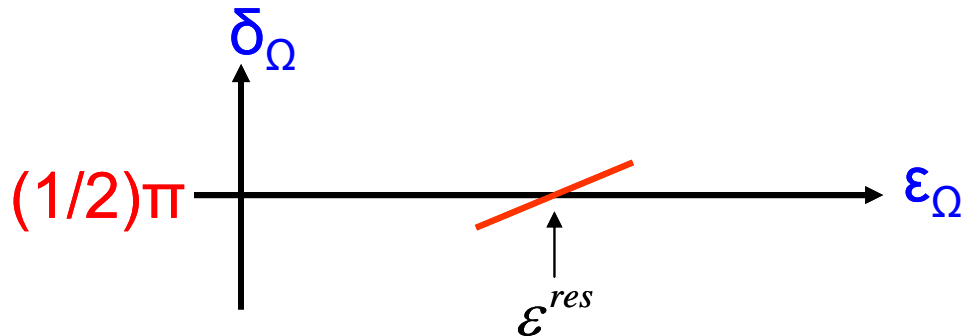
$\delta_\Omega$  expresses **eigenphase**.

A.U.Hazi, Phys.Rev.A19, 920 (1979).

K.Hagino and Nguyen Van Giai, Nucl.Phys.A735, 55 (2004).

**A given eigenchannel** : asymptotic radial wave-functions behave in the same way for all angular momentum components.

A one-particle **resonant** level with  $\epsilon_\Omega$  is defined so that one **eigenphase**  $\delta_\Omega$  increases through  $(1/2)\pi$  as  $\epsilon_\Omega$  **increases**.



When one-particle **resonant** level in terms of **one eigenphase** is obtained, the **width**  $\Gamma$  of the resonance is calculated by

$$\Gamma \equiv \frac{2}{\left[ \frac{d\delta_\Omega}{d\epsilon_\Omega} \right]_{\epsilon_\Omega = \epsilon_\Omega^{res}}}$$



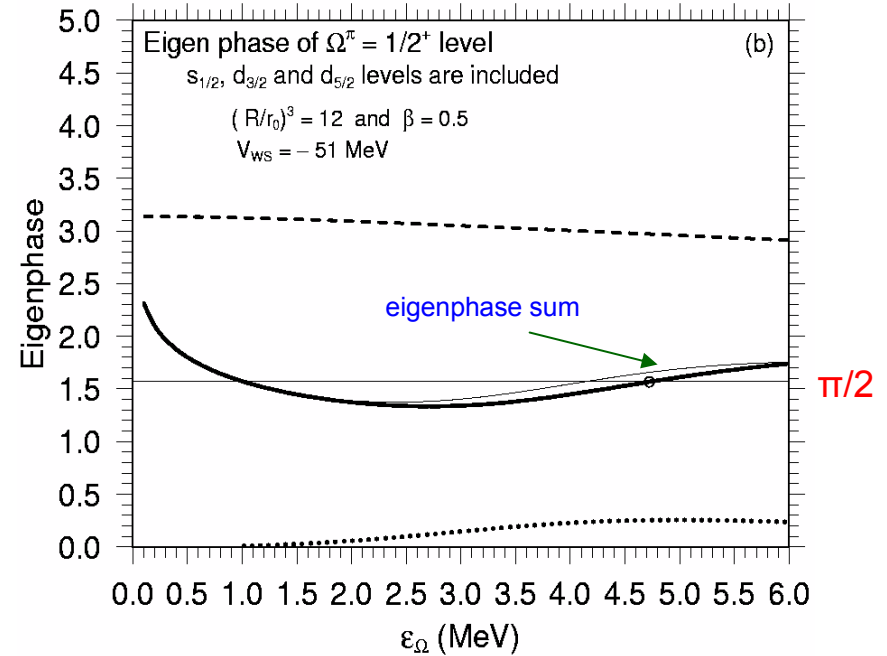
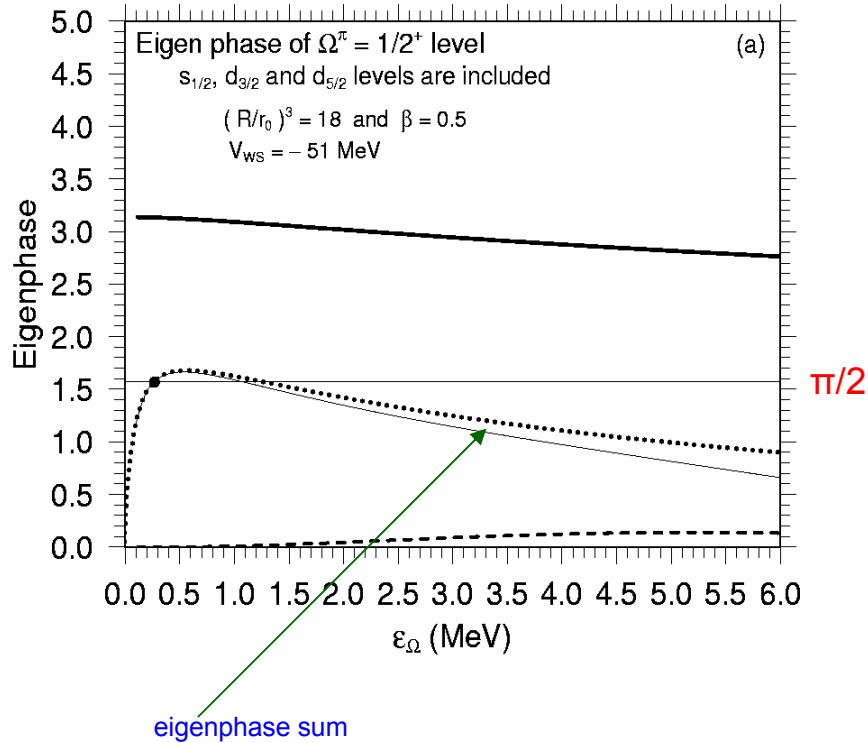
Some comments on **eigenphase** ;

- 1) For a given potential and a given  $\epsilon_\Omega$  there are **several** (in principle, an infinite number of) **solutions of eigenphase**  $\delta_\Omega$  .
- 2) The **number** of **eigenphases** for a given potential and a given  $\epsilon_\Omega$  is equal to that of wave function components with **different** ( $\ell, j$ ) values.
- 3) The value of  $\delta_\Omega$  determines the **relative amplitudes** of different ( $\ell, j$ ) components.
- 4) In the region of **small** values of  $\epsilon_\Omega$  ( $> 0$ ), **only one** of **eigenphases** **varies strongly** as a function of  $\epsilon_\Omega$  , while other eigenphases remain close to the values of  $n\pi$ .

In the limit of  $\beta \rightarrow 0$  , the definition of one-particle resonance in eigenphase formalism  
→ the definition in spherical potentials found in text books.

# Variation of all three eigenphases

( $s_{1/2}$ ,  $d_{3/2}$  and  $d_{5/2}$  levels are included in the coupled channels.)



No weakly-bound Nilsson level is present for this potential.

A weakly-bound Nilsson level is present for this potential.

### 5.3. Examples of Nilsson diagrams for light neutron-rich nuclei

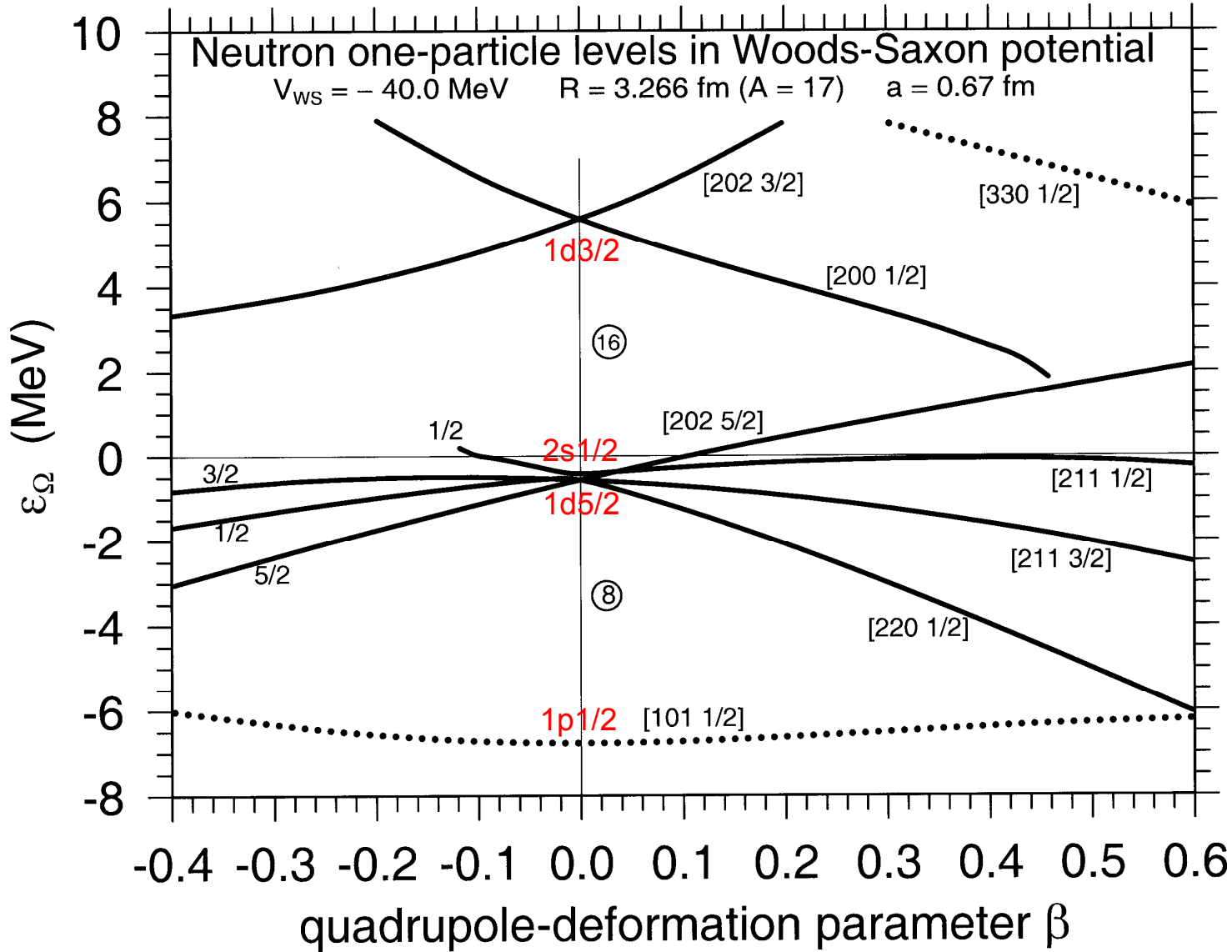
1.  $\sim {}^{17}\text{C}_{11}$  ( $S(n) = 0.73$  MeV,  $3/2^+$ )

2.  $\sim {}^{31}\text{Mg}_{19}$  ( $S(n) = 2.38$  MeV,  $1/2^+$ )

$\sim {}^{33}\text{Mg}_{21}$  ( $S(n) = 2.22$  MeV,  $3/2^-$ )

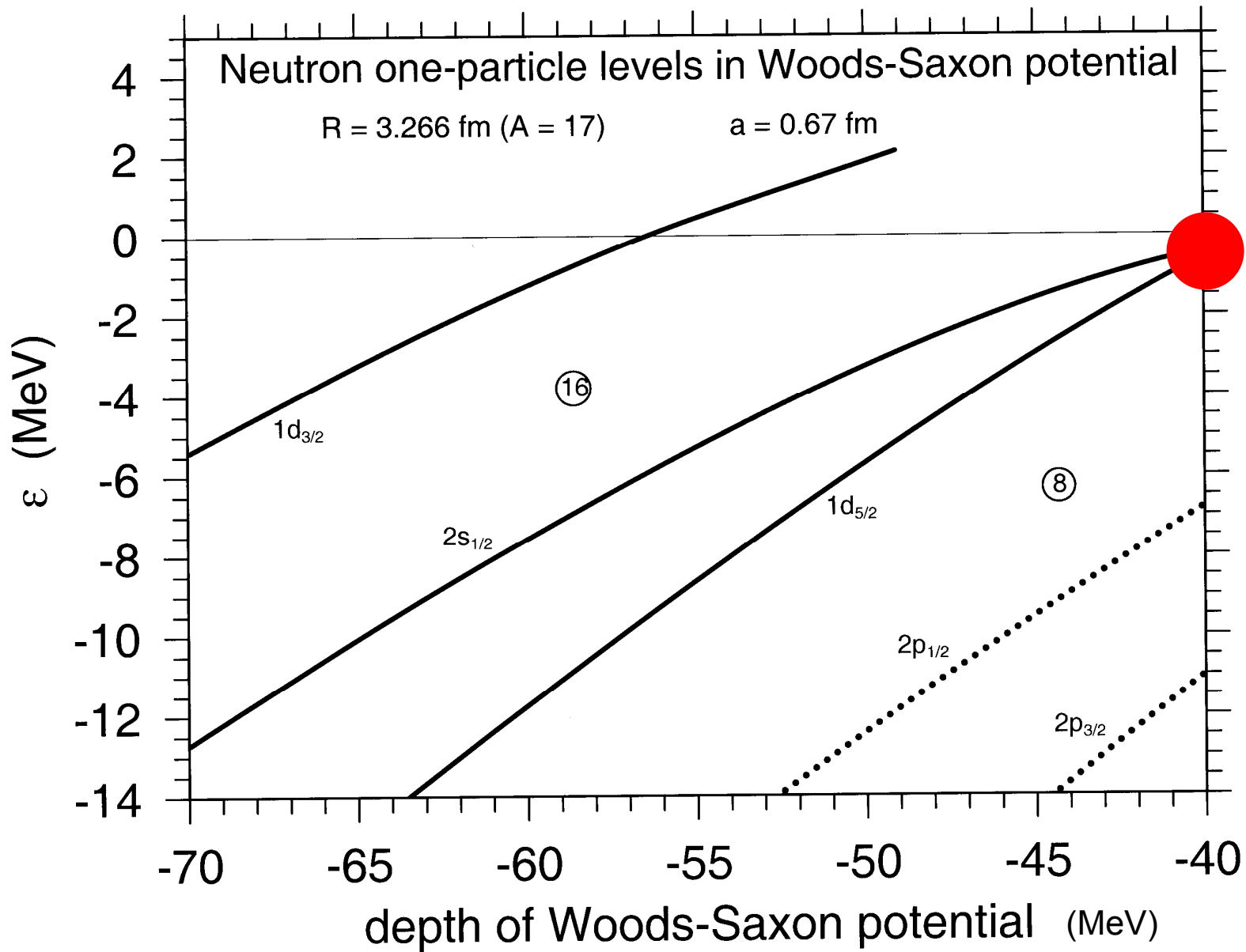
Near degeneracy of some weakly-bound or resonant levels in spherical potential, unexpected from the knowledge on stable nuclei

- the origin of deformation and .....
- Jahn-Teller effect

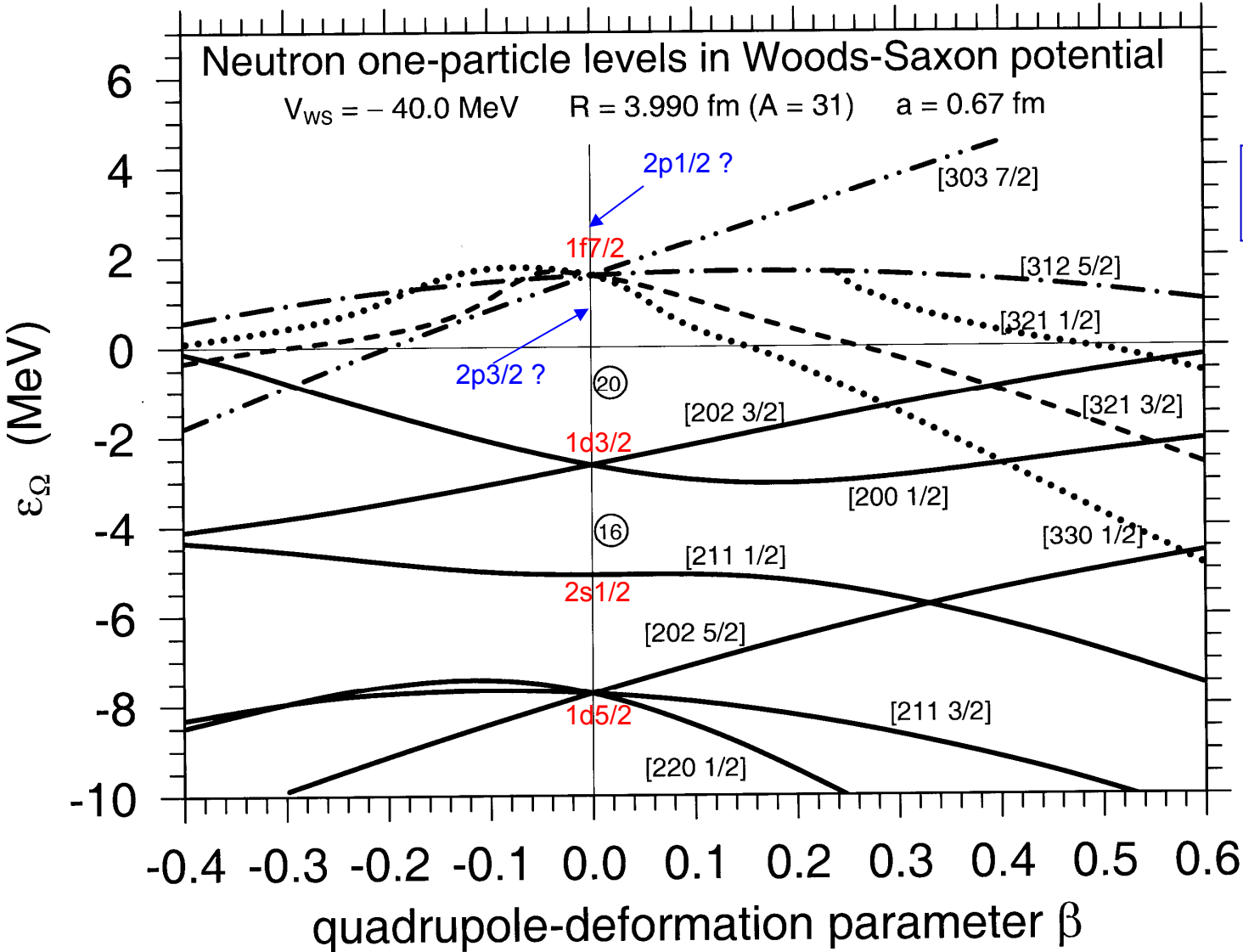


At  $\beta=0$  ;  
 $\epsilon(2s1/2) - \epsilon(1d5/2)$   
 = 140 keV

$^{17}\text{C}_{11}$  ( $3/2+$ )  
 $S(n) = 0.73$  MeV



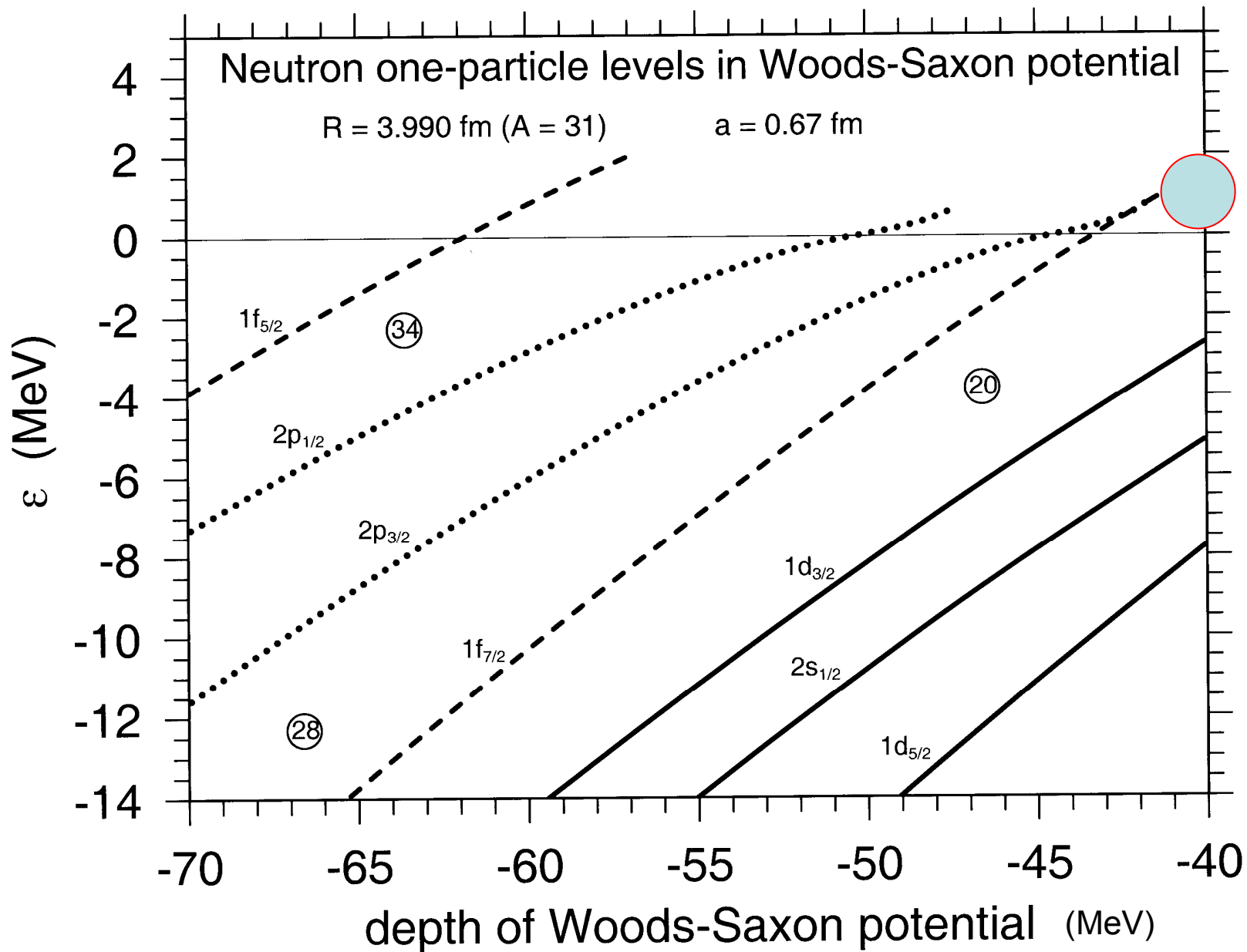
$$\varepsilon(1f_{5/2}) = +8.96 \text{ MeV}$$

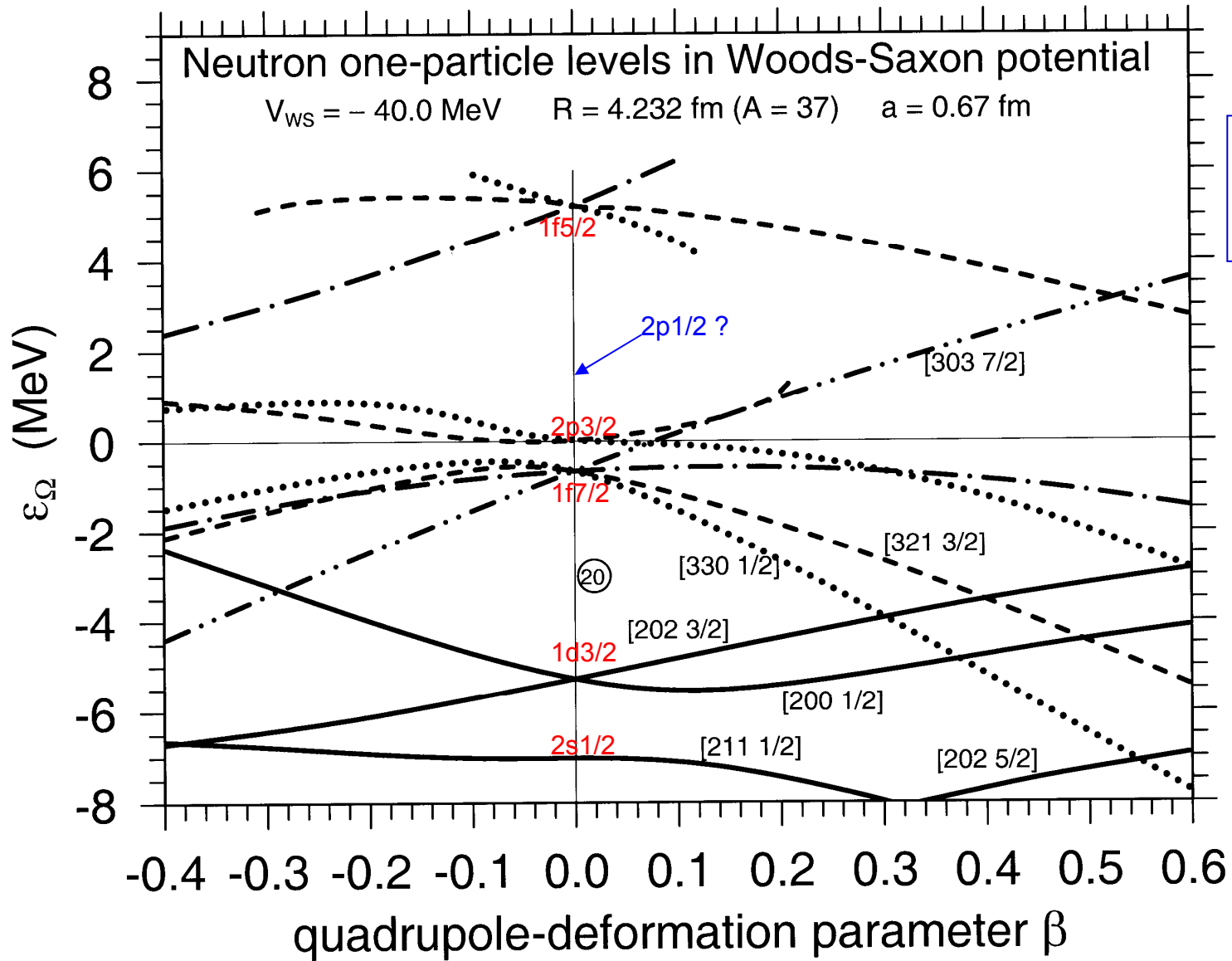


At  $\beta=0$  ;  
 $\varepsilon(2p_{3/2}) < \varepsilon(1f_{7/2})$

$^{33}\text{Mg}_{21}$  ( $3/2^-$ )  
 $S(n) = 2.22 \text{ MeV}$

$^{31}\text{Mg}_{19}$  ( $1/2^+$ )  
 $S(n) = 2.38 \text{ MeV}$





At  $\beta=0$  ;  
 $\varepsilon(2p3/2) - \varepsilon(1f7/2)$   
 $= 680$  keV

$^{37}\text{Mg}_{25}$

$S(n) =$  a few  
hundreds keV ?



Appendix. Angular momentum projection from a deformed intrinsic state  $|\phi\rangle$

(ex. not appropriate for including the rotational perturbation of intrinsic states)

Rotational operator  $R(\Omega)$   $\Omega$  : Euler angles  $(\alpha, \beta, \gamma)$

$$R(\Omega) \equiv e^{-i\alpha J_z} e^{-i\beta J_y} e^{-i\gamma J_z}$$

Rotation matrix  $D_{MM'}^J(\Omega)$

$$\langle \alpha JM | R(\Omega) | \alpha' J' M' \rangle = \delta(\alpha, \alpha') \delta(J, J') D_{MM'}^J(\Omega)$$

Inverting the expression

$$R(\Omega) = \sum_{\alpha J} |\alpha JM\rangle D_{MM'}^J(\Omega) \langle \alpha JM'|$$

Multiplying by  $D_{MM'}^{J*}(\Omega)$  and integrating over  $\Omega$ , we obtain a projection operator

$$P_M^J \equiv \sum_{\alpha} |\alpha JM\rangle \langle \alpha JM| = \frac{2J+1}{8\pi^2} \int d\Omega D_{MM}^{J*}(\Omega) R(\Omega)$$

We need to calculate the expressions

$$\langle \phi | P_M^J | \phi \rangle = \frac{2J+1}{8\pi^2} \int d\Omega D_{MM}^{J*}(\Omega) \langle \phi | R(\Omega) | \phi \rangle$$

$$\langle \phi | HP_M^J | \phi \rangle = \frac{2J+1}{8\pi^2} \int d\Omega D_{MM}^{J*}(\Omega) \langle \phi | HR(\Omega) | \phi \rangle$$

## Appendix

If  $|\phi\rangle$  is **axially symmetric**,  $J_z|\phi\rangle = M|\phi\rangle$

$$\langle\phi|R(\Omega)|\phi\rangle = e^{-i\alpha M} \langle\phi|e^{-i\beta J_y}|\phi\rangle e^{-i\gamma M}$$

$$D_{MM}^J(\Omega) = e^{-i\alpha M} \langle JM|e^{-i\beta J_y}|JM\rangle e^{-i\gamma M}$$

then, using the “reduced rotation matrix”  $d_{MM'}^J(\theta) = \langle JM|e^{-i\theta J_y}|JM'\rangle$

$$\langle\phi|P_M^J|\phi\rangle = \frac{2J+1}{2} \int_0^\pi d\theta \sin\theta d_{MM}^J(\theta) \langle\phi|e^{-i\theta J_y}|\phi\rangle$$

$$\langle\phi|HP_M^J|\phi\rangle = \frac{2J+1}{2} \int_0^\pi d\theta \sin\theta d_{MM}^J(\theta) \langle\phi|He^{-i\theta J_y}|\phi\rangle$$

$$\langle\phi|e^{-i\theta J_y}|\phi\rangle \begin{cases} \approx 1 \text{ for } \theta \ll 1, \\ \text{decreases quickly as } \theta \rightarrow \text{larger}, \\ \text{is symmetric about } \theta = \pi/2. \end{cases}$$